



THE TRINIDAD AND TOBAGO RACING AUTHORITY ACT
CHAPTER 21:50
RULES

MADE BY THE RACING AUTHORITY UNDER SECTION 17 OF THE
TRINIDAD AND TOBAGO RACING AUTHORITY ACT

THE TRINIDAD AND TOBAGO RACING AUTHORITY RULES, 2020
(Amended as at August 1st 2020)

Citation	1.	These Rules may be cited as the Trinidad and Tobago Racing Authority Rules, as amended to date.
Interpretation	2.	<p>In these rules: -</p> <p>“A meeting” shall consist of the number of race days and races as published in the “official” programme approved by the Authority.</p> <p>“Accredited laboratory” means a laboratory which has received accreditation under the International Standards Organisation (ISO) / International Electrotechnical Commission (IEC) 17025. Other accreditations which are acceptable are National Forensic Science Technology Center (NFSTC) or American Association for Laboratory Accreditation (A2LA);</p> <p>“Accredited Steward” shall mean a person who has successfully completed an approved Stewards Accreditation programme recognised by the Authority and other turf authorities and is current in his Continuing Education as required by the Accreditation Authority;</p> <p>“Act” means the Trinidad and Tobago Racing Authority Act No. 45 of 1976, (chap. 21:50) and amendments thereto;</p> <p>"Added money" means money contributed towards the stakes by the Race Fund or from any other source as distinct from money contributed by the owners of horses engaged in the race;</p> <p>“Administrative Steward” means an official who would provide to the Stewards, such help relating to the conduct of the race day and the Rules of Racing, as they may require, subject to the approval of the Authority;</p> <p>“ARCI” means Association of Racing Commissioners International;</p> <p>"Arrears" means sums unpaid in respect of fines, compensation, entrance money, stakes, subscriptions, breeders and sires premiums and any sums due to any turf authority, recognised promoter, registered stud farms or their affiliated association,</p>

	<p>veterinary surgeons, farriers and any other entity providing products or services to the racing industry;</p> <p>"Arrears List" means a record of arrears issued from time to time by the Authority;</p> <p>"Assumed name" means a name duly registered by an owner or partnership, for use by that owner as a replacement for the real name of the owner or partnership;</p> <p>"Authorised agent" means an agent or sub-agent appointed by the owner, in writing and approved by the Authority, or in the case of a recognised company under seal, such document being lodged at the Registry Office of the Authority. All authorised agents are required to be registered with the Authority. Licensed grooms and jockeys do not qualify to be authorised agents;</p> <p>"Authority" or "Racing Authority" means the Trinidad and Tobago Racing Authority;</p> <p>"Bleeder" means a horse which has displayed signs of Exercise Induced Pulmonary Haemorrhage (EIPH) either externally or after it has been endoscopically examined and which has been verified by the Industry Veterinarian;</p> <p>"Blinkers" means a garment fitted over a horse's head with holes for the eyes and ears, one or both eyeholes being fitted with cowls to obstruct all vision to the rear but permitting full forward vision;</p> <p>"Board" means the Board of Directors of the Trinidad and Tobago Racing Authority;</p> <p>"Bookmaker" means a person(s) or company offering betting on any race;</p> <p>"Breeder of a horse" means the registered owner of a dam at the time of foaling;</p> <p>"Cheek pieces" means two strips of sheepskin or any similar material or device, which is attached to the cheek pieces of the bridle;</p> <p>"Claiming race" is a race in which every horse entered may be claimed in accordance with the Rules governing claiming races;</p> <p>"Condition race" is any race which is not a handicap;</p> <p>"Cornell Collar" is a throat support device used on horses that have been diagnosed with soft palate displacement;</p> <p>"Cup" means any prize not given in money;</p> <p>"Day" means twenty-four hours;</p>
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	<p>“Dead Heat” means a tie between two or more runners in a race. A Dead Heat is calculated by dividing the stake proportionally between the number of winners in the event.</p> <p>"Defaulter" means a person in arrears;</p> <p>"Deposit" means any sum stipulated in the Rules and/or regulations to be paid to the Authority by persons lodging an objection or appeal;</p> <p>"Disqualified Person" means a person who has been warned-off by the Authority for a breach of these Rules;</p> <p>"Donor" means the contributor of a cup;</p> <p>“Endogenous, dietary or environmental substances” shall be any ARCI class 1, 2, 3, 4 or 5 substance produced within or by the horse itself (“endogenous”) or that may unavoidably become part of the food supply (“dietary”) or environment of horses (“environmental”);</p> <p>“Equipment” The Stewards may prohibit any equipment for use on a horse in a race which they consider to be unsuitable, unsafe or ineffective; prohibit a Rider from using a particular whip, whether or not such whip complies with the specifications approved by the Authority; order the seizure and destruction of any safety vest which has been modified in any way in contravention of the Rules of Racing. Where the Stewards prohibit the use of a whip, they must without delay submit a report to the Authority.</p> <p>“Exercise lad” means a person who holds a licence from the Authority to exercise horses;</p> <p>“Eye cover” means a device similar to blinkers except that in place of the eye cowls one eye only is completely covered by an opaque cover;</p> <p>"Eye Shield" means a device similar to blinkers except that in place of the eye cowls at least one eye is covered with a mesh or other transparent material;</p> <p>“Graded and subscription races” means a list of races approved by the Authority which require nominations in advance of the date of entry;</p> <p>"Groom and/or Stable Lad" means a person who holds a licence from the Authority to care for horses;</p> <p>"Guaranteed sweepstakes" means a race for which a prize of definite value is guaranteed by the Promoter holding the meeting, the entrance fees, subscriptions, and other contributions going towards the prize money, and where the total of the entrance</p>
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	<p>fees, subscriptions and other contributions exceed the guaranteed prize, the excess shall increase the value of the prize;</p> <p>"Handicap" means a race in which the weights to be carried by the horses are allotted by the handicapper or handicapping committee for the purpose of equalising their chances of winning;</p> <p>"Hood" means a device similar to blinkers incorporating ear covers but without eye cowls;</p> <p>"Horse" includes mare, gelding, colt and filly;</p> <p>"Industry Veterinarian" means a person qualified in Veterinary Science/Medicine, appointed by the Authority to such position and also registered to practice Veterinary Medicine in Trinidad and Tobago;</p> <p>"Jockey" means a person who holds a licence from the Authority to ride for hire;</p> <p>"Judge" means the official responsible for determining the correct order of finish of each race in accordance with the Rules of Racing;</p> <p>"Maiden" means a horse which has never won a race other than a match or private sweepstakes at any recognised meeting in any country at the time of the start;</p> <p>"Match" means a race between horses, the property of different owners, on terms agreed by them;</p> <p>"Match at catch weights" means a match for which the riders need not weigh before or after the race;</p> <p>"Meeting" means a program of races with attaching fixed conditions to be run on a specified day or specified period of days as published provisionally by the Promoter and approved by the Authority;</p> <p>"Month" means the calendar month;</p> <p>"Nasal Strip" also known as an external nasal dilator strip or nasal dilator strip is a type of adhesive bandage with embedded plastic ribs or splints that is applied across the bridge of the nose and sides of the nostrils, to assist in keeping the airway open.</p> <p>"Native Bred" means a horse foaled in Trinidad and Tobago;</p> <p>"Nerving" means the act of removing a nerve from the leg of a horse;</p> <p>"Nominator" means a person in whose name a horse is entered for a race;</p>
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	<p>“Official” in relation to a person, means any person appointed by the Promoter and approved by the Authority to carry out duties related to the running of a race day; in the context of a race, means a declaration by the Stewards that the past the post positions or any amendments to such placings are the confirmed results of a race;</p> <p>“Official Chemist” means the chemist employed by the laboratory which has been approved by the Authority for the testing of samples taken from horses participating in races approved by the Authority;</p> <p>“Optional Claiming Race” is a contest restricted to horses entered to be claimed for a stated claiming price, as well as to those which have been entered without a price and therefore cannot be claimed.”</p> <p>"Owner" means -:</p> <ul style="list-style-type: none"> (a) in the case of a horse owned by a recognised company, the company; (b) in the case of a partnership, the partners; (c) in the case of a syndicate, the members of the syndicate; (d) in the case where a horse is leased, the lessee; (e) in the case of a deceased person, the legal personal representative; <p>"Owner of Sire" means the owner of the sire as registered with the Office at time of covering and if covered by more than one sire, then the owner of the sire last covering the mare;</p> <p>“Parade Ring” means the area allocated by the promoter on the grounds of the racecourse for horses to be paraded for the public’s view prior to being saddled for the purpose of taking part in a race;</p> <p>“Pari-mutuel pool” means the pool generated via the totalisator system of wagering which is used by the promoter for accepting wagers on the races put on by the promoter;</p> <p>“Partnership” means a group of registered owners comprising not less than two (2) and not greater than five (5) who are registered as owners of a horse;</p> <p>“Penalties” means extra weight to be carried by a horse as may be stipulated in the Rules of Racing or the conditions governing a race;</p> <p>“Performance altering substances” shall mean any substance in ARCI classes 1 through 5 not identified as a therapeutic medication by the RMTTC / ARCI medication rules, or any substance with no accepted therapeutic use in horses in training or racing excluding defined trace amounts of ARCI substances that are of endogenous, dietary or environmental origin;</p> <p>“Photograph” means an image recorded when the horses pass the winning-post by a camera approved by the Authority;</p>
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	<p>"Positive" in relation to testing a race horse for a prohibited substance means containing a prohibited substance the concentration of which is above the threshold level of that substance or matter established from time to time by the Authority;</p> <p>"Practicing Veterinarian" means a person qualified in veterinary science/medicine privately retained by an owner or trainer to support the health, welfare and fitness of their horses;</p> <p>"Prohibited Substance" means a substance originating externally whether or not it falls in any of the categories contained in the Classification Guidelines of Foreign Substances reproduced in these Rules and/or a substance including the metabolites of the substance;</p> <p>"Promoter" means the club, company, firm or organisation responsible for the management of a racecourse and/or for the conduct of racing at any race meeting thereat;</p> <p>"Pull up" means a horse due to injury or otherwise has to be stopped from finishing the race by its rider;</p> <p>"Purse money" means the total fund for a race or a combination of the stakes and added money, if any, and additional sums if any contributed by the promoter to meet the guaranteed minimum value of the race;</p> <p>"Race" means any event in which horses compete under these Rules;</p> <p>"Race card" means the schedule of approved races offered by the promoter and formatted in the sequence it is to be run off on the actual race day;</p> <p>"Race meeting" means a program of races with attached conditions to be run on a specified day or days as approved by the Authority;</p> <p>"Racing Secretary" means a person duly appointed for any race meeting by the Promoter holding the race meeting;</p> <p>"Recognised Company" means a company incorporated or registered in Trinidad and Tobago under any statutory enactment and whether with limited or unlimited liability which has been approved and registered as a "Recognised Company" by the Authority;</p> <p>"Recognised Meeting" means a meeting held under the sanction of the Authority;</p> <p>"Recognised (Turf) Authority" is an authority recognised by the Trinidad and Tobago Racing Authority as being responsible for controlling horse racing in the country concerned;</p>
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	<p>"Registered Owner" is an owner whose name has been duly registered under these Rules;</p> <p>"Registered Partnership" means a minimum of two and up to a maximum of five persons registered as the owner of a horse;</p> <p>"Registered Syndicate" means six or more persons who may own a horse, the legal possession of the horse being vested in not more than five persons who will act on behalf of the Syndicate;</p> <p>"Registry Office" means the Registry Department of the Trinidad and Tobago Racing Authority;</p> <p>"Restricted Area" means those areas designated as the paddock/stable area, the testing barn, the jockeys' room, the weighing room, the saddling stalls, the racecourse and/or those areas within the racecourse premises where admission thereto can be obtained only upon presentation thereof of a valid licence to enter or upon the presentation of such authorised credentials as the Stewards may from time to time require;</p> <p>"Rider" means and includes a licensed jockey and/or an apprentice jockey;</p> <p>"RMTC" means Racing Medication and Testing Consortium;</p> <p>"Saddling" means the act of ensuring that each horse is properly saddled so as to ensure the highest level of safety for horse and rider;</p> <p>"Saddling Ring / Enclosure" means the area allocated by the promoter on the grounds of the racecourse for the purpose of saddling of horses to compete in a day's racing;</p> <p>"Scratch" means a horse which has been declared a non-starter in a race;</p> <p>"Stable Lad" means a person who holds a licence from the Authority to care for horses;</p> <p>"Stakes" means the minimum advertised prize for any race;</p> <p>"Started" means a horse shall be deemed to have started which is under Starter's Orders when a valid start is effected;</p> <p>"Starter" when used in reference to a person means that official authorised by the Promoter to start races; when used in reference to a horse and where the context so allows means a horse which has started as herein defined;</p>
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	<p>“Starter’s Orders” means the time from which the Starter orders the flashing light atop the starting stalls to be illuminated until the instant at which he releases the front doors. No horse which has been withdrawn for whatever reason before a valid start is effected, will be considered as having started, and any horse so withdrawn is deemed to be withdrawn under “Starter’s Orders”;</p> <p>"Steward" means a Steward of the meeting or his duly appointed deputy;</p> <p>"Sweepstakes" means a race in which the entrance fee, subscription or other contribution of three or more owners go to the winner or placed horses, and any such race is still a sweepstakes when money or other prize is added. “Private Sweepstakes” means a race which has not been advertised prior to the closing of entries;</p> <p>“Stud Book Authority” means the organisation responsible for the publication of a Stud Book in the country where racing is held;</p> <p>“Therapeutic” means “serving to cure, heal or preserve health”;</p> <p>“Therapeutic medication” shall be any ARCI class 2, 3, 4 or 5 substance, recognised as a therapeutic medication by the ARCI/RMTC medication rules, or any substance administered by or under the supervision of a registered veterinarian that supports the health, welfare and fitness of horses during training and racing or facilitates their safe and humane handling during routine procedures;</p> <p>"Time of Entry" means the time fixed for closing of entries;</p> <p>"Tongue Strap" means a strap of any material fitted over the horse's tongue;</p> <p>"Trainer" means a person who holds a licence from the Authority to train race-horses. “Private Trainer” is a person who is the holder of a licence to train horses in which he is registered as holding at least fifty percent ownership;</p> <p>"Visor" means a device similar to blinkers in which the cowls have holes cut in them permitting side or rear vision; Blinkers and visors should not be worn by a horse in a race unless the horse has passed such test or trial as may be prescribed by the Stewards. Only blinkers, visors, pacifiers or hoods of a design approved by the Stewards shall be used.</p> <p>“Walking over” means the awarding of a victory to a horse because there are no other horses or the other horses have been disqualified or have forfeited.</p> <p>“Weigh out” means the process used by the Clerk of the Scales to confirm that the weight to be carried by any horse is correct.</p>
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		<p>“Withdrawal time guideline” means a suggested period before a race to cease administration of a medication in order to minimise the probability of exceeding the threshold / regulatory limit of the substance.</p>
Calculation of time	3.	<p>When the last day for doing any act under these Rules falls on a Sunday or a Public Holiday it may be done on the first following work day, unless a race to which such act relates is appointed for that day, in which case it must be done on the last preceding workday.</p>
Appointment of Stewards	4.	<p>(1) There shall be at least three Stewards for each race day of every meeting all of whom shall be appointed by the Authority annually.</p> <p>(2) “The Chairman of the Stewards” for each race day shall always be an Accredited Steward; unless otherwise authorised by the Authority.</p> <p>(3) A Steward may with the approval of the Authority appoint a deputy to act on his behalf.</p> <p>(4) The approval of the Authority in the appointment of a deputy shall be waived in an emergency.</p> <p>(5) The Authority may, at its discretion, for good reason by notice in writing to the relevant Promoter withdraw its approval of a Steward, whereupon he, and any deputy appointed by him, shall cease to act as a Steward.</p> <p>(6) Where less than three Stewards or their deputies are present, the remaining Stewards shall immediately fill any vacancy and shall publish such appointment.</p> <p>(7) The Stewards shall exercise such powers and perform such duties on a race day as may be prescribed by these Rules. The Chairman and any two other Stewards or their deputies shall constitute a quorum and shall sit and adjudicate upon all matters brought before them. The powers of a Steward with respect to non-race day’s activities may be exercised by one Steward only.</p>
Powers of the Stewards at meeting	5.	<p>(1) The powers of the Stewards shall commence from 8.00 a.m. on the day fixed for the closing of entries/declarations, and end one hour after the final race on that race day has been declared official, subject to the provisions of these rules.</p> <p>(2) The Stewards shall have power to: -</p> <ul style="list-style-type: none"> a) make or vary all arrangements for the conduct of the meeting as they think fit, and to dispense with the starting stalls in cases of emergency; b) under exceptional circumstances, abandon a day’s racing or abandon any race or postpone any race until a day appointed by them but in no case later than the following race day, or declare a race void if they shall determine that any occurrence before or during the running of such race calls for such action, and, in all cases, shall make a report of their actions and reasons for so doing to the Registry Office;

	<ul style="list-style-type: none"> c) control access to and may at their discretion exclude or eject any person from all stands, rooms, enclosures and other places used for the purpose of a race day; d) enquire into, regulate, control, take cognisance of, and adjudicate upon the conduct of all officials, owners, nominators authorised agents, trainers, assistant trainers, jockeys and grooms, persons frequenting the stands or other places used for the purpose of a race day; e) punish at their discretion any person mentioned in paragraph (d), who in their opinion has committed any breach of these rules, with a fine not exceeding the limit as set by the Act or any amendment thereto; f) suspend any licensee from acting or riding up to a maximum of ten (10) racing days and any jockey so suspended shall not ride in any race in Trinidad & Tobago or elsewhere during the continuance of the suspension unless, upon an appeal being lodged, the Authority so directs; g) deprive any rider from the use of his whip in a number of races not exceeding his next ten successive rides; h) punish the owner, authorised agent, trainer and rider or any of them or any other person where the Stewards have found after the holding of an enquiry, a breach by such person of Rule 53 (1), (2) or (3) has been committed concerning the running or riding of a particular horse, and at their discretion to suspend the horse from running for a period of up to 30 days; i) report any matter to the Authority if in their opinion any breach or suspected breach of these Rules has been committed and ought to be considered by the Authority, or if some punishment in excess of the maximum fine or suspensions provided for in these rules ought to be imposed; j) determine all questions arising in reference to a race day, whether during or subsequent to the race day, subject to appeal under Rule 61; k) call for proof that a horse is neither itself disqualified in any respect, nor nominated by, nor the property wholly or in part of a disqualified person, and in default of such proof being given to their satisfaction they may declare the horse disqualified; l) direct that a horse duly entered to run be withdrawn which cannot be shown to be qualified under these rules or under the conditions of the race, or where in their opinion danger may be caused to other horses or jockeys, and may in their discretion refund wholly or partially any entrance fee paid by the owner; m) refuse to accept or cancel at any time any entry which is in breach of any Rule of Racing, subject to the following conditions: <ul style="list-style-type: none"> i. reasons in writing shall be given to the Authority; and ii. a written notification of the refusal or cancellation shall be hand-delivered within twenty-four hours thereto, to the trainer; iii. failure to comply with the requirements in subparagraphs (i) and (ii) shall result in the entry being deemed to be accepted, except where it is rejected on the ground of a breach of the Rules. (n) order an examination by such person or persons as they think fit, of any horse entered for a race, or which has run in a race, and in all cases, they shall
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		<p>within 72 hours after the race-day, forward all such reports or decisions to the Registry Office;</p> <ul style="list-style-type: none"> (o) prohibit a rider from using a particular whip even in circumstances where the whip complies with the specifications approved by the Authority and shall, within seventy-two hours, submit a report to the Authority, their reasons for so doing; (p) order, the examination by a medical officer including the taking of body fluids of any jockey present in the room; (q) search any licensed person or any gear or equipment to be used by him and to take possession of any article or thing found as a result of such search which the Steward or Stewards making such search believe would afford evidence of a breach the Rules of Racing. <p>(3) No Steward shall sit or take part in any discussion or adjudicate upon any inquiry or other matter brought before the Stewards if he is in any way interested in the subject matter of the inquiry;</p> <p>(4) Should any Steward be unable to take part in any inquiry or proceedings because of any interest therein, the remaining Stewards shall have the right to co-opt persons in the place of those disqualified to adjudicate with them;</p> <p>(5) The Stewards shall not entertain any disputes relating to bets.</p> <p>(6) The Stewards shall appoint a Committee of which at least one (1) member of the previous race day panel shall review the video recordings of the day's racing or any specific race as need be and report their findings to the Stewards, who shall within their powers act on any infringements of under these Rules.</p>
The Promoter	6.	<p>(1) The Promoter means the club, company, firm or organisation responsible for the management of a racecourse, and/or for the conduct of racing at any meeting thereat.</p> <p>(2) The Promoter shall arrange for the publication, under the sanction of the Authority, of a daily official race card of the races in respect of each of the days of racing promoted by the Promoter, provided however that when race days promoted by the same Promoter take place on two or more consecutive days (Public Holidays on which there is no race meeting promoted by the Promoter and Sunday being excluded for the purpose of such computation) the Promoter shall be at liberty to arrange for the publication of one edition of the daily official card covering all such days. The said card shall specify the race track at which and the date or dates on which the day or days of racing is/are being conducted and shall signify that it is the official race programme with the sanction of the Authority. The daily official card shall contain all of the information as is set out in sub-rule (3) of this Rule.</p> <p>(3) The information referred to in sub-rule (2) of this Rule is as follows in respect of the day's racing to which the daily official card relates, that is to say:</p>

		<ul style="list-style-type: none"> (a) a list of the names of Members of the Authority, a list of the Management Committee of the Promoter, and a list of the Stewards and racing officials; (b) the name and the approximate time set for the start of each of the races, and the category of horses eligible to take part therein; (c) the total purse money allotted and distribution of same, the entrance fee, the distance and, in the case of a Condition Race, the conditions in respect of each such horse race; (d) the type of bets which are offered in respect of each such horse race; (e) the track records for all distances of races run at the racecourse; (f) the horses declared to run whose declarations have been accepted for each race, the age, colour and sex of each such horse, the sire, dam and the sire of the dam of each such horse, the weight allotted to each such horse, the rider declared for each such horse, the starting post position of each such horse and the saddlecloth number to be worn by each such horse; (g) the name or assumed name of the owner, the name of the trainer, breeder and farm at which horse was foaled, of each horse declared to run whose declaration has been accepted for each race and the racing colours registered with the Authority in respect of each horse's owner; (h) the equipment declared in respect of each horse declared to run whose declaration has been accepted for each race, a minimum of the seven most recent performances of each such horse or, in the case where a horse has not started in seven previous races, all of the said horse's previous performances. The information which shall be published in respect of the performances in the previous races shall comprise, for each such race, the date of the race, the category of horse eligible for the race, the distance of the race, the type of equipment (if any) worn by the horse, the weight carried by the horse, the name of the person who rode the horse, the odds recorded by the totalisator in respect of the horse at the time of the start of the race, the position of the horse 800 metres from the winning post and the margin behind the leader, the position of the horse 400 metres from the winning post and the margin behind the leader, the position of the horse 200 metres from the winning post and the margin behind the leader, split times up to a maximum of four, and the time of the leader at the finish of the race; i) in a claiming race, the entered claiming price for each horse declared to run whose declaration is accepted for such race; (j) the amount of purse money earned by each runner during its career and, where available, the exercise work in respect of each runner during the fourteen days preceding the race; (k) the results of the last preceding day of racing including the order in which each horse finished in each race, the weight carried by each horse, the margin between each horse and the horse next succeeding it, the time of the winner of each race and the dividends declared at the race track in respect of successful bets, provided however that in the case where such last preceding day of racing is less than three days from the race day in respect of which the daily official card is published (Public Holidays on which there is no race meeting and Sundays being excluded for the purpose of such computation)
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	<p>the results of such last preceding day of racing may be published in the issue of the next daily official card, and after the day on which but for the proviso the said results would have been published;</p> <p>(1) where the Promoter maintains his own information on the performance of a horse in its previous races; the Authority shall upon any appeal being lodged with it under these rules, rely on its own records of performance of said horses to determine said appeal.</p> <p>(4)</p> <p>a) The Promoter shall see that a saddling enclosure where the horses running in a race shall, subject as is hereinafter specified, be saddled and a parade ring are provided at the racecourse;</p> <p>b) Horses running a race must be saddled in the enclosure (Saddling Ring) specified by the Stewards, however, the Stewards may grant permission for a horse to be saddled elsewhere;</p> <p>c) A saddled horse should be walked in the Parade Ring until mounted by its jockey. Whenever a horse's tongue needs to be tied down the horse has to be inspected by the Industry Veterinarian or Clerk of the Parade Ring to confirm that the tongue has been tied down;</p> <p>d) Admission of an individual to the Saddling Ring and Parade Ring is restricted to an individual who has the badge issued to him by the Promoter exhibited in a prominent position on his person and he is a member, official or authorised person of the Promoter or the Authority, a Steward or official for the day's racing, a member of the Constabulary or Security Force, owner (limited to four per person), trainer, a jockey or groom engaged in a particular race. However, if a jockey is dressed in colours he shall not be required to exhibit his badge.</p> <p>(5) The Promoter shall see that a clean number cloth and head stall number, and an arm band for the jockey, of a pattern approved by the Authority, are provided for every horse for which a rider presents himself to be weighed out.</p> <p>(6) At the end of a race, on receipt of authorisation from the Stewards, the Promoter shall indicate the status of the race by any public-address system and/or electronic/video display whether the past the post result is:</p> <p>(a) Official, or</p> <p>(b) the subject of an inquiry or objection,</p> <p>and if the latter, the eventual decision of the Stewards.</p> <p>(7) The Promoter shall provide adequate and suitable accommodation on racecourse premises for the Authority, acceptable to the Authority for the purpose of viewing races and their performance of any other duties.</p> <p>(8) The Promoter shall be required to exclude from all places under its control -:</p> <p>(a) Every person who is warned off from the enclosure of a licensed Promoter by the Authority, or who is a disqualified person;</p>
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		<p>(b) Every person who has been reported as a defaulter, until it has been officially notified that his default is cleared;</p> <p>(c) Every person who has been declared by the turf authorities, or by the Stewards of any recognised meeting in this or any other country to have been guilty of any corrupt or fraudulent practice on the turf;</p> <p>(d) All such persons as it may from time to time be required to exclude by the Stewards or the Authority;</p> <p>(9) The Promoter of a race meeting shall appoint a person to be the Secretary to the Stewards, subject to the approval of the Authority;</p> <p>(10) The Authority may appoint an Administrative Steward who would provide to the Stewards such help and advice relating to the conduct of the race day and the Rules of Racing as they may require, subject to the approval of the Authority.</p>
The Racing Secretary of the Meeting	7.	<p>(1) The Racing Secretary shall within seventy-two hours after the race day make the following returns to the Registry Office:</p> <ul style="list-style-type: none"> a) the names of all horses that started in each race and the names of the riders, the weights carried and overweight, if any; b) the positions of the horses placed from first to last by the Judge and the distance between each of them as declared; c) all cases in which the starting stalls were dispensed with and the reason therefore; d) the reports of the Starters as provided by these rules; e) the reports of any appointed official; f) the reports of all enquiries by and decisions of the Stewards, and of all fines imposed, which must be remitted to the Registry Office; g) the reports of any incident happening between the times of a jockey weighed out and weighed in for a race and the result of the inquiry into the incident, which must, in every case, be held by the Stewards; h) the report of any horse claimed, by whom and on whose behalf; and i) copies of the image print-outs used by the Judge to determine the result of a race. <p>(2) The Racing Secretary shall obtain from the Registry Office and have in his possession, for the information of the Stewards, a list of suspended owners, authorised agents, jockeys, trainers, assistant trainers, grooms and persons in default or who have been warned off any course or place under the control of the Authority or any other recognised turf authority.</p> <p>(3) The Racing Secretary shall not allow any horse or the owner or nominator or authorised agent of such horse which is under disqualification to start for any race, nor shall he allow any suspended jockey to ride in any race.</p>

Powers of the Authority	<p>8.</p> <p>(1) The Authority shall have charge of the Registry Office and the registration and custody of owner's names, assumed names, colours, authorised agents, partnerships, syndicates, leases, contingencies, contracts, agreements or any joint arrangements.</p> <p>(2) The Authority shall maintain in an adequate filing system, records from day to day of all horses and their performances, and of all owners, trainers, and jockeys and such other persons or class of persons as it considers necessary.</p> <p>(3) All powers of the Authority not specifically defined in these Rules are reserved to the Authority.</p> <p>(4) The Authority has power to: -</p> <ul style="list-style-type: none"> (a) refuse to allow any Steward or official to act at any meeting; (b) refuse to allow any person to act or continue as an authorised agent; (c) attach conditions to sanctions given for meetings; (d) fix the dates on which meetings shall be held, and to cancel such fixtures and alter the dates of any such meetings; (e) order the abandonment of any race or meeting in the case of an emergency or where expedient to do so; (f) to recommend and/or bring to the attention of the Promoter such alterations and changes to any provisional programme as are considered necessary; (g) withdraw approval of any provisional programme previously granted for the noncompliance of the payment of Stakes and Added Money, or any other breach of the Rules of Racing by the Promoter, for which such action is deemed to be appropriate and expedient in the interest of racing; (h) make enquiry into, and deal with, any matter relating to racing; whether or not referred to them by the Stewards; (i) require from the Promoter all accounts and financial statements of meetings as may be deemed requisite; (j) refuse to accept registration of ownership of a horse from any person under the age of eighteen years nor accept their appointment as an authorised agent; (k) reconsider and correct any decision of a Judge made under these Rules and where in their opinion a Judge has made an obvious mistake in determining and announcing the winner or placed horses in a race, such decision of the Authority provided it is made within fourteen days of the race shall supersede that of a Judge; (l) entertain and determine appeals against decisions of the Stewards of the meeting, as provided for by these Rules; (m) summon any Steward, Official or such other person it considers necessary to assist in its deliberations at any enquiry, appeal or objection; (n) grant or refuse to grant and to renew or refuse to renew licences to persons requiring a licence under these Rules, or on the grant thereof attach such conditions as it may deem expedient in the circumstances; (o) withdraw or suspend the licence of any person for breach of the terms of his licence and/or for breach of any of these Rules and to make reinstatement of
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		<p>such licence at the expiry of any period of suspension subject to such requirements or conditions as it thinks fit;</p> <p>(p) entertain and decide on objections lodged under these Rules;</p> <p>(q) warn any person off the premises of any Promoter, and to declare any such person disqualified;</p> <p>(r) enter all stands, rooms, enclosures and other places used for the purpose of the meeting;</p> <p>(s) modify or suspend any rule for such period as they think fit without giving previous notice;</p> <p>(t) impose fines not exceeding the limit as set by the Act for breach of these Rules.</p> <p>(5) (a) In the event of the death of a sole registered owner of any horse the Authority may, prior to the obtaining of a grant of representation to his estate, and on such terms as it may deem necessary, permit the person who in its opinion is entitled to a grant of representation with respect to the estate of the deceased registered owner, to perform such functions which are required for any such horse to take entry to race or which are necessary for the maintenance or welfare of any horse.</p> <p>(b) In the event of the death of a person who is registered as a part owner of any horse the Authority may, prior to the obtaining of a grant of representation to his estate, and on such terms as it may deem necessary, permit the surviving registered part owner or part owners or the authorised agent of such part owner or part owners, to perform such functions which are required for any such horse to take entry to race or which are necessary for the maintenance or welfare of any horse.</p>
Decision of Authority to be final	9.	The decision of the Authority as to the meaning and effect of these Rules, or of any of the conditions of any programme or race or in any other matter whatsoever relating to racing in this country shall be final.
Disputes relating to bets	10.	The Authority takes no cognisance of any disputes or claims with respect to bets.
Appointment of officials	11.	<p>(1) The appointment of Racing Secretary, Clerk of Scales, Judge, Starter, Medical Officers and all minor racing officials shall be made by the Promoter concerned, and their names and proposed remuneration shall be submitted to the Registry Office in the month of December prior to the year of racing, for the approval or otherwise of the Authority.</p> <p>(2) In an emergency, the Stewards may, during a day of racing appoint a substitute to fill any of the above- named offices under sub-rule (1) of this Rule for that day of racing.</p> <p>(3) Each year, before commencing their duties the Stewards, Stewards' Secretary, the Starters, the Judges and the Timekeeper shall have their eyes tested for visual acuity, with or without eyes glasses, and for colour blindness.</p>
Appointment of handicappers	12.	The Authority shall approve a panel of not less than two handicappers.

Restrictions applying to officials	13.	No Judges, Starters, Clerks of Scales or Handicappers shall train, enter or run a horse in any race, or have any proprietary interest in any horse in the race at which they may be officiating.
Complaints	14.	Every complaint against an official shall be made to the Stewards in writing signed by the complainant.
Payment of stakes and added money	15.	<p>(1) The Promoter shall, upon receiving authorisation from the Authority, disburse all stakes and added money to persons entitled thereto, in the following manner:</p> <p style="padding-left: 40px;">(a) for all horses placed, within seven days after the date of the race, provided however that should a sample test be taken of any such horse, payment will be withheld until said test is reported negative; and</p> <p style="padding-left: 40px;">(b) for the winner and any placed horse of which a sample test was taken, within seven days of receipt of communication from the Authority that said sample tests are negative.</p> <p>(2) Authorisation for payment of stakes and added money shall not be given in respect of a horse that has tested positive.</p> <p>(3) Authorisation for payment of stakes and added money shall not be given in respect of any race the result of which is subject to an appeal, until such appeal is heard and determined.</p> <p>(4) Before any payments are made the Promoter shall first deduct commissions due to jockeys, trainers and grooms as prescribed by these Rules.</p> <p>(5) In the event of any alteration to the results of a race following the disqualification of a horse, the Promoter shall, within seven days of communication from the Authority, make payment to persons entitled thereto of any differences due for stakes and commissions as a consequence of this change.</p>
Clerk of the Paddock / Parade ring	16.	<p>(1) The Clerk of the Paddock/Parade Ring shall see that all horses running on the race day are saddled in the saddling stalls, wherever available and brought into the parade ring at a reasonable time before the signal to mount is given, and the attendants are provided with identification bearing numbers issued by the Promoter.</p> <p>(2) The Clerk of the Paddock/Parade Ring shall see that a clean number cloth, of a pattern approved by the Authority, is provided for every horse for which a rider presents himself to be weighed out.</p> <p>(3) The Clerk of the Paddock/Parade ring shall report a trainer to the Stewards in the case of any horse not being saddled in the saddling stalls, or not being brought into the parade ring, or of identification not being exhibited.</p> <p>(4) The Clerk of the Paddock/Parade Ring shall ensure that all horses running are paraded in numerical order according to the post position allotted by the Stewards.</p> <p>(5) The Parade Ring shall be reserved strictly for horses about to run, except where permission is granted by the Stewards for another purpose.</p>

		(6) Subject to Rule 6 (4) (d), no person shall, or without special leave of the Stewards, be allowed access into the parade ring and any person refusing to leave shall be reported to the Stewards.
The Handicapper	17.	<p>(1) The Handicapper is the official authorised to assign and change any handicap rating of horses racing in the jurisdiction. In making a handicap, the handicapper shall allot the weights to be carried in accordance with these Rules and no alteration shall be made after publication, except that, by express permission of the Stewards, a weight may be allotted to a horse duly entered whose name or weight has been omitted from the handicap; or as provided by sub-rules (2) and (3).</p> <p>(2) Any allotted weight may be altered where the allotment of that weight was made by the handicappers based on erroneous information presented to them in respect of sex, age, class, status of that horse or upon the approval of the Stewards.</p> <p>(3) Handicap lists shall be posted on a notice board situated in the Paddock, and such posting shall constitute notice of the facts therein contained to all persons concerned.</p> <p>(4) No alteration in the contents of such list shall be made save and except in accordance with the provisions of this Rule.</p>
Clerk of the scales	18.	<p>(1) The Clerk of the scales (hereinafter referred to as "the Clerk") shall weigh the jockeys in accordance with these Rules and shall cause the numbers of each horse, as allotted on the official programme or drawn by lot, as the case may be, for which a jockey has been declared, to be exhibited together with the name of the jockey, and the number shall not be taken down until the horses are under the Starter's orders.</p> <p>(2) The Clerk shall furnish the Starter with a list of runners and the draw for places as drawn by the Stewards, and he shall at once withdraw the number of any horse which has been withdrawn by the order of the Stewards or of any horse reported to him under these Rules as not coming under Starter's orders.</p> <p>(3) The Clerk shall, before each race, send a return to the Stewards of the weight carried in such a race and the names of the jockeys, specifying overweights if any.</p> <p>(4) Where extra weight, or any variation from the weight or colours appearing on the card is declared at the scale for any horse, such weight or change of colours shall be announced by any form of public address system available.</p> <p>(5) Any alteration of colours shall also be exhibited at the scales.</p> <p>(6) The Clerk shall in all cases, except where provided otherwise in these Rules, weigh in the riders of the horses placed by the Judge, and report to the Stewards any jockey not presenting himself to be weighed in.</p>
Starter and Starting	19.	<p>(1) The Starter shall give all orders necessary at the start and shall enforce any instructions laid down by the Stewards governing the start.</p> <p>(2) The horses shall be started by the Starter, or his authorised substitute, and all races shall be started from starting stalls approved by the Authority but in case of emergency with permission of the Stewards, a race may be started without starting stalls.</p>

- (3) The Starter shall obtain from the Clerk, in the weighing room, a list of runners and the draw for places.
- (4) Should the Starter consider that through any cause a horse is unable to start, he shall at once notify the Stewards that the number must be withdrawn and shall inform them whether the horse has come under his orders or not.
- (5) On arriving at the starting stalls, jockeys shall immediately report to and place themselves under the control of the Starter, and only those horses which are in the starting stalls when the red light above the starting stalls begins flashing will be deemed to be under Starter's orders, and if the red light fails to function, the Starter shall wave a white flag to indicate that he is ready to start the horses and only those horses then in the starting stalls shall be deemed to be under Starter's orders.
- (6) The Starter may remove an unruly horse from its allotted place and place it in the outside stall so that it cannot cause danger to or prejudice the chances of the other horses and jockeys engaged in the race.
- (7) If any horse refuses to go into the stall allotted to it within a reasonable time, the horse may be withdrawn by the Starter.
- (8) In the event of any horse running the course from a void start, or for any other reason, the owner or trainer may with the consent of the Stewards, withdraw his horse from the race.
- (9) Except in cases of emergency, every horse shall be started from an approved Starting stall by the Starter. If the doors at the front of the Starting stall should not open due to mechanical failure or a malfunction of the Starting stall, when the Starter dispatches the field, or should a horse's chances be compromised as a result of the foregoing, or should a horse not be in the Starting stall when the field is dispatched, thereby causing such horse to be left, the Starter shall immediately report such fact to the Stewards, and the Stewards may declare such left horse to be a non-starter.
- (10) In any case of emergency, the Starter may start a race without using the approved stalls and if in so doing the race is run over a shorter distance, it shall not be void.
- (11) The Starter shall report to the Stewards, all cases when he has dispensed with the starting stalls or made any notification under this rule, his reasons for doing so, and the time which each race was started and by whom or by what cause any delay was occasioned, and the Stewards shall in turn make a report to the Registry Office.
- (12) No owner, trainer, employee or any other person shall be allowed upon the course at the start without the permission of the Stewards.
- (13) No trainer, attendant or Starter's assistant shall be allowed to use the whip, substitute for a whip or any device on any horse, prior to the start while under Starter's orders.

		<p>(14) The Starter may recommend to the Stewards a fine not exceeding the stipulated riding fee of any jockey who misconducts himself at the post and the Starter shall report the fact at once to the Stewards.</p> <p>(15) Subject to this rule the Starter may use his own discretion for effecting an equitable start.</p> <p>(16) A horse shall be deemed to be starting when declared to start at the time fixed for the final declaration stage on race day.</p>
The Judge	20.	<p>(1) The Judge or his authorised substitute shall occupy the Judge's Box at the time the horses pass the winning post, failing which the race may be void.</p> <p>(2) The Judge shall announce his decision immediately or after consulting the photograph and shall determine the winner according to that part of the horse's head excluding the ears, which is first at the winning post, the remaining places being determined in a similar manner.</p> <p>(3) The Judge's decision shall be final, unless an objection to the winner or any placed horse is made and sustained.</p> <p>(4) The Judge or his authorised substitute shall not be prevented from correcting any obvious mistake, subject to confirmation by the Stewards, and subject also to the powers of the Authority under these Rules.</p> <p>(5) Where the Judge estimates that the distance between the winner or the second, or the third and the remainder of the field is a neck or less, he must consult the photograph before announcing his decision on the place concerned.</p> <p>(6) Where the Judge calls for a photograph to determine the winner, no announcement other than the displaying of the word "Photo" or "Photograph" shall be made until the picture is consulted.</p> <p>(7) Where the Judge calls for a photograph to decide second or any other placing he shall announce the winner immediately and exhibit the word "Photo" or "Photograph" showing that a photograph has been called for to decide on the lower place in question.</p> <p>(8) The Judge shall, after each race, sign and send a report of the placings to the Stewards.</p>
Meetings to be sanctioned	21.	<p>(1) All meetings must be sanctioned by the Authority.</p> <p>(2) Within fourteen days after the Annual General meeting of any Promoter, the Secretary shall forward to the Registry Office a statement of accounts for the preceding year, duly audited and certified by a chartered or incorporated accountant.</p> <p>(3) Applications for fixtures and conditions governing Graded and other subscription races for the following year must be made to the Authority on or before the 1st October of each year or otherwise with the permission of the Authority.</p>

		<p>(4) The racing season shall commence on the 1st day of January and end not later than the 31st day of December in a calendar year.</p> <p>(5) The detailed conditions governing the Graded and other subscription races as approved by the Authority, must be published and made available to all interested persons not less than one month prior to the closing date for taking of first nominations to said races.</p>
<p>Regulations for race meetings, programmes</p>	<p>22.</p>	<p>(1) These Rules shall supersede the conditions of any race or the regulations of any race meeting should they be in conflict.</p> <p>(2) (a) In every case where the period of the race days is four or less, a complete provisional programme for said days, containing the conditions of every race, the general conditions of the meeting, and the days of holding the same, shall be submitted to the Authority for its approval a minimum of four weeks prior to the proposed date for the taking of entries for the first of the days covered by the programme.</p> <p>(b) Where however, in the case where the period of the race days is more than four, the terms and conditions in this regard shall be subject to such altered terms and conditions as the Authority may determine.</p> <p>(3) The approved provisional programme must be published and made available to all interested persons not less than two weeks prior to the taking of first entries for the meeting.</p> <p>(4) Unless otherwise permitted by the Authority, programmes shall consist solely of the following categories of races:</p> <ul style="list-style-type: none"> (a) Claiming; (b) Optional Claiming; (c) Condition; and (d) Handicap; <p>Claiming and Optional Claiming races shall not exceed one half of the number of races framed on any race day.</p> <p>(5) No changes to any provisional programme shall be permissible, unless such are submitted to the Authority for approval.</p> <p>(6) Any alteration made in a provisional programme, shall be approved by the Authority before that programme can be made "Official" by the Promoter, and no change shall be made in a programme once it has been advertised as "Official", unless approved by the Authority.</p> <p>(7) It shall be the duty of the Promoter holding the meeting to advertise the programme as "Official" not less than five days prior to the date of the closing of entries for any race day, and such advertisement shall contain all amendments made to the programme, as originally approved by the Authority.</p>

		<p>(8) Notwithstanding the foregoing, the Authority with good cause may order that a correction be made to any official notice, and such corrections shall be made and published not less than two days prior to the closing date of entries for said race day.</p> <p>(9) It shall be the duty of the Promoter holding the meeting, to ensure that prize monies inclusive of all Added Money and subscriptions allocated for each day of said meeting for which entries will be taken, shall be placed in a special bank account a minimum of seven (7) days prior to the holding of said race day. No withdrawal shall be made from this account except for the purposes of payment of prizes for the specific race day. The Promoter shall authorise the bank in which the account is held, to disclose to the Authority the state of the account, whenever the Authority makes the request from the bank.</p>
Omitted conditions	23.	<p>(1) When the weights are omitted from the advertised conditions of any race, the horse with the highest handicap rating shall carry (55) kg subject to penalties and allowances.</p> <p>(2) When no distance is mentioned, the following shall apply: -</p> <ul style="list-style-type: none"> (a) two-year olds-1000 metres; (b) three-year olds-1200 metres; (c) four-year olds and over-1750 metres, and if the course does not provide for the exact distances stated, the nearest distance shall apply. <p>(3) If the horses are of different ages the course shall be fixed by the age of the youngest.</p>
Handicaps	24.	<p>(1) In handicaps the top weight which can be allotted shall not exceed 60.5 kg; provided however that:</p> <ul style="list-style-type: none"> (a) in handicaps restricted to two-year-old horses the maximum handicap shall not exceed 58kg; and (b) in handicaps in which two-year-old horses run against horses of different ages, the maximum handicap allotted to the two-year-old shall not exceed 56 kg. <p>(2) The top weight allotted in a handicap shall not be less than 57kg for horses three years & over and 55kg for horses two years old in races restricted to two-year-old horses and when racing against older horses. Provided however that if the highest allotted starting weight is less than as stated in this rule, it shall be raised to that weight and the other entrants raised equally.</p> <p>(3) For purposes of sub-rule (2) above, a horse shall be deemed to be in a race if declared to run at the final declaration stage on race day.</p> <p>(4) In handicaps the lowest starting weight shall not be less than 44 kg, provided however that the Handicapper may frame his handicap down to weights below the permitted bottom weight.</p>
Restrictions on horses running	25.	<p>(1) A race shall not be less than 1000 metres for horses three years old and over, and 900 metres for horses two years old except with the permission of the Authority.</p>

		<p>(2) Yearlings shall not run in any race.</p> <p>(3) Two-year olds shall not run: - (a) before the 1st May; and (b) more than 1200 metres nor in handicaps before 1st August.</p> <p>(4) No horse shall run more than one race in one day.</p>
Calculation of horse's age	26.	The age of a horse shall be calculated from the 1st of January in the year in which he is foaled.
Rules for registration of horses	27.	<p>(1) A register of horses shall be kept by the Authority at the Registry Office, and shall contain the age, sex, pedigree, colour of the horse, microchip (RFID) numbers and any distinguishing marks.</p> <p>(2) No horse shall be registered in the name of or transferred to a person who is neither a registered owner, nor a recognised Company including a Company which has applied to be a recognised Company, nor a partnership nor a partnership which has applied to be registered nor a registered Syndicate or Syndicate which has applied to be registered.</p> <p>(3) Unless claimed as reported by the Promoter under Rule 7 (1)(h), no horse shall be transferred except by instrument in writing under the signature of the owner or his authorised agent and shall be counter-signed by the purchaser or his authorised agent and shall set out in full all conditions attaching to the transfer and in particular if future nominations are transferred or not. The report by the Promoter under Rule 7 (1)(h) shall be accepted as a transfer of a claimed horse subject to the payment of the relevant fee.</p> <p>(4) All horses registered with the Trinidad & Tobago Racing Authority must be vaccinated for their protection against the following diseases:</p> <ol style="list-style-type: none"> 1. Equine Influenza 2. Equine Rhino pneumonitis 3. Tetanus <p>The program of vaccinations must be given (throughout the lifespan of the horse) in accordance with the following schedule:</p> <ol style="list-style-type: none"> 1. First inoculation at three (3) months of age. 2. Second inoculation at four (4) months of age. 3. Booster inoculation for Equine Influenza and Equine Rhino pneumonitis must be given every four (4) months. 4. Booster inoculation for Tetanus must be given at sixteen months and thereafter, every twelve (12) months. <p><u>Horses imported from outside of Trinidad & Tobago, must be vaccinated against:</u></p>

		<ol style="list-style-type: none"> 1. Equine Influenza and Equine Rhino pneumonitis in the country from which imported within thirty (30) days prior to the date of export. 2. Tetanus in the country from which imported within six (6) months prior to being imported into Trinidad & Tobago. 3. Booster inoculation for Equine Influenza and Equine Rhino pneumonitis must be given every four (4) months. 4. Booster inoculation for Tetanus must be given at sixteen months and thereafter, every twelve (12) months.
Registration of foreign horses	28.	<p>(1) Horses foaled and registered in any country in which there is a racing authority recognised by the Authority shall only be accepted for registration by the Registry Office in accordance with the Rules for Registration of Horses.</p> <p>(2) Horses foaled in a country in which there is no stud book authority recognised by the Authority shall not be eligible for registration in Trinidad and Tobago.</p>
Names of horses	29.	<p>(1) A name can only be claimed for a horse by application at the Registry Office and where there is no other horse of the same name, the name shall be registered, and the ruling of the Authority in this respect shall be final.</p> <p>(2) In the case of a horse which has been registered outside of Trinidad and Tobago, under the same name as one already registered in Trinidad and Tobago the name may be claimed for him if accompanied by a suffix denoting country of birth, and the name with the suffix shall then be registered.</p> <p>(3) If the same name is simultaneously claimed for two or more horses, the order of priority shall be determined by the earliest date and time received at the Registry Office.</p> <p>(4) No horse shall run un-named.</p> <p>(5) A horse's name shall not be changed: -</p> <ol style="list-style-type: none"> (a) after the horse has started; (b) after the horse has been bred - regardless of age; or (c) in the case of an imported horse whose name has been registered by another recognised turf authority except where written permission has been given by that authority. <p>(6) Whenever the name under which a horse has run at any recognised meeting in any country is changed or abandoned, his old name as well as his new name or description must be given on the first entry form after the change of name has been registered, and the new name and the old name shall be included in the race programme for that meeting.</p> <p>(7) Notwithstanding the provision of these rules, no claim for the use of a name shall be accepted unless and until approved by the Authority.</p>

	<p>(8) Names once claimed may be corrected without payment of a fee due to misspelling only, if such correction is done not later than May 15 in the year the horse becomes two years old.</p> <p>(9) A name may be claimed without charge for any horse, if the name is claimed by March 31 in the year it becomes a two year old, after that date however a penalty as determined by the Authority will be payable.</p> <p>(10) Names may not be claimed by telephone. It is required that all names be claimed in writing for specific foals giving colour, sex, sire, dam, date of birth and Microchip (RFID) number.</p> <p>(11) All claims for names must be signed by the owner or authorised agent.</p> <p>(12) Names cannot be claimed for unregistered foals.</p> <p>(13) The name of a gelding which has been reported dead to the Authority may be duplicated ten years after receipt of the said death report.</p> <p>(14) All names are subject to approval by the Authority and the decision of the Authority to accept or reject any name shall be final in all cases.</p> <p>(15) Names not eligible: –</p> <ul style="list-style-type: none"> (a) currently in use; (b) classic horses and champion horses at home and abroad so long as they have gone to stud, or those appearing in the International List of Protected Names; the List of Protected Names in Trinidad and Tobago, or such lists from turf authorities as recognised by the Authority; (c) names consisting of more than eighteen (18) letters; spaces will be counted as letters and there will be no punctuation; (d) names of living persons unless their written permission to use their name is filed with the Authority; (e) consisting entirely of numbers, such as one, six, etc.; (f) ending in filly or colt; (g) which are recorded, assumed names, pseudonyms or stable names used for racing purposes; (h) similar in spelling or pronunciation to names already in use; (i) which are offensive or suggestive or which have a vulgar or obscene meaning;
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		<p>(j) with identical prefixes or suffixes used by one owner other than titles such as Mr., Mrs., Miss, Master, etc.;</p> <p>(k) given for obvious advertising reasons except with the written approval of the company or body associated with the name. Such names must be submitted to and have the approval of the Authority;</p> <p>(l) considered in poor taste or names that may be offensive to religious, political or ethnic groups; and</p> <p>(m) start with a sign other than a letter.</p> <p>(16) Concerning names registered and not protected, the following criteria will form the basis upon which a suitable period during which registered names will not be renewed:</p> <p>(a) in the case of stallions, fifteen years after death or fifteen years after the last recorded year in which they covered mares or at thirty-five years of age, whichever is soonest;</p> <p>(b) in the case of broodmares, ten years after their death or ten years after the last recorded year in which they were covered or produced a foal, or at twenty-five years of age;</p> <p>(c) in the case of all other horses, five years after their death, or at twenty years of age whichever is the soonest.</p>
Disqualification of horses	30.	<p>(1) A horse is not qualified to be entered or run in any race where:</p> <p>(a) he has run at an unrecognised meeting; but a horse shall not, unless the Authority otherwise decides, be disqualified on the ground that he has run at a <i>bona fide</i> gymkhana held to provide funds for charitable purposes providing that no such horse which has previously been classified shall thereafter be entitled to be classified;</p> <p>(b) he has not obtained a satisfactory certificate from the Starter authorised by the Authority or the turf authority of the country in which the horse is or has been trained;</p> <p>(c) he is owned or part owned by a disqualified person;</p> <p>(d) he is in the stable of, or under the care and management of, a disqualified person;</p> <p>(e) his owner is on the Arrears List;</p> <p>(f) he is by these Rules or by the conditions of the race not qualified;</p> <p>(g) he has been declared disqualified by the Authority;</p> <p>(h) he has not been duly registered at the Registry Office;</p> <p>(i) he has not been implanted with a Microchip (RFID).</p> <p>(2) Any horse which is the subject of fraudulent or corrupt practices may, at the discretion of the Authority, be disqualified for such time and for such races as the Authority shall determine.</p>

		<p>(3) Where a horse has been the subject of an examination under these Rules and the result of an analysis of any sample of its tissue, body fluid or excreta is positive, the horse will be liable to disqualification and loss of purse in accordance with the provisions of Rule 104 A and B. The Authority further reserves the right in its discretion to debar said horse from taking entry to race for such time as they shall determine.</p> <p>(4) A horse that runs in a race and is not the horse that he was represented to be at the time of entry shall on any objection under Rule 59(8)(a) be disqualified from such race;</p> <p>(5) No horse shall be disqualified for a race in respect of any breeders' or sires' premiums payable under the conditions of the race to a disqualified person as breeder or nominator, and in the event of such horse winning or being placed, such bonus shall be forfeited.</p>
<p>Entries, subscriptions, declarations to run and acceptance for races; General</p>	<p>31.</p>	<p>(1) All entries and declarations are under the supervision of a Steward, and he may, in his discretion, but subject to the provisions of these rules, refuse the entries of any person.</p> <p>(2) Every entry, subscription and declaration to run shall be lodged at such a place not later than such an hour on the day fixed for the closing of the same in the conditions of the race and in such manner as the Promoter of the meeting shall direct. Any such entry, subscription or declaration is subject to alteration or withdrawal up to, but not after, the time fixed for closing of entries except as provided in these Rules.</p> <p>(3) All entrance fees must be paid at the time of entry.</p> <p>(4) No horse may be entered for any race if such horse is not registered for racing purposes with the Authority. Registered for racing shall include all such requirements as the Authority shall from time to time decide.</p> <p>(5) No horse may be entered for any race (other than a race for which subscriptions close when such horse is a foal or yearling) by or on behalf of any owner or any syndicate or any partnership or any company whose name is not duly registered in accordance with these Rules.</p> <p>(6) <i>Any horse once entered/declared to start for any specified/particular day of racing shall race under the ownership of the person, syndicate or company in whose name it was entered.</i> If a change of owner shall be registered after entries have closed, the horse shall not be allowed to race.</p> <p>(7) Entries for all races shall, not later than on the day following the date of closing, be published by the Promoter.</p> <p>(8) In every race the entry shall state the name of the jockey and shall be accompanied by a retainer form which must be signed by the jockey or his duly appointed and in the case of an apprentice who is contracted to a trainer or is enlisted in the Apprentice Jockey School/Training Programme, the form must be signed by the said trainer or Apprentice Jockey School/Training Programme tutor as the case may be, and the retainer form shall state the body weight at which the jockey or apprentice contracts to ride.</p> <p>(9) A horse is not qualified to run in any race:</p>

		<ul style="list-style-type: none"> (a) unless it is duly entered for the same; (b) unless it is trained by a licensed trainer; (c) unless it has been examined by the Industry Veterinarian in accordance with the published conditions of the meeting; (d) if it has been tubed on the day of the race; (e) unless its jockey has been duly weighed out in accordance with these Rules; (f) if its vaccination status is not current, at the time of entry; (g) if its owner's or any of its part owner's registration has lapsed; and (h) unless it has been implanted with a Microchip (RFID). <p>(10) In the case of 31 (9) (g), any entry/declaration received in breach of this sub-rule, must be regularised by 12:00 noon on the day following the closing of entries.</p> <p>(11) Any horse which has been withdrawn from racing on medical grounds, shall not be permitted to take entry or race until such time as the Industry Veterinarian or his/her delegated representative, in his/her opinion determines that the horse is fit, sound and ready for racing, and in such a case, he shall issue a certificate of fitness and soundness in respect of such horse.</p> <p>(12) All horses placed on the Veterinarian's List must remain there for a mandatory period of seven (7) days from the date of being placed on the list. The horse may then be presented for removal from the list after this period of time has elapsed. (See also Rule 103 (B)).</p>
Form of entry or nomination	32.	<p>(1) Entries shall be made in writing on the prescribed form and must be signed by the trainer named on the entry form.</p> <p>(2) A horse shall not be entered in the real or assumed name of any person as his owner, unless that person's interest or property in the horse is at least equal to that of each other person and has been so registered.</p> <p>(3) The entry shall be made in the name or assumed name of one person and shall state the name or assumed name of the owner, the name of the trainer, and all other information as may be required.</p> <p>(4) The claiming price of a horse entered in a claiming race or optional claiming race must be stated on the entry.</p> <p>(5) No addition shall be made to any entry after the time fixed for closing.</p> <p>(6) A correction of an accidental error, affecting sub-rules (1) to (4) of this rule may be allowed by the Stewards with or without payment of a fine (as determined by the Authority).</p> <p>(7) Entries shall not become void on the death of the person in whose name they have been made, and all rights, privileges and liabilities that could have attached to the deceased shall attach to his personal representative.</p>

		<p>(8) The form for entry in a race shall state –</p> <ul style="list-style-type: none"> (a) the name of the horse; (b) the date of the race day; (c) the number of the race; (d) the claiming price, where applicable; (e) the name and weight of the jockey engaged to ride; (f) the name of the groom; (g) the equipment to be worn by the horse; (h) subject to sub-rule 32 (8) (g) the form for entry shall be such that the trainer shall indicate any new equipment and/or any change in equipment, to that which was worn in its prior start. (i) if the horse is to run on furosemide and the required dosage; (j) and any other requirements as stipulated/recommended by the Authority. <p>(9) For purposes of this rule equipment means hood, blinkers, visor, cheekpieces, eye cover, eye shield, tongue strap or any combination thereof.</p>
Assumed and corporate names	33.	<p>(1) An assumed name cannot be used unless registered with the Registry Office.</p> <p>(2) Any person who has registered an assumed name may at any time abandon it by giving written notice to the Registry Office, thereafter all entries that have been made in the assumed name shall be altered to the real name of the owner.</p> <p>(3) An assumed name shall not be registered for a licensed trainer, a licensed assistant trainer, a holder of a permit to train, a bookmaker, nor in respect of a partnership in which any of such above-mentioned persons is a member.</p> <p>(4) No person or group of persons shall register more than one assumed name and shall use the assumed name for a period of not less than one year when entering horses at any race meeting.</p> <p>(5) A person once having registered an assumed name, shall only enter horses in his assumed name and real name.</p> <p>(6) Where any group of persons register an assumed name, colours shall also be registered for such partnership or syndicate, and no horse entered under an assumed name shall race in any colours other than those registered as aforesaid except with the permission of the Stewards. In the event that the Syndicate/Assumed Name is dissolved, the racing colours will become available to any owner, upon application.</p>
Owners and Authorised Agents' registration	34.	<p>(1) A register of the names of owners of horses shall be kept by the Authority at the Registry Office.</p> <p>(2) The name of an owner may only be registered by application to the Registry Office on the prescribed form signed by the owner in the presence of a witness. An Owner shall be a person eighteen (18) years and over.</p>

- (3) In the case of an owner other than a recognised company, a fee (as determined by the Authority) must accompany each application.
- (4) On approval of the application by the Authority, registration as an owner or authorised agent becomes effective and the owner or authorised agent shall be bound by the Rules of Racing.
- (5) If the Authority finds that the financial responsibility, experience, character and general fitness of the applicant are such that the participation of such person will be consistent with the public interests of racing generally, in conformity with the purpose of the law, it shall thereupon register the applicant as an owner. If the Authority finds that the applicant fails to meet any of the said conditions, it shall not register the applicant as an owner and it shall notify the applicant of the denial. The conditions for acceptance of **new** applications are as follows:
- (a) * **Two (2) recent Passport Sized photographs (NOT MORE THAN THREE (3) MONTHS OLD), taken against a white background.**
 - (b) * **Originals and copies of two (2) valid forms of identification (ID, DP, Passport).**
 - (c) * **Original and copy of the most recent (NOT MORE THAN THREE (3) MONTHS OLD) Utility Bill (in the name of the applicant):**
 - a. If the applicant does not have a utility bill in his/her name, a letter of verification from the person listed, indicating that applicant is a resident at that address should be attached to the copy.
 - (d) A Bank Reference Letter from a Bank or any other Financial Institution
 - (e) Police Certificate of Character.
- (6) The Authority may refuse to register an applicant as an owner or renew an owner's registration or may suspend or revoke an owner's registration if it finds that the applicant or the owner has been convicted of a crime other than a breach of the Road Traffic Act in any jurisdiction or is financially irresponsible or has been guilty of or attempted any fraud or misrepresentation connected with racing, breeding or otherwise, or has violated or attempted to violate any law with respect to racing in any jurisdiction or any rule, regulation or order of the Authority, or shall have violated any racing rule or if any application made under this rule contains any matter which is false in any material particular to the knowledge of any person signing it or has been guilty of or engaged in similar, related or like practices.
- (7) If an owner becomes a disqualified person or is in arrears or has a receiving order made against him, his registration becomes void.
- (8) Where a horse is owned by a partnership, a document stating the name and address of every partner having an interest in the horse and the relevant proportions of such interest, must be signed by all the partners or their authorised agents and lodged at the Registry Office, and a partnership shall consist of not more than five persons.

(9) No partner shall assign his share or any part thereof in a horse without the consent in writing of his partners, and the Registry Office shall be notified immediately, in writing, of the severance or termination of a partnership.

(10) Where a horse is owned by a syndicate, which is for the purposes of these Rules a group of more than five persons, a formal agreement signed by every member of the syndicate or his authorised agent shall be lodged at the Registry Office at the time the application for registering ownership is made together with such further information as the Authority may from time to time require.

(11) The agreement shall include the name and address of each member of the syndicate and the share each member has in the horse and shall set out all financial arrangements agreed between the members and in particular details in respect of payment of any contribution due from members towards training and other expenses.

(12) A fee (as determined by the Authority) shall be paid at the time such an agreement is lodged, which shall be refunded if the application for registration of ownership is refused.

(13) In the event of any change in the terms of the agreement a new agreement shall be lodged at the Registry Office, and failure to comply with this sub-rule shall result in the horse not being allowed to race.

(14) On the Authority approving membership of the syndicate the agreement shall be registered and the Registry Office shall forward certified copies of the agreement to those members of the syndicate who act on behalf of the syndicate.

(15) A recognised company shall be eligible to apply to the Registry Office for its name to be included in the Register of Owners, and such company shall only enter and run a horse if it is the sole legal owner of that horse.

(16) The Authority shall have complete discretion whether to approve and register any company as a recognised company or not, save that they shall refuse to approve and register a company as a recognised company unless -

- (a) they have been provided with a list of the names of the directors and of the company secretary and are satisfied that none of them is a disqualified person;
- (b) a copy of each of the following documents has been lodged at the Registry Office-
 - (i) Memorandum and Articles of Association and the address of registered office;
 - (ii) receipts for filing the list of directors and the registered office;
 - (iii) copy of Certificate of Incorporation and Articles of Continuance; and
 - (iv) the Sixth Schedule return for the previous year.

(17) A deposit (as determined by the Authority) is payable by the company on application for registration.

(18) The Authority shall cancel the registration of any recognised company under these Rules where -

- (a) any director of the company is a disqualified person;
- (b) any officer of the company fails to furnish to the Authority or the Registry Office such information as they may request, within a reasonable time;
- (c) the company is being wound up or a receiver and manager has been appointed;
- (d) any authorised agent of the company is or becomes a disqualified person.

(19) A recognised company shall only be entitled to exercise the power of an owner through its authorised agent and a fee (as determined by the Authority) in respect of each agent shall be paid annually.

(20) No horse owned by a recognised company may be entered or fulfil any engagement unless there is in the register at least one authorised agent of that company, and no more than two agents shall be authorised for any one company.

(21) The Authority shall cancel the registration of any authorised agent if –

- (a) the authorised agent is or becomes a disqualified person;
- (b) the authorised agent is or becomes bankrupt; and
- (c) the recognised company ceases for any reason to be registered as such.

(22) Registration of an authorised agent will be cancelled at the request of the recognised company if, and only if, the request is made in writing under the company seal and is accompanied by a copy of the minutes recording the company's resolution to cancel the said registration.

(23) All registration of owners, partnerships, syndicates and recognised companies appearing in these Rules expire on the anniversary date (date of first registration) each year, and must be renewed annually thereafter, with the Authority on the prescribed form. Registration and renewal of owners, partnerships, syndicates and recognised companies can also be completed for multiple terms up to three years with the relevant fee applicable to the time period, i.e. by two-for-two-year terms and three-for-three-year terms.

(24) All leases and contingencies on sales of horses shall take effect from the date appearing on the relevant forms duly signed by the relevant persons for the period therein stated upon payment of the relevant fees.

(25) In the event of the death of an owner, registration of horses will be permitted following the submission of the required legal documentation.

(26) Renewal of licences applied for after November 30th shall only be granted at the discretion of the Authority, and will be subjected to a late renewal penalty.

		<p>(27) Current Owners licenced in another recognised jurisdiction would be licenced locally without fulfilling the requirements of a new owner, once they can provide a clearance/statement of good standing from the jurisdiction within which he/she is licenced.</p> <p>(28) The decision of the Authority in all matters to which this rule relates shall be final.</p>
Racing colours	35.	<p>(1) Racing colours shall be registered at the Registry Office. Colours can be registered for a period of one (1) year, three (3) years or lifetime, upon payment of the requisite registration fee.</p> <p>(2) Subject to Rule 33 (6), colours registered with the Registry Office shall not be taken by another owner for a period of five years after the registration has lapsed. A person claiming through the estate of a deceased owner may be permitted to take the colours of the deceased owner subject to approval by the Authority.</p> <p>(3) All disputes as to the rights to particular colours shall be settled by the Authority.</p> <p>(4) No owner shall register more than one set of colours; however distinguishing caps shall be declared at the scale where an owner has more than one runner in the same race.</p> <p>(5) The Authority reserves the right to retire colours or to preclude the registration of colours previous registered on the basis of the prolonged and meritorious use of such colours by a previous owner.</p>
Jockeys	36.	<p>(1) No person shall ride in any race at any recognised meeting until he has obtained a licence from the Authority.</p> <p>(2) Licences expire on December 31 each year and must be applied for annually at the Registry Office on the prescribed form, with full name and address.</p> <p>(3) Renewal of licences applied for after December 31 shall only be granted at the discretion of the Authority, and will be subjected to a late renewal penalty.</p> <p>(4) Any horse ridden in a race in contravention of this rule shall be liable to disqualification by the Stewards, and the jockey and the person responsible for his being employed, shall be reported to the Stewards.</p> <p>(5) Every jockey shall procure, at his own expense a medical certificate of fitness from a medical doctor and eye-test from an optometrist before he can obtain a licence or a renewal of licence.</p> <p>(6) The Authority may at any time require a jockey to undergo a medical test for drug or alcohol abuse, as a condition precedent for the grant, retention or renewal of his licence.</p>

	<p>(7) No person shall ride in any race at any recognised meeting or exercise any horse unless he is wearing an approved skull cap fitted with a chin strap which meets one of the following safety standards (or any recognised standard which may be updated from time to time):</p> <ul style="list-style-type: none"> (a) ASTM F1163 (b) UK standards BS (EN-1384) and (PAS-105) or (c) AS/NZ 3838 <p>(8) (a) No whip shall be used that weighs more than one pound or is longer than 30 inches with one popper; no stingers or projections extending through the hole of a popper or any metal part on a whip shall be permitted.</p> <p>(b) The Stewards shall take cognisance of unusual use or non-use of a whip by a Jockey. The use of a whip in an excessive, unnecessary or improper manner as determined by the Stewards in their sole discretion, is prohibited.</p> <p>(9) When a jockey intends to use spurs in a race, such fact must be declared to the Clerk of the Scales at the time of weighing out.</p> <p>(10) As well as a body protector (safety vest) designed to provide shock absorbing protection which complies with one of the following standards (or any recognised standard which may be updated from time to time):</p> <ul style="list-style-type: none"> (a) BETA: 2000 Level 1 (b) EN 13158:2000 Level 1 (c) ASTM F2681-08 (d) SATRA Jockey vest document M6 Issue 3 (e) ARB Standard 1, 1998 <p>(11) Jockeys leaving the country to ride at a meeting abroad must obtain a clearance from the Authority.</p> <p>(12) Before leaving the country in which he has been riding, the jockey must obtain a clearance from the turf authority or recognised authority of that country, before he is permitted to ride again in Trinidad and Tobago.</p> <p>(13) An apprentice leaving the country to ride abroad must, in addition to fulfilling the above requirements in sub-rules (11) and (12), obtain a certificate from the Authority indicating his total number of wins and rides at that date, and shall obtain a similar certificate of performance from the turf authority or recognised authority of the country in which he rode, before he is permitted to ride again in Trinidad and Tobago.</p> <p>(14) In the absence of any special agreement which is registered with the Authority, jockeys and apprentices shall be paid commissions on prize money in accordance with the provisions of these Rules.</p>
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	<p>(15) An owner shall pay to jockeys and apprentice jockeys per mount such fees as the Authority shall from time to time determine.</p> <p>(16) The fees specified in sub-rule (15) shall be deposited with the Clerk of the Scales or his authorised substitute before a jockey or apprentice jockey is weighed-out.</p> <p>(17) If a jockey does not fulfil his engagements on medical grounds, he must produce a medical certificate stating his fitness to resume riding (before he accepts engagements to ride on the next race day).</p> <p>(18) A jockey must report to the jockeys' room not later than one hour prior to post time for the first race of the day. Jockeys shall not leave the jockeys' room except to ride in scheduled races, until all of their riding engagements for the day have been fulfilled except as approved by the Stewards.</p> <p>(19) A jockey who has not fulfilled all riding engagements and who desires to leave the jockeys' room must first receive the permission of the Stewards (or must present a certificate of unfitness signed by a medical doctor).</p> <p>(20) While in the jockeys' room, jockeys shall have no contact or communication with any person outside the jockeys' room other than Authority personnel or officials, the owner, the trainer or trainer's authorised assistant for whom the jockey is engaged to ride, except with the permission of the Stewards. Any communication permitted by the Stewards may be conducted only in the presence of the Clerk of the Scales or other person designated by the Stewards.</p> <p>(21) Once jockeys have fulfilled their riding engagements for the day and have left the jockeys' room, they shall not be re-admitted to the jockeys' room until after the entire race programme for that day has been completed, except with the permission of the Stewards.</p> <p>(22)(a) <u>International jockeys should be allowed entry</u> into Trinidad and Tobago <u>to participate in one-day events on production of evidence of being here for said purpose</u>. This category of persons will not require Work Permits and should therefore be granted an entry certificate under Section 9 (1) (c) of the Immigration Act, Chapter 18:01 and subject to normal entry requirements <u>for periods of four (4) calendar days or less. If longer entry certificates are requested for this purpose, Work Permits will have to be provided.</u></p> <p>(b) CARICOM nationals applying for entry as jockeys should be advised to apply for the Recognition of Caribbean Community Skills Certificate (Sportsperson) from their home country <u>prior to their arrival</u> and may be granted entry in accordance with such certificates.</p> <p>(23) No licence shall be given to amateur or professional jockeys, who are under the age of thirteen (13).</p>
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		(24) The use of public, cellular or any other kind of telephone at the race courses is prohibited in the jockeys' room unless the consent of the Stewards is obtained.
Paddock to post	37.	<p>(1) Every horse shall carry its full weight assigned for that race from the paddock to the starting post and shall parade (as directed by the Stewards), unless excused by the Stewards.</p> <p>(2) After the horses enter the track no jockey shall dismount nor entrust his mount to the care of an attendant unless / in the case of an accident occurring to the jockey, the horse or the equipment and with the prior consent of the Starter. During any delay in which a jockey is permitted to dismount, all other jockeys may dismount and their horses may be attended to by (authorised attendants). After the horses enter the track, only the jockey, an Assistant Starter, authorised attendants, the Industry Veterinarian or an outrider may touch the horse before the race.</p> <p>(3) If a jockey is injured on the way to the post, the horse shall be returned to the paddock or any other area designated (and may be) re-saddled with the appropriate weight and remounted with a replacement jockey subject to approval by the Stewards.</p> <p>(4) In case of accident to a jockey or his mount or equipment, the Stewards or the Starter may permit the jockey to dismount and the horse to be cared for during the delay and may permit all jockeys to dismount and all horses to be attended to during the delay.</p> <p>(5) If a horse throws its jockey on the way from the paddock to post, the horse must be returned to the point where the jockey was thrown where it shall be remounted and then proceed over the route of the parade to the post, (unless ordered scratched by the Stewards). The horse must carry its assigned weight from paddock to post and from post to finish.</p> <p>(6) If a horse leaves the course while moving from paddock to post, the horse shall be returned to the course at the nearest practical point to which it left the course and shall complete its parade to post from the point at which it left the course, unless ordered scratched by the Stewards.</p> <p>(7) No person shall wilfully delay the arrival of a horse at the post. Only the jockey, Industry Veterinarian, the Starter, Assistant Starter or authorised attendants shall handle a horse at the post.</p>
Post to finish	38.	<p>(1) The Starter is responsible for ensuring that each participant receives a fair start.</p> <p>(2) If when the Starter dispatches the field, any door at the front of the starting (stalls) does not open properly due to mechanical failure or should any malfunction or action by any starting personnel directly cause a horse to receive an unfair start, the Stewards may declare such a horse a non-starter.</p>

	<p>(3) Where an accident or malfunction of the starting (stalls) or other unforeseeable event compromise the fairness of the race or the safety of race participants, the stewards may declare individual horses to be non-starters, exclude individual horses from all <i>pari-mutuel</i> pools or declare a “no contest” and refund all wagers except as otherwise provided in the rules involving multi-race wagers.</p> <p>(4) After the race has started the jockeys shall keep a straight course and shall not use the whip during the first one hundred metres of the race, and any horse that is ridden in violation of this provision may be disqualified and the jockey penalised/punished by the Stewards.</p> <p>(5) A jockey may not alter the course of his mount unless there is a margin of 1 length or more from the end of the buttocks of his/her horse to the nose tip of the following horse.</p> <p>(6) A jockey shall not ride carelessly or wilfully so as to permit his mount to interfere with, impede or intimidate any other horse in the race.</p> <p>(7) No jockey shall carelessly or wilfully jostle, strike or touch another jockey or another jockey’s horse or equipment.</p> <p>(8) No jockey shall unnecessarily cause his horse to shorten its stride so as to give the appearance of having suffered a foul.</p> <p>(9) Possession of any electrical or mechanical stimulating or shocking device by a jockey, owner, trainer or person authorised to handle or attend to a horse shall be <i>prima facie</i> evidence of a violation of these rules and is sufficient grounds for the Stewards to scratch or disqualify the horse.</p> <p>(10) All horses shall be ridden out in every race. A jockey shall not ease in or coast to the finish, without reasonable cause, even if the horse has no chance to win prize money. A jockey shall give his best effort during a race and each horse shall be ridden to win.</p> <p>(11) After the race has been run, the jockey shall ride promptly to the place designated by the Stewards, dismount and report to the Clerk of Scales to be weighed in. Jockeys shall weigh in with all pieces of equipment with which they weighed out.</p> <p>(12) If a jockey is prevented from riding to the designated unsaddling area because of an accident or illness to the jockey or horse, the jockey may walk or be transported to the scales or may be excused from weighing in by the Stewards.</p> <p>(13) Only persons authorised by the Stewards may assist a jockey with unsaddling the horse after the race.</p> <p>(14) No one shall place a covering over a horse before it is unsaddled.</p>
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Agreements	39.	<p>(1) A copy of all agreements between: (a) a jockey and his employer or agent; and (b) trainers and owners shall be registered at the Register Office.</p> <p>(2) The Authority may adjudicate between persons claiming the services of any jockey and on disputes between jockeys and their employers and may with good cause cancel any agreement between them.</p> <p>(3) Any owner and/or trainer who fails within twenty-one days after a race day to pay any amount which is due by him for any riding at such race day may be declared by the Authority to be in default and shall there-upon become a disqualified person.</p> <p>(4) No official notice will be taken of breaches of any agreements not registered at the Registry Office.</p>
Grooms and stable lads	40.	<p>(1) No groom or stable lad shall be employed unless he obtains a licence from the Authority.</p> <p>(2) Licences expire on December 31, each year, and must be applied for annually at the Registry Office on the prescribed form with full name and address.</p> <p>(3) Licences applied for after December 31 will only be granted at the discretion of the Authority, and will be subjected to a late renewal penalty.</p> <p>(4) No licensed groom or stable lad shall be employed on any racing premises except by a licensed trainer, and no groom or stable lad shall be employed by more than one licensed trainer at the same time.</p> <p>(5) A licence shall not be issued to a groom or stable lad unless he produces a letter from his trainer certifying that he is employed with him.</p> <p>(6) In the event of a groom or stable lad being dismissed on the grounds of alleged impropriety or unsatisfactory service the trainer must report to the Registry Office the reason for the dismissal.</p> <p>(7) Every groom or stable lad attending a meeting shall produce his licence at the request of an official of the meeting and where the conditions of the meeting call for the wearing of a special badge or identification, he must carry such badge or identification in a conspicuous place upon his person at all times during the meeting.</p> <p>(8) Upon failure to produce a licence or badge/identification the groom or stable lad may be ejected from the Promoter's enclosure.</p> <p>(9) Grooms shall be paid commissions on prize money in accordance with the provisions of these Rules.</p>

Trainers	<p>41.</p> <p>(1) An applicant for a Private or Open Trainer's Licence or Assistant Trainer's Licence shall not be less than eighteen years old.</p> <p>(2) No trainer or assistant trainer of a horse running under these Rules shall operate without a licence obtained from the Authority.</p> <p>(3) A licence shall be granted in the absolute discretion of the Authority and shall expire on December 31 in each year and must be applied for annually at the Registry Office on the prescribed form. Licences applied for after December 31 will only be granted at the discretion of the Authority, and will be subjected to a late renewal penalty.</p> <p>(4) A trainer or assistant trainer operating without a licence shall be liable to a fine or may be declared a disqualified person at the discretion of the Authority.</p> <p>(5) A trainer or assistant trainer applying for a licence for the first time must satisfy the criteria laid down by the Authority as published from time to time prior to being granted such licence.</p> <p>(6) Every licenced trainer shall make a report to the Authority on the prescribed form on or before the fifteenth day of each month indicating the names of all horses in his stable, as well as the names of the grooms of these horses and failure to make such a report shall result in a fine (as determined by the Authority) unless a reasonable explanation is received that the Authority considers satisfactory.</p> <p>(7) Every licensed trainer shall inform the Registry Office in writing on the appropriate form that:</p> <ul style="list-style-type: none"> (a) he has given up responsibility for a horse; (b) that he has accepted the responsibility for training a horse, within seventy-two hours of so doing. <p>(8) Every licensed trainer, who has given up the responsibility for training a horse, shall at time of lodging the appropriate Form of Release to the Authority, also submit the vaccination card of said horse. Without lodging the vaccination card, the form of release will not be accepted.</p> <p>(9) In the event of a dispute arising between the trainer and owner over moneys due, and the owner wishes to remove the horse from the trainer's stable, the trainer must upon the written request of the owner deliver the horse and sign the appropriate Form of Release of the horse, and at the same time lodge with the Registry Office a statement detailing his claim against the owner.</p> <p>(10) If the trainer fails to sign the release form and fails to give up possession of the horse, the Authority shall permit the appointment of a new trainer, and the former trainer shall face such sanctions as the Authority decides.</p>
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		<p>(11) The Registry Office, upon receipt of a statement of claim from the trainer, shall notify the owner in writing of the said claim by registered mail or by signed hand delivery to the last known address of the owner, and the owner shall be required to answer the claim in writing within one week, whereupon the Authority shall fix a day for hearing the matter.</p> <p>(12) Where the owner fails to respond to the claim of the trainer within seven days of notice from the Authority, the Authority may take such steps as necessary to declare the owner a defaulter upon which both he and the horse shall be declared disqualified and placed on the Arrears List.</p> <p>(13) Where the Authority finds in favour of the trainer, the owner shall be required to settle the claim within fourteen days, failing which the Authority may declare the owner a defaulter and both he and the horse shall be disqualified and placed on the Arrears List.</p> <p>(14) Where arrears remain unpaid both the owner and horse are disqualified.</p> <p>(15) A person whose licence to train has been withdrawn on the ground of misconduct is a disqualified person.</p> <p>(16) No licensed trainer shall hold a jockey's or groom's licence nor qualify to be the Industry Veterinarian.</p> <p>(17) In the absence of any special agreement registered with the Authority, trainers shall be paid commission on prize money in accordance with the provision of these Rules.</p> <p>(18) Every trainer is responsible for the condition of the saddle which he uses, and for all equipment worn by the horse.</p> <p>(19) A trainer whose licence has been suspended or withdrawn shall not be allowed to act as an Authorised Agent under these rules; and if holding a registered proprietary interest in a horse, shall appoint an Authorised Agent to act on his behalf during the period of his suspension or withdrawal of his licence.</p> <p>(20) A trainer whose licence has been suspended or withdrawn shall not be allowed during the period of his suspension or his being debarred, to enter any restricted area on racecourse premises.</p>
Trainer Eligibility	42.	<p>(1) An applicant for a licence as a trainer:</p> <ul style="list-style-type: none"> (a) must be at least eighteen years of age; (b) shall, in the case of not being previously licensed, be qualified, as determined by the Authority, by reason of: <ul style="list-style-type: none"> i. at least two years continuous experience as a licensed Assistant Trainer, or comparable experience in other equine disciplines

		<p>or tertiary-level education in equine science and/or horsemanship;</p> <ul style="list-style-type: none"> ii. submission of two written statements from trainers currently licensed in this jurisdiction as to the character and qualifications of the applicant, and written statements from currently licensed owners stating their intent to place horses with the applicant, when licensed; and iii. having passed a written examination, oral interviews with the Authority and Industry Veterinarian and demonstrate practical skills. <p>(2) A trainer, licensed and in good standing in another jurisdiction, having been issued within a prior period as determined by the Authority, may be accepted if evidence of experience and qualifications are provided. Evidence of qualifications shall require passing one or more of the following:</p> <ul style="list-style-type: none"> (a) a written examination; (b) a demonstration of practical skills; and (c) an interview with the Authority.
Trainer responsibility (absolute insurer)	43.	<p>(1) The trainer is responsible for the condition of horses entered in an official workout or race and is responsible for the presence of any prohibited drug, medication or other substance. A positive test for a prohibited drug, medication or substance, as reported by an approved laboratory, is <i>prima facie</i> evidence of a violation of this rule. In the absence of substantial evidence to the contrary, the trainer shall be responsible.</p> <p>(2) A trainer shall prevent the administration of any drug or medication or other prohibited substance that may cause a violation of these Rules.</p> <p>(3) A trainer whose horse has been claimed remains responsible for any violation of rules regarding that horse's participation in the race in which the horse is claimed.</p>
Trainer - Other responsibilities	44.	<p>(1) A trainer is responsible for:</p> <ul style="list-style-type: none"> (a) the condition and contents of stalls, tack rooms, feed rooms, sleeping rooms and other areas which have been assigned by the Promoter; (b) maintaining the assigned stable area in a clean, neat and sanitary condition at all times; (c) ensuring that fire prevention rules are strictly observed in the assigned stable area; (d) providing a list to the Authority of the trainer's employees on the Promoter's premises or any other area under the jurisdiction of the Authority. The list shall include each employee's name, occupation and licence number. The Authority shall be notified by the trainer, in writing, within one working day of any change; (e) the proper identity, custody, care, health, condition and safety of horses in his/her charge; (f) disclosure of the true and entire ownership of each horse in his/her care, custody or control, and a change in ownership shall be registered immediately with, and approved by, the Authority;

	<ul style="list-style-type: none"> (g) training all horses owned wholly or in part by him/her which are participating at a race meeting; (h) ensuring that, at the time of arrival at the racetrack, each horse in his/her care is accompanied by a valid vaccination certificate; (i) immediately reporting the alteration of the sex of a horse in his/her care to the Authority which shall note such alteration on the horse's file; promptly reporting to the Industry Veterinarian any horse on which a posterior digital neurectomy (heel nerving) is performed and ensuring that such fact is designated on its file; (j) promptly notifying the Industry Veterinarian of any reportable disease and any unusual incidence of a communicable illness in any horse in his/her charge; (k) promptly reporting the death of any horse in his/her care on the Promoter's grounds to the Authority and the Industry Veterinarian and compliance with the rules governing post-mortem examinations; (l) maintaining a knowledge of the medication record and status of all horses in his/her care, including updated vaccination records (SEE RULE 27(4)); (m) immediately reporting to the Stewards and the Industry Veterinarian if he/she knows, or has cause to believe, that a horse in his/her custody, care or control has received any prohibited drugs or medication; (n) representing an owner in making entries and declarations and in all other matters pertaining to racing; (o) horses entered as to eligibility and weight or other allowances claimed; (p) ensuring the fitness of a horse to perform creditably at the distance entered; (q) ensuring that his/her horses are properly shod, bandaged and equipped; and that horses with a jockey or exercise rider mounted that are racing, parading or warming up prior to racing or jogging or exercising at any time are equipped with proper functioning equipment; (r) presenting his/her horse in the saddling enclosure at least thirty minutes before post time or at a time otherwise appointed before the race in which the horse is entered; (s) personally, attending to his/her horses in the paddock and supervising the saddling thereof, unless excused by the Stewards; (t) instructing the jockey to give his/her best effort during a race and that each horse shall be ridden to win; (u) attending the collection of a urine and/or blood sample from the horse in his/her charge or delegating a licensed employee to do so; and (v) notifying horse owners upon the revocation or suspension of his/her trainer's licence. <p>(2) A trainer whose licence has been suspended, or whose licence has expired or been revoked, or whose licence application has been denied, must inform the horse owners that until the licence is restored, the trainer can no longer be involved with the training, care, custody or control of their horses, nor receive any compensation from them for the training, care, custody or control of his/her horses.</p>
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		(3) Upon application by the owner, the Authority may approve the transfer of such horses to the care of another licensed trainer, and upon such approved transfer, such horses may be entered to race. Upon transfer of the horse(s), the inactive trainer shall not be involved in any arrangements related to the care, custody or control of the horse(s) and shall not benefit financially or in any other way from the training of the horse(s).
Exercise lads	45.	<p>(1) No person shall exercise any horse at any race-track(s) in the country until he/she has obtained a licence as an exercise lad from the Authority having satisfied the Authority as to his/her ability to ride.</p> <p>(2) Every exercise lad shall procure, at his/her own expense, a medical certificate of fitness from a doctor, as well as an eye test report from an optometrist and any other certificate as may be prescribed from time to time by the Authority.</p> <p>(3) No exercise lad shall ride any horse at work unless he/she is wearing an approved skull cap fitted with a chin strap as well as a body protector as outlined in Rule 36(7).</p> <p>(4) Licences expire on December 31 each year and must be applied for annually on the prescribed form, with full name and address at the Registry Office. Licences applied for after December 31 will only be granted at the discretion of the Authority, and will be subjected to a late renewal penalty.</p> <p>(5) A trainer utilising the services of an exercise lad shall ensure that these Rules are adhered to and any trainer whose exercise lad is found violating these Rules shall be subject to such disciplinary action as the Authority deems necessary.</p> <p>(6) The Authority may at any time require an exercise lad to undergo a medical test for drug or alcohol abuse, as a condition precedent for the grant, retention or renewal of his/her licence.</p>
Weights	46.	<p>(1) In every race, other than handicaps and claiming races, there shall be at least one horse that carries either the full weight for age, or the allotted weight as advertised in the conditions of such a race as published in the provisional programme once made official whichever is applicable, but a filly or mare shall always be entitled to a 3-kg allowance when meeting colts and geldings.</p> <p>(2) For the purposes of this rule a horse shall be deemed to be in a race if declared to run at the final declaration stage on race day.</p>
Penalties	47.	<p>(1) No horse shall carry less than 44 kg in any race, unless an apprentice allowance be claimed.</p> <p>(2) In calculating the amount, a horse has won in any one or more races, account shall be taken of all monies derived from stakes, and all Added Money gained by him for his owner or for any other person but shall not include any bonus given for the breeder as such of any horse or for the owner of the sire or any prize given specifically in the conditions of the race to any trainer, rider or groom.</p>

		<p>(3) Winnings during the year shall include all prizes to the time appointed for the start and apply to all races, (except private sweepstakes or matches) in any country and include walking over and in the case of a dead heat after the prizes have been divided in accordance with Rule 59.</p> <p>(4) Penalties for winning a fixed sum shall be understood to mean for winning it in one race, unless specified to the contrary.</p> <p>(5) Extra weight shall not be incurred in respect of matches or private sweepstakes.</p> <p>(6) Penalties are not cumulative unless so declared by the conditions of the race.</p> <p>(7) When any race is in dispute, both the winner and any horse claiming the race shall be liable to all penalties attached to the winner of that race until the matter be determined.</p> <p>(8) When any race is the subject of an enquiry against the winner as a result of a breach of these Rules, both the horse that came in first and second in race shall be liable to all penalties attached to the winner of that race until the matter is decided.</p>
Allowances	48.	<p>(1) Unless otherwise specified in the conditions of a race:</p> <ul style="list-style-type: none"> (a) Mares and fillies shall be allowed 3 kg when meeting colts and geldings; (b) Maidens shall be allowed 3 kg when meeting winners; (c) No horse shall receive allowance of weight or be relieved from extra weight, for having been beaten in one or more races; provided that this rule shall not prohibit allowances to horses that have not won within a specified time, or races of a specified value or races of a specified distance. <p>(2) Allowances must be claimed at the time of entry where practicable but omission to claim is not a ground for disqualification.</p> <p>(3) A claim for an allowance to which a horse is not entitled is not a ground for disqualification unless carried out at scale.</p> <p>(4) No allowance of weight shall be made to any horse for being the produce of a stallion covering at or under a particular fee.</p> <p>(5) No allowance of weight shall be made to any horse:</p> <ul style="list-style-type: none"> (a) for being the produce of a stallion or mare whose produce never won a race; or (b) for being the first produce of a mare; or (c) for being half bred.

		<p>(6) An allowance of weight may be made to any horse who is a native bred horse or one bred in the West Indies.</p> <p>(7) Allowances are cumulative unless otherwise specified.</p>
Apprentice Jockey Scheme	49.	<p>(1) Apprentice jockeys shall be entitled to the following allowances:</p> <ol style="list-style-type: none"> a) 3 kg until the apprentice has won twenty races; thereafter. b) 2 kg until the apprentice has won forty races; thereafter. c) 1 kg until the apprentice has won sixty races. <p>(2) After sixty wins the jockey ceases to be an Apprentice and shall ride with an open licence.</p> <p>(3) Apprentice jockeys claiming 3 kg shall be entitled to ride in the following races:</p> <ol style="list-style-type: none"> (a) on an eight-race card and under, the apprentice may only ride in five open races and in one race restricted to jockeys holding apprentice licences; (b) on a nine-race card and over, the apprentice may only ride in six open races, and one race restricted to jockeys holding apprentice licences. <p>(4) In the event that a race framed for apprentice jockeys only is divided by the Stewards at declaration time, an apprentice claiming 3 kg will be eligible to ride in both apprentice races and five open races on a nine-race card and over.</p> <p>(5) The allowances stated in this rule are subject to the following conditions:</p> <ol style="list-style-type: none"> (a) Apprentice licences will be issued to apprentice jockeys between the ages of thirteen and twenty-three years; (b) No apprentice jockey over the age of twenty-eight years will be entitled to apprentice allowances; (c) Allowances may be claimed in all races, with the exception of any race which is officially designated a Graded Race (Grade I, II, III) by the Authority, or any race in which advertised conditions precludes the claiming of any apprentice allowance; (d) In races confined to apprentices only, apprentices entitled to the lowest allowance in the scale shall ride at the allotted weights and other apprentices will ride at the allowances to which they are entitled in relation to the lowest; <p>(6) (a) Apprentices who obtain their apprentice licences abroad will only be considered for the grant of an apprentice licence in Trinidad and Tobago after they have ridden in twenty-five races in the country in which they were granted such licence and subject to such other conditions as the Authority shall determine. (b) Calculation of rides and wins for the apprentice allowances shall be made on the riding record of the Apprentice wherever he rides under the Rules of Racing, provided that the Apprentice holds an apprentice licence in the country where he is based.</p>

The weighing room	50.	No person shall, without special leave from the Stewards, be admitted to the weighing room, except the owner, authorised agent, trainer and jockey or other licensed person having the care of a horse engaged in the race, and any person refusing to leave shall be reported to the Stewards.
Weighing out	51.	<p>(1) No horse shall be weighed out for any race unless it has been duly declared a runner.</p> <p>(2) The Trainer shall be responsible for the weight to be carried by his horse.</p> <p>(3) Where a jockey, who has been declared to ride, does not present himself to be weighed another jockey may, with the permission of the Stewards, be substituted; provided always that he can be weighed within the time specified in sub-rule (7) of this Rule.</p> <p>(4) Where a jockey who has been declared to ride is replaced by the owner/trainer or authorised agent with another jockey following the permission of the Stewards, such jockey replaced provided that his services are not engaged in the race, may claim compensation from the owner in the like manner to the jockey actually riding the horse, by being paid the normal riding fee and be eligible for the jockey's portion of the prize, should the horse place in the race;</p> <p>(5) Any owner, trainer or registered authorised agent who replaces a jockey originally declared to ride without just cause or except as provided by sub-rule (8) of this Rule, may be fined an amount to be determined by the Stewards.</p> <p>(6) Should any jockey who has been declared to ride renege on his obligations without just cause or except as provided by in Sub-rule (8) of this Rule, such jockey may be fined an amount to be determined by the Stewards.</p> <p>(7) Every jockey must be weighed for a specified horse by the Clerk of the Scales, at the appointed place, not less than a quarter of an hour before the time fixed for the race, and in exceptional cases or where the delay of a previous race has rendered punctuality impossible, the Stewards may extend the time allowed for weighing and declaring weights.</p> <p>(8) If a jockey, after he has been weighed for a specified horse and before he has been under the Starter's orders is prevented by accident or illness from riding in the race, another jockey may be substituted provided there is no unreasonable delay.</p> <p>(9) When the numbers have been exhibited no alteration or addition can be made without the leave of the Stewards.</p> <p>(10) Should any horse whose number has been exhibited, not come under Starter's orders, the owner and any person responsible may be fined, or otherwise dealt with, at the discretion of the Stewards.</p>

	<p>(11) If a jockey intends to carry overweight he must declare the amount thereof at the time of weighing-out or, if in doubt as to his proper weight he may declare the weight he intends to carry but in no case, should the overweight exceed 3 kg in the case of horses to carry 46kg and over and 4kg in the case of horses to carry less than 46kg, except with the permission of the Stewards.</p> <p>(12) If a horse runs in a martingale, breastplate or clothing, these items must be put into the scale and included in the jockey's weight.</p> <p>(13) The “Body Protectors” as specified in Rule 36 (7) must be worn by all riders whilst weighing out and weighing in. The rider is responsible for the serviceable condition of the body protector.</p> <p>(14) No hood, blinkers, visor, cheek pieces, eye-cover or eye-shield, muzzle, skullcap, whip or substitute for a whip, bridle, plates or anything worn on a horse's legs, shall be allowed in the scale, either in weighing-out or weighing-in.</p> <p>(15) No horse shall enter the parade ring or run in shoes which have protrusions on the ground surface other than calkins on the hind, limited to 0.64cm in height. The use of American type toe-grab plates or those with a sharp flange is forbidden. The trainer is responsible for ensuring that his horses are shod in accordance with the requirements of this rule; and any trainer found to be in breach hereof is liable to be fined or punished by the Stewards, in accordance with their powers under these Rules.</p> <p>(16) The use of blinding hoods (except when entering starting stalls) is prohibited, but if a horse is to run in a hood, blinkers, visor, eye-cover or eye-shield or with a tongue-strap or cheekpieces, a declaration shall be made at the declaration time as specified in these Rules. The use of any other equipment on a horse must have the prior approval of the Stewards and must be declared at the scale.</p> <p>(17) When a horse has been declared to run in a hood, blinkers, visor, eye – cover or eye-shield or with a tongue-strap or cheekpieces, these items must be worn by the horse all the way to the start and during the race, and failure to comply with this rule shall result in the horse not being allowed to run.</p> <p>(18) When no declaration of hood, blinkers, visor, eye-cover or eye-shield, or tongue-strap or cheekpieces is made such equipment shall not be worn by any horse on the way to start and during the race and upon failure to comply with this rule he shall not be allowed to run.</p> <p>(19) The Industry Veterinarian and/or the Clerk of the Parade Ring shall inspect the horses after the running of the race to confirm that the declared equipment was worn during the race.</p> <p>(20) In the event that a horse has run the course in breach of this rule such horse shall on objection lodged under these Rules be disqualified from its original position in the</p>
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		race and placed last, and the Stewards shall impose a fine (not exceeding the limit set by the Act) on the trainer of the horse and refer the matter to the Racing Authority for further action as may be considered necessary.
Saddlecloth	52.	<p>(1) Every horse running in a race shall carry a saddlecloth bearing a number corresponding with his number in the programme.</p> <p>(2) The cloth will be supplied to the rider at the time of weighing-out and must be worn so that the number is clearly visible.</p> <p>(3) The rider shall put the cloth in the scale and include it in his weight, and immediately after weighing in he shall deliver it up to the official appointed to receive it.</p>
Running	53.	<p>(1) Every horse which runs in a race shall be run on his merits, whether his owner runs another horse in the race or not.</p> <p>(2) A jockey shall take all reasonable and permissible measures throughout the race to ensure that his horse is given full opportunity to win or of obtaining the best possible placing.</p> <p>(3) It shall be the responsibility of a trainer to ensure that any instructions given to a jockey are adequate and no owner, authorised agent, trainer or any person entitled so to do shall give any instruction to the rider of any horse which, if obeyed, could or would prevent the horse from winning or of obtaining the best possible placing, neither shall they or its rider prevent or try to prevent any horse from winning a race or of obtaining the best possible placing.</p> <p>(4) When a horse or his jockey causes interference to any other horse or jockey by accident or by careless or irresponsible riding in any part of a race the horse shall on an objection under Rule 58 (14) be placed behind the horse or horses with which it interfered or placed last, if the Stewards are satisfied that the interference affected the result of the race.</p> <p>(5) If the Stewards are not so satisfied or if the Judge has placed the horse behind the horses with which it has interfered, they shall order the placing to remain unaltered.</p> <p>(6) Where a horse or his jockey causes interference to any other horse or jockey by reckless or foul riding in any part of a race the horse shall on an objection under Rule 58 (14) be disqualified.</p> <p>(7) The rider of any horse who has been found by the Stewards or the Authority to be guilty of reckless, foul, irresponsible, careless or improper riding shall be guilty of an offence and may be fined and/or suspended by the Stewards or the Authority under the powers given to them by these Rules.</p>

Void race	54.	If a race has been run by all the horses at the wrong weights or over a wrong course, or distance or before the appointed time, or if the Judge is not in the booth at the time the first horse passes the winning post or if no horse finishes or should the Stewards, in their opinion determine that the occurrence or event arising before or during the running of the race was of such a nature that could not reasonably have been foreseen and/or avoided, the race shall be declared void.
Walking over	55.	<p>(1) In walking over for a race, in no case shall it be necessary for a horse to walkover the race-course.</p> <p>(2) Where only one horse is declared a runner under these Rules that horse shall be ridden past the Judge's box, and shall then be deemed the winner, and incur the usual winning penalties.</p>
Dead heat	56.	<p>(1) When horses run a dead-heat, the dead-heat shall not be run off.</p> <p>(2) Each horse running a dead-heat for first place shall be deemed a winner.</p> <p>(3) Where two horses run a dead-heat for first place, all prizes to which the first and second horses would have been entitled shall be divided equally between them, and this principle shall be observed in dividing the prizes whatever the number of dead-heaters and whatever the place for which the dead-heat is run.</p> <p>(4) When a dead-heat is run for second place, and an objection is made to the winner of the race and sustained, the horses which ran the dead-heat shall be deemed to have run a dead-heat for first place.</p> <p>(5) If the dividing owners cannot agree as to which of them is to have a cup or other prize which cannot be divided, the question shall be determined by lot by the Stewards, who shall decide what sum of money shall be paid by the owner who takes such cup or other indivisible prize to the other owner or owners.</p> <p>(6) On a dead-heat for a match, the match is off.</p>
Weighing in	57.	<p>(1) Immediately after pulling up, the first, second and third placed jockeys of each race must ride his horse to the place appointed for unsaddling the placed horses, the other jockeys dismounting within a reasonable distance of that place.</p> <p>(2) Every jockey must present himself to be weighed by the Clerk of the Scales as soon as possible after dismounting and where a jockey is prevented from riding back to weigh in by reasons of accident or illness, by which either he or his horse is disabled, he may either walk or may be carried to the Scales provided that it may be safely done under the supervision of the medical officer.</p> <p>(3) In cases where the Judge, in consulting the photograph, has not announced his decision before the jockeys return to weigh in, the jockeys may dismount within a reasonable distance of the place appointed for unsaddling placed horses and in such case the Clerk of the Scales shall weigh in all jockeys until such time as the Judge's decision is announced.</p>

		<p>(4) When the jockeys have weighed in to the satisfaction of the Clerk of the Scales at not less than the weight at which they weighed out, except under Sub-rule (8), the Stewards shall authorise the appropriate signal be given, declaring the race official provided that:</p> <p style="padding-left: 40px;">(a) the time allowed for objecting under Rule 58 has lapsed; or (b) any objection which may have been lodged on grounds mentioned in Rule 58 has been decided.</p> <p>(5) No objection on any grounds other than those mentioned in Rule 58 shall be entertained prior to the race being declared official.</p> <p>(6) After the race has been declared official no alteration shall be made to the numbers of the winner or placed horses on the board.</p> <p>(7) In weighing in, a jockey shall include in his weight everything that the horse has carried in the race, except as provided in Rule 51(14).</p> <p>(8) If a jockey is too ill to weigh in, his horse shall not be disqualified provided that the jockey weighed out at not less than his proper weight.</p> <p>(9) If a horse carries more than 1 kg over his proper weight or over the weight at which his jockey weighed out, the matter shall be reported to the Stewards who may disqualify the horse, and deal with the jockey, owner or trainer as they may decide.</p> <p>(10) If a jockey cannot draw the weight at which he weighed out, the Clerk of the Scales shall allow him 0.5 kg and if he cannot then draw the weight, his horse shall be disqualified.</p> <p>(11) If a horse carries less than the weight he should carry in accordance with the conditions of the races and these Rules, he shall on an objection under Rule 58(6)(d) be disqualified.</p> <p>(12) If a jockey does not present himself to weigh in or touches any person or thing other than his own equipment before weighing in, or in the case of the winner, dismounts before reaching the place appointed for that purpose, his horse may be disqualified in the event that the Stewards determine that such action is warranted.</p>
Disputes and objections	58.	<p>(1) If an objection to a horse engaged in a race is made not later than half past ten in the morning of the day of the race, the Stewards may require his qualification to be proved before the race and, in default of such proof being given to their satisfaction, they may declare him disqualified.</p> <p>(2) An objection to the distance of a course officially designated shall be made before a race.</p> <p>(3) An objection to any decision of the Clerk of the Scales must be made at once.</p>

	<p>(4) An objection to a horse on the grounds of:</p> <ul style="list-style-type: none"> (a) a cross, jostle or any act on the part of his jockey, or his horse not having run the proper course; (b) the race being run on the wrong course, or of any other matter occurring in the race, or before weighing in; (c) the jockey not presenting himself to be weighed in or that he could not draw the weight at which he weighed out; or (d) the horse not wearing equipment as declared or wearing equipment not declared; <p>shall be made to the Stewards within three minutes of the winner being weighed in, and where called for, unless under special circumstances, the Stewards are satisfied that it could not have been made within that time.</p> <p>(5) No objection on any other grounds other than those contained in sub-rule (4) shall be heard within the time specified therein.</p> <p>(6) An objection on any of the undermentioned grounds may be received within seventy-two hours after the day of the race in question, or within such time as the Authority may fix:</p> <ul style="list-style-type: none"> (a) that the horse which ran was not the horse he was represented to be at the time of entry, or that the horse or its jockey was not qualified under the conditions of the race; (b) that the horse has run in contravention of the rules of partnership; (c) that the horse has run in contravention of the rule relating to the disqualification of horses; (d) that the weight carried by the horse was incorrect; (e) that a drug or stimulant was administered to the horse internally or by hypodermic or other methods which may have affected the speed of the horse; or (f) that the horse ran in contravention of the rule relating to the wearing of declared equipment. <p>(7) Every objection shall be in writing and must be signed by the owner of some horse engaged in the race, his authorised agent, trainer or his assistant or by the rider of a horse or by a Steward officiating on race day in his official capacity. It must be made -</p> <ul style="list-style-type: none"> (a) to the Stewards in cases under Rule 58 (4): (b) to the Authority, and a deposit lodged at the Registry Office in cases under Rule 58(6). <p>(8) An objection may be made without deposit by a Steward or official of a meeting in his official capacity.</p>
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	<p>(9) A Stewards' enquiry into any matter contained in Rule 58(4) shall have the force and consequences of an objection and shall be conducted as if any objection had been lodged; and their consideration of an objection under that rule shall constitute such an enquiry.</p> <p>(10) An objection cannot be withdrawn without leave of the Stewards, or the Authority.</p> <p>(11) An objection on any ground contained in Rule 58(6) shall be decided by the Authority.</p> <p>(12) Every other objection shall be decided by at least three Stewards of the meeting but their decision shall be subject to appeal to the Authority.</p> <p>(13) In the event of an objection being decided to be frivolous or vexatious, the Stewards may impose on the objector a fine as they may determine.</p> <p>(14) Where the Stewards or the Authority have disqualified a horse, which has won or been placed in a race the horse shall be regarded as having been last in the race and shall not be entitled to any prize irrespective of the number of runners in the race, the other horses taking positions accordingly. Where, in lieu of disqualification, the placing of a horse has been changed by the Stewards or the Authority under the provisions of Rule 53(4) including being placed last, the horse concerned will only be entitled to the prize for the position in which it has been placed.</p> <p>(15) All costs and expenses in relation to determining an objection or conducting an enquiry, and any reasonable compensation for outlay incurred, shall be paid by such person or persons lodging the objection and in such proportions as the Stewards or the Authority shall direct.</p> <p>(16) Pending the determination of an objection, any prize which the horse objected to, may have won or may win in the race, shall be withheld until the objection is determined.</p> <p>(17) All disputes, objections and appeals referred to or brought before the Authority for their decision shall be heard and decided by at least five members of the Board at any meeting of the Authority.</p> <p>(18) All objections and the results thereof of a race shall be communicated by the Promoter to the public as soon as possible.</p>
Prizes	<p>59. (1) A race may be declared void where the number of actual entries is not the same in number as those advertised, but the value of a prize once advertised may not be reduced, except with the approval of the Authority and as published in the provisional programme for the meeting.</p>

- (2) When a cup or plate or any added money is advertised to be run for, it shall be given in the event of a walk over.
- (3) When a walk over is the result of an arrangement by the owners of the horses engaged, neither the cup, nor any portion of the advertised money need be given.
- (4) If the winner has walked over, or no horse has been placed second, or in any lower place, the money provided by the conditions for the horse placed second, or in any lower place, shall not be given at all.
- (5) No prize shall be given to any but the first four horses except Graded and Subscription races and in other races of value \$45,000.00 and over, unless otherwise directed by the Authority.
- (6) Prize money provided by the conditions of races shall be paid to the owners of horses pursuant to these Rules as follows:
- (a) In Graded/Subscription races:
 - i. 60 per cent to the owner of the winner
 - ii. 20 per cent to the owner of the second
 - iii. 10 per cent to the owner of the third
 - iv. 5 per cent to the owner of the fourth
 - v. 3 per cent to the owner of the fifth
 - vi. 2 per cent to the owner of the sixth
 - (b) In other races of value \$45,000.00 and Over:
 - i. 50 per cent to the owner of the winner
 - ii. 24 per cent to the owner of the second
 - iii. 14 per cent to the owner of the third
 - iv. 7 per cent to the owner of the fourth
 - v. 3 per cent to the owner of the fifth
 - vi. 2 per cent to the owner of the sixth
 - (c) Races of value less than \$45,000.00:
 - i. 50 per cent to the owner of the winner
 - ii. 26.5 per cent to the owner of the second
 - iii. 16 per cent to the owner of the third
 - iv. 7.5 per cent to the owner of the fourth
- (7) Earned commissions shall be deducted by the Racing Secretary from the prize money and paid to the jockey, trainer and groom in accordance with the following procedure:
- (a) Graded Races:

		<p>i. Jockeys - 10 percent on all places – exclusive of basic riding fees; ii. Apprentices - 5 per cent on all places- exclusive of basic riding fees;</p> <p>(b) Non-graded Races:</p> <p>i. In any race in which the prize money exceeds \$45,000.00, jockeys will receive 10%, and Apprentices 5%, of their placing in said race exclusive of basic riding fees.</p> <p>ii. any race in which the prize money is less than \$45,000.00, jockeys will receive 10% and Apprentices 5% of First place and also the following exclusive of basic riding fees:</p> <table border="0" style="margin-left: 40px;"> <thead> <tr> <th></th> <th style="text-align: center;">JOCKEYS</th> <th style="text-align: center;">APPRENTICES</th> </tr> </thead> <tbody> <tr> <td>2nd place</td> <td style="text-align: center;">5%</td> <td style="text-align: center;">2½% (inclusive of Betting Levy Board's Subsidy)</td> </tr> <tr> <td>3rd place</td> <td style="text-align: center;">5%</td> <td style="text-align: center;">2½% (inclusive of Betting Levy Board's Subsidy)</td> </tr> <tr> <td>4th place</td> <td style="text-align: center;">5%</td> <td style="text-align: center;">2½% (inclusive of Betting Levy Board's Subsidy)</td> </tr> </tbody> </table> <p>(c) Trainers - 10 per cent on all places. (d) Grooms - 2½ per cent on all places.</p> <p>(8) Where a race is not run or is void, subscriptions and entrance money shall be refunded.</p>		JOCKEYS	APPRENTICES	2 nd place	5%	2½% (inclusive of Betting Levy Board's Subsidy)	3 rd place	5%	2½% (inclusive of Betting Levy Board's Subsidy)	4 th place	5%	2½% (inclusive of Betting Levy Board's Subsidy)
	JOCKEYS	APPRENTICES												
2 nd place	5%	2½% (inclusive of Betting Levy Board's Subsidy)												
3 rd place	5%	2½% (inclusive of Betting Levy Board's Subsidy)												
4 th place	5%	2½% (inclusive of Betting Levy Board's Subsidy)												
<p>Breeders or sires premiums</p>	<p>60.</p>	<p>(1) In the absence of any condition to the contrary approved by the Authority, a breeder's or sire's premium shall be paid only to the breeder or owner of the sire entitled thereto or in the event of his death to his legal personal representative.</p> <p>(2) No breeder's and sire's premiums shall be paid to any government department or Promoter, and no sale or assignment of a breeder's or sire's premium will be recognised as valid by the Authority.</p> <p>(3) In any race designated in the official race programme of a race meeting as being eligible for the payment of breeder's and sire's premium, a breeder's premium shall be paid to the breeder of any horse foaled in Trinidad and Tobago and a sire's premium to the owner of the sire of any horse being the produce of any mare which was covered in Trinidad and Tobago, which has placed first, second or third in any race held in Trinidad and Tobago.</p> <p>(4) If the winner and any placed horse was not sired or foaled in Trinidad and Tobago, no premium shall be payable on these places, unless otherwise authorised by the Authority.</p>												

		(5) Breeders and Sires Premiums for any race so designated shall not be paid out until authorised by the (Authority).
Appeals	61.	<p>(1) Any owner, trainer or jockey of a horse in a race the subject of an objection under these Rules who is aggrieved by the Stewards' decision, or any person upon whom any form of penalty has been imposed by the Stewards shall be entitled to appeal to the Authority.</p> <p>(2) The owner, trainer or jockey shall lodge a notice in writing to the Registry Office stating his grounds of appeal within seventy-two hours of the decision taken by the Stewards exclusive of Sundays and Public Holidays and on all occasions when an appeal is lodged a deposit shall be lodged with the Authority at the same time, which sum may be forfeited unless the Authority decides there were good and reasonable grounds for the appeal.</p> <p>(3) The enforcement of any suspension on a jockey shall be deferred upon an appeal against same being lodged, pending the determination of the said appeal by the Authority.</p> <p>(4) A person aggrieved by the decision of the Stewards to refuse or cancel their entry except in cases where entries are rejected for breach of these Rules, or where in the opinion of the Stewards a horse is considered a danger to other horses or jockeys, shall have the right to appeal to the Authority by notice in writing upon payment of a deposit within twenty-four hours of being informed of the Stewards' decision, exclusive of Sundays and Public Holidays and the deposit shall be forfeited unless the Authority decides there were good and reasonable grounds for the appeal.</p> <p>(5) Pending the appeal, the entry shall be considered as having been provisionally accepted and if the cancellation of an entry is ratified, the entrance fee shall be repaid to the owner.</p>
Error in the entry	62.	No horse shall be disqualified on account of any error in the entry which might have been corrected on payment of a fine.
Corrupt and fraudulent practices	63.	<p>(1) No drug shall be administered, no body wash, liniment, freezing agent or irritant shall be applied, and no electrical, mechanical, or other appliances other than an approved whip or spurs shall be used for the purpose of affecting the speed, stamina, courage or conduct of a horse in a race.</p> <p>(2) No person shall administer, or cause to be administered drugs, stimulants or depressants internally, by hypodermic or other method for the purpose of affecting the speed, stamina, courage or conduct of a horse.</p> <p>(3) No person shall corruptly give or offer, or promise directly or indirectly, any bribe to any official in relation to a race or racehorse, or to any trainer, jockey, or agent or to any person having charge of, or access to, any racehorse.</p>

		<p>(4) No person having official duties in relation to a race, or any trainer, jockey, agent or other person having charge of or access to any racehorse, shall accept or offer to accept any bribe in any form.</p> <p>(5) No person other than a veterinarian authorised and licenced by the Authority shall use or have in his possession on the premises during any recognised meeting any drug which is a narcotic, stimulant or depressant or any hypodermic syringe or hypodermic needle or similar instrument which may be used for injection. No person shall have in his possession on the premises during any recognised meeting any electrical stimulating shocking device commonly known as a battery or any mechanical device or any other appliance which might affect the speed or actions of a horse. The Stewards may permit the possession of drugs or appliances by a licensee for personal medical needs under such conditions as the Stewards may impose.</p> <p>(6) No person shall wilfully enter or cause to be entered or to start for any race, a horse which he knows or believes to be disqualified.</p> <p>(7) If any person conspires with any other person for the commission of any corrupt or fraudulent practice in relation to racing or breeding in this or any other country, such person shall be excluded from the premises by the Promoter, and reported forthwith to the Authority, who may either with or without further enquiry warn him off all places where these Rules are in force.</p> <p>(8) When any horse has been declared to run under these Rules and has been the subject of an examination, and the result of an analysis of any sample of its tissue, body fluid or excreta is positive, the Authority may impose a fine upon the trainer of the horse in question and may, at their discretion, suspend or withdraw his licence. In this regard the Authority will be guided by the Classification Guidelines of Prohibited Substances and penalties listed in these Rules.</p>
Nerving	64.	No person shall bring onto the grounds of the Promoter or enter or cause to be entered in any race, or sell, offer for sale or act as a bloodstock agent in the sale of, any horse which has been ‘nerved’ or had any nerve removed from the leg of such horse, except as provided in Rule 65.
Posterior digital neurectomy	65.	<p>Notwithstanding the prohibition against ‘nerving’, a horse upon which a posterior digital neurectomy has been performed, commonly known as ‘heel nerving’ is not ineligible to race, and is not subject to the prohibitions in (these Rules) pertaining to nerving, provided:</p> <ul style="list-style-type: none"> a. the Industry Veterinarian is satisfied that the loss of sensation to such horse due to the posterior digital neurectomy will not endanger the safety of any horse or rider; b. the prior approval of the Industry Veterinarian has been obtained if the horse is on the grounds of the Promoter;

		<p>c. the Authority is notified of such nerving at the time such horse is admitted to the grounds of the Promoter;</p> <p>d. the posterior digital neurectomy was performed prior to October 1, 2015; and</p> <p>e. the horse's registration or eligibility certificate is marked to indicate such nerving.</p>
Disqualified persons	66.	<p>(1) Any person who administers or attempts to administer or allows to be administered or connives at the administration of a prohibited substance; or uses or shall have in his possession any electric or electronic apparatus or any improper contrivance which may be or is capable of affecting the racing performance of that horse in a race shall be deemed to be in breach of these Rules and may be declared a disqualified person or otherwise penalised by the Authority under these Rules.</p> <p>(2) When a person is warned off by the Authority he is, so long as his exclusion continues, a disqualified person.</p> <p>(3) Any person on whom disqualifications have been imposed by any turf authority recognised by the Authority, is a disqualified person under these Rules, so long as the disqualification continues.</p> <p>(4) Any person who acts in any official capacity, enters, owns, trains, or rides a horse entered or running at any recognised meeting, is liable to become a disqualified person by the Authority for such time as they think fit, and if any person assists a disqualified person to enter any stand, stable, paddock, or enclosure, such person may be declared a disqualified person by the Authority.</p> <p>(5) Any person who is in arrears to the Authority shall be notified of such arrears, in writing.</p> <p>(6) The Racing Secretary shall report to the Authority all persons in arrears to the Promoter and the amounts due. Any arrears due by any licensee may be reported to the Authority.</p> <p>(7) The Registry Office shall notify each person in arrears to the Authority and/or Promoter of the amounts due by him, in writing.</p> <p>(8) If any person so notified fails to pay the arrears within twenty-eight days, he shall forthwith become a disqualified person.</p> <p>(9) As long as the name of the person is in the arrears list or in the official forfeit list, published by the recognised turf authority of any country, he is a disqualified person.</p> <p>(10) A disqualified person, so long as his disqualification lasts, shall not:</p>

		<ul style="list-style-type: none"> (a) act as a Steward or Official at any recognised meeting; (b) act as an authorised agent under these Rules; (c) subscribe for, enter, run, train, or ride a horse in any race at any recognised meeting, or ride in trials; (d) enter any racecourse premises, stand or enclosure; and (e) except with the permission of the Authority be employed in any racing stable.
Testing (Samples of urine, blood)	67.	<p>A. Reporting to the Test Barn</p> <ul style="list-style-type: none"> (1) The official winning horse, and any other horse ordered by the Authority and/or the Stewards shall be taken to the test barn to have a urine and/or blood sample taken at the direction of the Industry Veterinarian. (2) The Authority and/or the Stewards may require any horse entered to race to submit to a blood or pre-race test and no horse is eligible to start until the owner or trainer complies with the required testing procedure. (3) Every horse within the enclosure (stable area) or entered in any race, is subject to testing and no owner, trainer or other persons having the care of a horse shall refuse to submit it for testing when directed by the Stewards or the Industry Veterinarian. (4) Unless otherwise directed by the Stewards or the Industry Veterinarian, a horse that is selected for testing must be taken directly to the test barn under escort by an official of the Promoter. (5) From the commencement of racing on any day, a track security guard shall monitor access to the test barn, during and immediately following racing performance, until the last horse is tested. All persons who wish to enter the test barn area must be a minimum of 18 years old, be currently licensed by the Authority, display their Authority identification badge and have a legitimate reason for being in the test barn area. <p>B. Sample Collection/Payment for Sample Analysis</p> <ul style="list-style-type: none"> (1) Sample collection shall be done in accordance with the guidelines established by the Authority and instructions provided by the Industry Veterinarian. (2) An official shall be appointed by the Authority to supervise the taking of samples, and the safeguarding of them until they are delivered for shipping, to analyst as approved by the Authority. (3) The groom and either the trainer, or assistant trainer, (hereinafter referred to as “the other person”) shall be present immediately after the race, at the place appointed for the taking of the test, while the sample is taken, and they shall sign the official form certifying that they have witnessed the taking of the sample and the official sealing of the receptacle containing the sample.

- (4) Where the groom or other person is not present at the taking of the sample or refuses to sign the official form, the matter shall be referred to the Stewards for such action as they deem fit, but failure on the part of the groom or the other person to be present or to sign the form shall not invalidate the results of the test.
- (5) Any delay on the part of the groom or the other person to arrive at the place appointed for the taking of the sample shall be reported to the Stewards.
- (6) The Industry Veterinarian shall determine a minimum sample requirement for the primary testing laboratory, which laboratory must be approved by the Authority.
- (7) If the specimen obtained from a horse is:
 - (a) less than the minimum samples requirement, the entire specimen shall be sent to the primary testing laboratory;
 - (b) If a specimen obtained is greater than the minimum sample requirement but less than twice that amount, that portion of the sample that is greater than the minimum sample requirement shall be secured as the split sample.
 - (c) If a specimen obtained is greater than twice the minimum sample requirement a portion of the sample approximately equal to that provided for the primary testing laboratory shall be secured as the split sample.
- (8) All expenses incurred for the analysis of samples shall be met by the Authority subject to the following:
 - (a) any expense to be paid for a confirmatory test in respect of a sample may be payable by the Owner of the horse whose sample requires a confirmatory test at the discretion of the Authority.
 - (b) any other costs incurred in respect of the analysis of samples may be payable by the Owner at the discretion of the Authority.
 - (c) the Authority reserves the right to request the Promoter to pay the expenses for the analysis of samples.

C. Storage and Shipment of Samples

- (1) Split samples obtained in accordance with heading **(B)**, sub-rules (7)(b) and (7)(c) above shall be secured and made available for further testing in accordance with the following procedures:
 - (a) A split sample shall be secured in the test barn in the same manner as the portion of the specimen acquired for shipment to a primary laboratory until such time as specimens are packed and secured for shipment to the primary location approved by the Authority;
 - (b) The Authority shall provide a freezer for storage of the split samples; which freezer shall be equipped with two locks. The keys to one lock shall be held by an official of the Promoter and the keys to the other lock shall be held by the Secretary of the Authority or his/her duly authorised representative. The

	<p>locks shall be closed and locked so as to prevent access to the freezer at all times, except as specially provided by these Rules; and</p> <p>(c) A freezer for storage of split samples shall be opened only for depositing or removing split samples, for inventory, or for checking the condition of samples. When a freezer used for storage of split samples is opened on a race day for depositing samples taken on that day, it shall be attended by both a representative of the Authority, and an official of the Promoter. The owner, trainer or designee of either in respect from which a sample was taken also has the option of being present when the freezer is opened. A log shall be maintained that shall be used each time a split sample freezer is opened to specify each person in attendance, the purpose for opening the freezer, identification of split samples deposited or removed, the date and time the freezer was opened, and the time the freezer was closed and to verify that both locks were secured prior to and after opening of the freezer. Any evidence of a malfunction of a split sample freezer or/of samples that are not in a frozen condition during storage shall be documented in the log and immediately reported to the Secretary of the Authority.</p> <p>(2) A trainer or owner of a horse having been notified that a written report from a primary laboratory states that a prohibited substance has been found in a specimen obtained pursuant to these Rules may request that a split sample, when available, corresponding to the portion of the specimen tested by the primary laboratory be sent to another laboratory approved by the Authority for confirmation of the presence of the prohibited substance found in the primary sample. The request must be made in writing and delivered to the Authority not later than forty-eight hours after the trainer of the horse receives written notice of the findings of the primary laboratory. Any split sample so requested must be shipped within an additional forty-eight hours after notification to the owner or trainer of acceptance by the secondary laboratory.</p> <p>(3) The owner or trainer requesting testing of a split sample shall be responsible for the cost of shipping and testing. Failure of the owner, trainer or their designee to appear at the time and place designated by the Authority for dispatch of the sample shall constitute a waiver of all rights to split sample testing. Prior to shipment, the Authority shall ensure the laboratory's willingness to provide the testing required, its willingness to send results to both the person requesting the testing and the Authority, and that satisfactory arrangements are made for payment of the laboratory's fees.</p> <p>(4) Prior to opening the split sample freezer, the Authority shall provide a split sample chain of custody verification form in duplicate, that shall provide a place for recording the following information:</p> <ul style="list-style-type: none"> (a) the date and time the sample is removed from the split sample freezer; (b) the sample number; (c) the name of the carrier and the address where the sample is to be taken for shipment;
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		<p>(d) verification of retrieval of the split sample from the freezer;</p> <p>(e) the address of the split sample laboratory on the split sample package; and</p> <p>(f) verification of the condition of the split sample package immediately prior to transfer of custody to the carrier;</p> <p>and such other information as the Authority may require. The form shall be fully completed during the retrieval, packing and shipment of the split sample.</p> <p>(5) The owner, trainer or designee shall pack the split sample for shipment in the presence of the representative of the Authority and an official of the Promoter. A form shall be signed by both the representative of the Promoter and the Authority confirming the packaging of the split sample. The exterior of the package shall be secured and identified with initialled tape, or other means to prevent tampering with the package.</p> <p>(6) The package containing the split sample shall be transported to the location where custody is transferred to the delivery carrier charged with delivery of the package to the Authority's approved laboratory selected by the owner or trainer.</p> <p>(7) The owner, trainer or designee and the Authority's representative and official of the Promoter shall inspect the package containing the split sample immediately prior to transfer to the delivery carrier to verify that the package is intact and has not been tampered with.</p> <p>(8) The split sample chain of custody verification form shall be completed and signed by the representatives of the Authority and the owner or trainer. The Authority's representative shall keep the original and provide a copy for the owner or trainer.</p>
Bookmakers	68.	<p>(1) Any person who takes or attempts to take bets or conduct the business of a bookmaker in the enclosure of a licensed racecourse may be excluded from the premises by the Stewards and shall be reported to the Authority and any such person may be warned off all racecourses or may be declared a disqualified person, as the Authority thinks fits.</p> <p>(2) Any person found in any enclosure of a licensed racecourse placing a bet with any person other than the person or organisation holding the betting licence in respect of the licensed racecourse shall be excluded forthwith from the premises by the Stewards and shall be reported to the Authority and such person may be warned off all racecourses or may be declared a disqualified person, as the Authority thinks fit.</p> <p>(3) Any person who is found in connection with wagering other than that accepted by the Promoter or his agents or in connection with illegal wagering using a public, cellular or any other kind of telephone, or communicating device without the consent of the Stewards or in connection with illegal wagering or the transmission of any kind of information regarding odds, race results or other gambling information for the purpose of such wagering, shall be reported to the</p>

		Authority and that person may be warned off all race courses or may be declared a disqualified person by the Authority.
New rules	69.	A new rule or amendment to an existing rule shall be introduced at any Board Meeting of the Authority and shall come into effect at such date as determined by the Authority following publication in the <i>Gazette</i> .
Filmed recording of races	70.	<p>(1) At every recognised meeting the Promoter shall cause a (video) record to be taken of the whole of every race contested, in so far as is technically and reasonably possible and every such (video) shall be used by the Stewards as an aid in determining any infringement of the Rules relating to racing.</p> <p>(2) The Promoter shall cause every (video) to be carefully preserved for a period of not less than six months, save in circumstances where it is necessary to keep for a longer period.</p> <p>(3) A copy of all videos shall be made available by the Promoter to the Authority for the purpose of viewing such films within seventy-two hours of completion of the day's racing.</p> <p>(4) The Promoter or the Authority shall permit the (videos) to be viewed by the owners, trainers, jockeys and such other persons as approved by them at such time and place as they may determine provided however that in the event that an owner, trainer or jockey becomes aggrieved by a decision of the Stewards at any race meeting, then in such case the person so aggrieved shall be entitled as of right to view the (video) of the race in question at a time to be fixed by the Promoter or the Authority which time must be within forty-eight hours of the decision of the Stewards.</p>
Electronic transmissions	71.	<p>(1) Electronic transmissions including facsimile of prescribed forms of appointment, application and registration, together with documents required for the registration of leases, partnerships, sales with contingencies, horses bred outside of Trinidad and Tobago, stud farms and syndicates will be deemed to satisfy the provision for such documents to be in writing provided that the original document in each case is received at the Registry Office within seven working days excluding Saturdays, Sundays and Public Holidays of the transmission of such facsimile and/or email.</p> <p>(2) If the original document in each case is not received within this time the Authority may, in their absolute discretion, cancel any registration made or withdraw any licence issued following the receipt of a document by facsimile and/or email transaction.</p>
Miscellaneous	72.	<p>(1) No person shall aid or abet the commission of a breach of these Rules.</p> <p>(2) No person shall act improperly on any land or premises owned, used, licensed or controlled by the Promoter or by the Authority.</p> <p>(3) No person shall act in a manner prejudicial to the integrity, proper conduct or good reputation of horseracing in Trinidad and Tobago whether or not such conduct shall constitute a breach of any of the Rules of Racing.</p>

		<p>(4) No person shall make or offer to make a bet on horses racing on behalf of any officials referred to under Rule 13 of the Rules of Racing or on behalf of a jockey riding under the provisions of these Rules nor shall he offer a jockey or any such official the proceeds of any bet on horse racing. This rule applies to any bet regardless where the bet is placed or horse runs.</p> <p>(5) Any person acting in breach of any of the sub-rules under this rule shall be guilty of an offence and is liable to be fined and/or suspended by the Stewards or may be dealt with by the Authority in accordance with their powers under these Rules.</p>
Instructions	73.	Every person shall comply with every instruction published by the Authority from time to time.
Claiming	74.	<p>(1) The following Rules relating to claiming shall apply to all claiming races as well as optional claiming races; but in optional claiming races they apply only to those horses entered to be claimed and any reference to claiming races shall be so construed.</p> <p>(2) In a claiming race or optional claiming race, any horse is subject to claim for its entered price by a Trainer on behalf of a person who is registered as an Owner or is the holder of a certificate of eligibility to claim, the Trainer being regarded as the agent of the Owner or the holder of a certificate of eligibility for the purpose of making claims. Subject to Rule 96 any horse entered for a claiming race or optional claiming race for a stated claiming price, other than a horse balloted out of the race or the entry for which is conditional, can be claimed.</p> <p>(3) A horse which is declared as a reserve and which does not accept the option of racing is liable to be claimed if entered for a price. This is to differentiate between horses which are declared reserves and which are eliminated and thus cannot be claimed.</p>
Certificate of eligibility to claim	75.	<p>The procedure for obtaining a certificate of eligibility to claim shall be as follows:</p> <p>(a) The applicant shall, prior to causing a claim to be made on his behalf, submit an application for a certificate of eligibility to claim to be accompanied by all the information required to be submitted on an original application for registration as an owner, together with the name of the trainer who will assume care and responsibility for the horse claimed and who is authorised to make a claim on behalf of the applicant. The application shall be accompanied by a payment to the Authority of such sum as is published by the Authority which shall include the requisite fee for registration as an owner;</p> <p>(b) If the Authority shall find that the financial responsibility, experience, character and general fitness of the applicant are such that the participation of such person will be consistent with the public interest, convenience or necessity and with the best interests of racing generally in conformity with the purposes of the law, it shall thereupon issue to the applicant a certificate of eligibility to claim. If the Authority shall find that the applicant fails to meet any of the conditions it shall not issue to the applicant a certificate of eligibility</p>

		<p>to claim and it shall notify the applicant of the denial. The decision whether or not to issue a certificate of eligibility shall be the sole discretion of the Authority which shall not be obliged to give reasons for its decision;</p> <p>(c) The Authority may refuse to issue to the applicant a certificate of eligibility to claim or may suspend or revoke an applicant's certificate of eligibility to claim if it shall find that the applicant has been convicted of a crime other than a breach of the Road Traffic Act in any jurisdiction or is financially irresponsible or has been guilty of or attempted any fraud or misrepresentation in connection with racing, breeding or otherwise or has violated or attempted to violate any law with respect to racing in any jurisdiction or any rule, regulation or order of the Authority or shall have violated any of the Rules of Racing or if any application made under the rule contains any matter which is false in any material particular to the knowledge of any person signing it or has been guilty of or engaged in similar, related or like practices; and</p> <p>(d) A certificate of eligibility to claim will be valid for ninety days from the date of issue or until the person in whose name the certificate is issued claims, purchases or otherwise acquires a horse prior to the expiry date of the certificate. Upon the holder of a certificate of eligibility to claim making a successful claim, the holder becomes a registered owner.</p>
Name to appear on claim form	76.	The name of the person for whom the claim is being made (i.e. the Claimant) must appear on the claim form.
Where trainer not to submit claim for a horse	77.	No trainer shall submit a claim for a horse on behalf of its owner or part owner or the authorised agent of the owner or part-owner or the parents, spouses, siblings or children of any of them, nor shall any of the aforementioned claim or cause to be claimed any horse directly or indirectly for his/her own account.
Other circumstances where trainer not to submit claim for a horse	78.	No trainer shall submit a claim for a horse on behalf of an owner from another trainer's stable in which the said owner has a horse.
Successful trainer	79.	Any trainer whose claim for a horse is successful shall, upon title to the said horse becoming vested in the successful claimant, become the trainer of the horse.
Horse not to be sold or transferred	80.	No horse claimed out of a claiming race or optional claiming race shall be sold or transferred to any person for racing purposes wholly or in part, except in another claiming race, for a period of thirty days exclusive of the day such horse was claimed, nor shall it unless re-claimed, remain in the same stable or under the control or management of the owner or trainer from whom it was claimed for a like period.
Person not to claim more than one horse from race	81.	No person shall claim more than one horse from any one race. A trainer, although acting on behalf of more than one owner, shall neither submit more than one claim in any one race nor shall the trainer submit claims for more than one horse in any one race. The trainer may however submit a claim for the same horse on behalf of more than one person or group of persons on a single claim form and if the claim is successful the claimant shall then be determined amongst such persons or group of

		persons by lot, under the supervision of one or more of the Stewards or their designated representative. (See Rule 87).
Horse not to race in another claiming race	82.	<p>(1) A horse which has won a claiming race and is claimed for a stated price shall not race in another claiming race for a period of forty-five (45) days exclusive of the day such horse was claimed unless for a claiming price of not less than twenty per cent more than the price for which the horse was claimed. A claimed horse which has not won shall not race in any claiming race for a period of thirty days exclusive of the day such horse was claimed for a claiming price less than the price for which the said horse was claimed.</p> <p>(2) A horse which has won an optional claiming race and is claimed for a stated price and was not promoted out of the rating band in which it raced and was claimed, shall not race for a claiming price within that rating band for a period of thirty (30) days exclusive of the day such horse was claimed. A claimed horse which has not won shall not race in an optional claiming race for a period of fifteen (15) days exclusive of the day such horse was claimed, for a claimed price for which the said horse was claimed.</p>
Claiming price of horse	83.	The claiming price of any horse in a claiming race or optional claiming race shall be the entered claiming price, plus tax, if any. A non-refundable fee as specified by the Promoter in respect of each claim submitted per person shall be payable to the Promoter. The successful claimant shall, in addition, pay a fee which shall be payable to the Authority in respect of the registration of the successful claimant as the owner of the horse. The entered claiming price shall be printed on the day's official card of the races.
Claim to be made in writing	84.	Each claim shall be made in writing on a form and in an envelope supplied by the Promoter and approved by the Authority. Both form and envelope must be filled out completely and must be letter perfect. Failing which the claim will be void. The horse's name must be identical to the way it is printed in the day's official card, otherwise the claim will be void.
Claim to be signed, sealed and time-tagged	85.	Claims must be signed, sealed and the envelope time-stamped and deposited in a locked box provided for that purpose at a designated place at least fifteen minutes before the advertised post time of the race from which the claim is being made. No money or its equivalent shall be put in the claim box. For a claim to be valid, the claimant must, at the time of filing of the claim, have in a deposit account with the Promoter, maintained by him for the purpose of making claims not less than the amount of the claiming price plus any tax and fee payable in Rule 83. The details of each deposit account which shall be managed by the Promoter, shall be provided to the Stewards before the post time of the first race and during the course of each day on which a claiming race is scheduled.
When claims to be opened	86.	The Stewards or their designated representative shall open the claim envelope for each claiming race, ten minutes before post time of the race for which the claim is being made or as soon as the horses leave the parade ring <i>en route</i> to the starting post, whichever shall last occur. The Stewards shall thereafter check the details of the claimant's deposit account and administration fee to ascertain whether the proper credit balance is in existence with the Promoter. The owner, trainer and jockey of any

		horse claimed shall not be informed that a claim has been made until after the race has been run.
When more than one valid claim filed	87.	If more than one valid claim is filed for the same horse, title to the horse shall be determined by lot under the supervision of the Stewards or their designated representative. (See Rule 81).
Claimed horse to be delivered to trainer	88.	Any horse that has been claimed shall, after the race has been run, be delivered to the trainer by whom the successful claim was made. Such trainer must present written authorisation from the Stewards. Horses, which are sent to the area where post race samples for analysis are taken shall be delivered at that point; others are to be delivered in the paddock. No person shall refuse to deliver to the person legally entitled thereto a horse claimed. Any horse claimed and not delivered shall be disqualified from further racing until delivery is made and the person refusing to deliver a claimed horse shall be referred to the Authority.
Claims irrevocable	89.	Claims are irrevocable and are at the risk of the claimant. Determination of the true sex of a claimed horse shall be the sole responsibility of the claimant and mistakes in that regard printed in the Official Programme or elsewhere shall not be considered a basis for invalidating the claim. Title to a claimed horse shall be vested in the successful claimant from the time the said claim is deemed valid and the claimant shall then become the owner of the horse whether it be alive or dead, sound or unsound, or injured before, during or after the race. A claimed horse shall, where applicable, run in the interest of and for the account of the owner from whom claimed. Any subsequent disqualification of the horse by order of the Stewards or the Authority shall have no effect upon the claim.
Person not to offer or enter into agreement to claim or not to claim etc	90.	(1) No person shall offer or enter into an agreement to claim or not to claim or attempt to prevent another person from claiming any horse in a claiming race or optional claiming race. No person shall attempt by any improper means to prevent anyone from running a horse in any claiming race or optional claiming race. No owner or authorised agent or trainer or assistant trainer shall make an agreement with another owner or authorised agent or assistant trainer for the protection of each other's horses in a claiming race. No person shall make a loan agreement for the purpose of obtaining funds for the claim of any horse when a condition of such agreement is a lien on the horse to be claimed. Any person acting in breach of his rule may be fined by the Stewards and/or referred to the Authority. (2) If the Stewards are of the opinion that any person is claiming a horse for the benefit of another they may require such person to give an affidavit substantiating that such a claim is made only on his/her own behalf.
Claim to represent <i>bona fide</i> offer	91.	A claim shall in all cases represent a <i>bona fide</i> offer by the claimant to buy and on the owner to sell the horse in question at the claiming price. The Stewards or the Authority shall be at liberty to fully enquire into any and all circumstances surrounding a claim and may at any time in their discretion require any person to make a declaration on oath in writing in regard to any claim and the circumstances in which any claim is made. The Stewards shall be the judges of the validity of a claim.
Penalty	92.	Any person who shall enter or allow to be entered in a claiming race or optional claiming race, a horse which is the subject of a lease or one against which any third party interest is held, either by way of mortgage, bill of sale, or lien of any kind may

		be fined and/or warned off all courses and places where these Rules are in force unless when or before entering the horse, the written consent of the lessor or holder of the third party interest shall be filed with the Authority or Promoter of the meeting at which the horse is entered.
Engagements of claimed horse	93.	The engagements of a claimed horse pass automatically to the successful claimant at the time when he becomes the owner of the horse.
Claimant responsible for determining pertinent information	94.	Notwithstanding any information appearing on the day's official card of the race or in any racing publication, the claimant of a horse shall be solely responsible for determining all pertinent information with regard to the horse claimed.
Where claiming race abandoned	95.	In the event that a claiming race or optional claiming race is abandoned or postponed or declared void for any reason, any claim lodged for any horse in the said races shall be null and void.
Limit of entries in claiming race	96.	In all claiming races not more than three horses under the charge, custody or care of the same trainer can be entered and declared in one race. In claiming races, not more than two horses owned by the same person whether as sole owner, part owner, licensee or lessee can be entered and declared to start in one race.
Objection by owner of claimed horse	97.	An objection by the owner of a claimed horse against the claim of the said horse shall be filed with the Stewards within forty-eight (48) hours of the day of the race on which the horse was claimed, a Sunday and public holiday being excluded.
Void claim	98.	Any claim which is not made in conformity with these Rules shall be void.
Penalty for breach of claiming rules	99.	Any person acting in breach of any of these claiming Rules, shall be guilty of an offence, and shall be fined and or suspended by the Stewards or may be dealt with by the Authority in accordance with their powers under these Rules.
Offence re withdrawn horse without permission	100.	Should a horse once entered/declared to start in a race, be withdrawn without just cause, the owner or his duly appointed authorised agent or the trainer of said horse, shall be fined by the Stewards and the matter may be referred to the Authority.
Veterinary practices, medication and prohibited substances and reports	101.	<p>(1) Every veterinarian who treats a race horse during the forty-eight (48) hour period before post time of the race for which the horse is entered at any location under the jurisdiction of the Authority shall, in writing on a form approved by the Authority, report to the Stewards the name of the horse treated, any medication, drug or substance administered and/or prescribed, the name of the trainer of the horse, the date and time of treatment and any other information requested by the Stewards.</p> <p>(2) The report shall be signed by the Practicing Veterinarian.</p> <p>(3) The report shall be filed by the Practicing Veterinarian not later than 24 hours prior to the post time for the said race in which the horse is entered to race on the next race day. Any such report is confidential and its content shall not be disclosed except in the course of an investigation of a possible violation of these rules or in proceedings before the Stewards or the Authority, or to the trainer or to the registered owner at the time of treatment.</p>

		<p>(4) Practicing Veterinarians shall not participate in racing under the jurisdiction of the Authority, without a valid license issued by the Authority.</p> <p>A. Eligibility An applicant for a license as practicing veterinarian shall be qualified and licensed to practice veterinary medicine in this jurisdiction and be otherwise qualified to be issued a license to participate in racing. An application for a practicing veterinarian license from the Authority must be accompanied by the original and a copy of the applicant's current license to practice veterinary medicine in Trinidad and Tobago; additionally, a fee (as determined by the Authority) shall be paid at the time such an application is lodged, which shall be refunded if the application is refused.</p> <p>B. Responsibility (1) All practicing veterinarians shall promptly notify the Industry Veterinarian of any reportable disease and any unusual incidence of a communicable illness in any horse in his/her charge.</p>
Medication and prohibited substances	102.	<p>Upon a finding of a violation of these medications and prohibited substances rules, the Authority shall consider the classification level of the violation as listed at the time of the violation by the Uniform Classification Guidelines of Foreign Substances as published from time to time and subject to these rules, impose penalties and disciplinary measures consistent with the recommendations contained therein.</p> <p>Provided, however, that in the event a majority of the Authority determine that mitigating circumstances require imposition of a lesser penalty they may impose the lesser penalty. In the event of the Authority wishing to impose a greater penalty or a penalty in excess of the authority granted them in the Schedule, then, and in such event, they may impose the maximum penalty authorized under the Rules.</p> <p>(A) Uniform classification Guidelines of Foreign Substances The following outline describes the types of substances as placed in each category. This list shall be prominently posted in the offices of the Industry Veterinarian, the Authority, and on racecourse premises.</p> <p><u>Notes Regarding Classification Guidelines:</u></p> <ul style="list-style-type: none"> • Where the use of a drug is specifically permitted by a jurisdiction, then the jurisdiction's rule supersedes these penalty guidelines. • Regulators should be aware that a laboratory report may identify a drug only by the name of its metabolite. The metabolite might not be listed here, but the parent compound may be. • These classes of drugs are intended only as guidelines and should be employed only to assist persons adjudicating facts and opinions in understanding the seriousness of the alleged offenses. • The facts of each case are different and there may be mitigating circumstances that should be considered.

- These drug classifications will be reviewed periodically. New drugs will be added or some drugs may be reclassified when appropriate.
- Racing Commissioners International (RCI) and/or the Racing Medication and Testing Consortium (RMTC) should be consulted for found substances or drugs not included in these guidelines and treated as Class 1 violations warranting a Class A penalty unless otherwise advised.

Classification Definitions

1. Class 1

Stimulant and depressant drugs that have the highest potential to affect performance and that have no generally accepted medical use in the racing horse. Many of these agents are Drug Enforcement Agency (DEA) schedule II substances. These include the following drugs and their metabolites:

Opiates, opium derivatives, synthetic opioids and psychoactive drugs, amphetamines and amphetamine-like drugs as well as related drugs, including but not limited to apomorphine, nikethamide, mazindol, pemoline, and pentylenetetrazol. Though not used as therapeutic agents, all DEA Schedule 1 agents are included in Class 1 because they are potent stimulant or depressant substances with psychotropic and often habituating actions. This class also includes all erythropoietin stimulating substances and their analogues.

2. Class 2

Drugs that have a high potential to affect performance, but less of a potential than drugs in Class 1. These drugs are 1) not generally accepted as therapeutic agents in racing horses, or 2) they are therapeutic agents that have a high potential for abuse. Drugs in this class include: psychotropic drugs, certain nervous system and cardiovascular system stimulants, depressants, and neuromuscular blocking agents. Injectable local anesthetics are included in this class because of their high potential for abuse as nerve blocking agents.

3. Class 3

Drugs that may or may not have generally accepted medical use in the racing horse, but the pharmacology of which suggests less potential to affect performance than drugs in Class 2. Drugs in this class include bronchodilators, anabolic steroids and other drugs with primary effects on the autonomic nervous system, procaine, antihistamines with sedative properties and the high-ceiling diuretics.

4. Class 4

This class includes therapeutic medications that would be expected to have less potential to affect performance than those in Class 3. Drugs in this class includes less potent diuretics; corticosteroids; antihistamines and skeletal muscle relaxants without

prominent central nervous system (CNS) effects; expectorants and mucolytics; hemostatics; cardiac glycosides and anti-arrhythmics; topical anesthetics; antidiarrheals and mild analgesics. This class also includes the non-steroidal anti-inflammatory drugs (NSAIDs), at concentrations greater than established limits.

5. Class 5

This class includes those therapeutic medications that have very localized actions only, such as anti-ulcer drugs, and certain anti-allergic drugs. The anticoagulant drugs are also included.

(B) Approved Therapeutic medication

The following list of substances have been identified as having accepted therapeutic use in the horse and as such the Official Primary Laboratory for the purpose of identifying a sample as positive will be guided by the screening limits as listed next to the name of the therapeutic substance.

Substance	Screening Limit
Acepromazine ¹	10 ng/mL of urine
Albuterol ²	1 ng/mL of urine
Betamethasone	10 pg/mL of plasma or serum
Butorphanol ²	300 ng/mL of urine
Cetirizine	6 ng/mL of plasma or serum
Dantrolene ³	0.1 ng/mL of plasma or serum
Detomidine	1 ng/mL of urine or LOD of detomidine in plasma or serum
Dexamethasone	5 pg/mL of plasma or serum
Diclofenac	5 ng/mL of serum or plasma
DMSO	10 mcg/mL of plasma or serum
Firocoxib	20 ng/mL of plasma or serum
Flunixin	20 ng/mL of plasma or serum
Furosemide	100 ng/mL in blood and urine
Glycopyrrolate	3 pg/mL of serum or plasma
Gualifenesin	12 ng/mL of plasma or serum
Isoflupredone	100 pg/mL of serum or plasma
Ketoprofen	2 ng/mL of serum or plasma
Lidocaine ⁴	20 pg/mL plasma or serum
Mepivacaine ⁵	10 ng/mL in urine or mepivacaine at LOD in plasma or serum
Methocarbamol	1 ng/mL of serum or plasma
Methylprednisolone	100 pg/mL in plasma or serum
Omeprazole ⁶	1 ng/mL in urine
Phenylbutazone	2 mcg/mL of serum or plasma
Prednisolone	1 ng/mL of serum or plasma

Triamcinolone acetonide	100 pg/mL of plasma or serum
Xylazine	0.01 ng/mL of plasma or serum

1. The screening limit is based on the detection of 2- (1 hydroxyethyl) promazine sulphoxide
2. The concentration is the total concentration of the specified substance after hydrolysis of conjugates
3. The screening limit is based on the detection of 5 -hydroxydantrolene
4. The screening limit is based on the total concentration (i.e. free plus conjugated) of 3 - hydroxylicocaine
5. The screening limit is based on the total concentration (i.e. free plus conjugated) of 3- hydroxymepivacaine
6. The screening limit is based on the detection of opmeprazole sulphoxide in urine
7. The screening limit is based on the detection of procaine in serum or plasma of a horse

ARCI Endogenous, Dietary, or Environmental Substances Schedule - Version 4.1 (Updated December 2019)

Substance	Threshold	Reason for
Arsenic	0.3 micrograms/milliliter total	Feed
Caffeine	100 nanograms/milliliter of serum or plasma	Feed Contaminant
Cobalt ¹	25 ppb in blood plasma or	Endogenous Substance
Estranediol	0.045 micrograms/milliliter,	Endogenous
Gamma Aminobutyric Acid (GABA)	110 nanograms/milliliter of	Endogenous
Hydrocortisone	1 microgram/milliliter of	Endogenous
Methoxytyramine	4 micrograms/milliliter, free	Endogenous
Morphine	30 ng/ml total morphine in	Feed
Prednisolone	10 ng/ml free prednisolone in urine	Endogenous Substance
Salicylate Salicylic Acid	750 micrograms/milliliter	Feed Contaminant
Theobromine	2 micrograms/milliliter of urine or 0.3 micrograms/milliliter serum or plasma	Feed Contaminant

1 Penalties for cobalt vary depending on the concentration. Please see Uniform Classification Guidelines for Foreign Substances for recommended penalty for concentrations of 25 parts per billion or greater of blood plasma or serum and for concentrations of 50 parts per billion of blood plasma or serum.

C. Penalty recommendations (in the absence of mitigating circumstances)

- (1) Class 1 - One to five-year suspension and a fine not exceeding the maximum amount allowed by the Act and loss of purse.
- (2) Class 2 - Three months to one-year suspension and a fine not exceeding the maximum amount allowed by the Act and loss of purse.
- (3) Class 3 - One month to two years suspension and a fine not exceeding the maximum amount allowed by the Act and loss of purse.
- (4) Class 4 – Zero to one-year suspension and a fine not exceeding the maximum amount allowed by the Act and loss of purse.
- (5) Class 5 - Zero to 6 months suspension with a possible loss of purse and/or fine not exceeding the maximum amount allowed by the Act.

D. Medication Restrictions

- (1) A finding by the official chemist of a prohibited drug, chemical or other substance in a test specimen of a horse is prima facie evidence that the prohibited drug, chemical or other substance was administered to the horse and, in the case of a post-race test, was present in the horse's body while it was participating in a race. Prohibited substances include:
 - (a) Drugs or medications for which no acceptance levels have been established;
 - (b) Therapeutic medications in excess of established acceptable levels.
 - (c) Substances present in the horse in excess of levels at which such substances could occur naturally; and
 - (d) Substances foreign to a horse at levels that cause interference with testing procedures;
- (2) Drugs or medications in horses are permissible, provided:
 - (a) The drug or medication is listed by the International's Drug Testing and Quality Assurance Program; and
 - (b) The maximum permissible urine or blood concentration of the drug or medication does not exceed the published limit.
- (3) Except as otherwise provided by this section, a person may not administer or cause to be administered by any means to a horse a prohibited drug, medication, chemical or other substance, including any restricted medication pursuant to this section during the forty-eight (48) hour period before post time for the race in which the horse is entered.

E. Medical Labelling

- (1) Unless otherwise authorised by the Authority, no person on racecourse premises where horses are lodged or kept, excluding licensed veterinarians, shall have in or upon said premises which that person occupies or has the right to occupy, or in that person's personal property or effects or vehicle in

that person's care, custody or control of a drug, medication, chemical, foreign substance or other substance that is prohibited in a horse on a race day unless the product is labelled in accordance with this subsection.

- (2) Any drug or medication which is used or kept on racecourse premises and which, by law, requires a prescription must have been validly prescribed by a duly licensed veterinarian, and in compliance with the applicable laws. All such allowable medications must have a prescription label which is securely attached and clearly ascribed to show the following: -
 - (a) the name of the product;
 - (b) the name, address and telephone number of the veterinarian prescribing or dispensing the product.
 - (c) the name of each horse for which the product is intended to be prescribed;
 - (d) the dose, dosage, duration of treatment and expiration date of the prescribed dispensed product; and
 - (e) the name of the person (trainer) to whom the product was dispensed.

F. Furosemide (Lasix)

- (1) Furosemide may be administered intravenously to a horse which is on the Bleeder List and which is entered to compete in a race, or to facilitate the collection of a postrace urine sample. It is to be noted that furosemide shall be permitted only after the Industry Veterinarian has placed the horse on the Bleeder List, and after the expiration of thirteen days from the date of his placing the horse on the said Bleeder List.
- (2) The use of furosemide shall be permitted under the following circumstances on racecourse premises where a detention barn is available.
 - (a) Furosemide shall be administered at the direction of the Industry Veterinarian or his/her designee no less than four hours prior to post time for the race for which the horse is entered.
 - (b) A horse qualified for furosemide administration must be brought to the detention barn within time to comply with the four-hour administration requirement specified above.
 - (c) The failure of the trainer to have the horse brought to the detention barn at the appointed time, shall render the horse ineligible to run in the specific race. The Stewards reserve the right to impose a fine on the trainer of the horse for breach of this rule, and any such horse shall not be allowed to take entry in a claiming race for sixty (60) calendar days, from the date of the race from which it was withdrawn.
 - (d) After treatment of furosemide the horse shall be required by the Authority to remain in the care, custody and control of its trainer or the trainer's designated representative and under the racetrack and/or authority security supervision until called to the saddling enclosures.
- (3) The use of furosemide shall be administered under the following circumstances on racecourse premises where a detention barn is not available.

- (a) The furosemide dosage administered shall not exceed 250mg nor be less than 150mg
- (b) The Trainer of the treated horse shall cause to be delivered to the Industry Veterinarian or his/her designee no later than one hour prior to post time for the race for which the horse is entered the following information under oath on a form provided by the Authority.
 - (i) the racetrack name, the date and time the furosemide was administered to the entered horse
 - (ii) the dosage amount of furosemide administered to the entered horse; and
 - (iii) the printed name and signature of the attending licensed veterinarian who administered the Furosemide.

G. Bleeder List

- (1) The Industry Veterinarian shall maintain a Bleeder List of all horses which have demonstrated external evidence of exercise induced pulmonary haemorrhage or the existence of haemorrhage in the trachea post exercise upon endoscopic examination. Such examination must have been performed by or in the presence of the Industry Veterinarian, or by a Practicing Veterinarian who is registered with the Authority, in the presence of the Industry Veterinarian.
- (2) The confirmation of a bleeder horse must be certified in writing by the Industry Veterinarian and entered on the Bleeder List. Copies of the certification shall be issued to the owner of the horse or the owner's designee upon request. A copy of the bleeder certificate shall be placed on the horse's file with the Authority.
- (3) Every confirmed bleeder, regardless of age, shall be placed on the Bleeder List, and shall remain on said List for a period of not less than six months and once having been administered furosemide for racing, shall continue to race on said medication for the duration of the six-month period while on the Bleeder List.
- (4) A horse may be removed from the Bleeder List only upon the direction of the Industry Veterinarian, who shall certify in writing to the Authority the recommendation for removal, but any such horse shall not be placed back on the Bleeder List until the expiry of sixty (60) days from the date it came off the Bleeder List and be subject to sub-rule (5) of this rule.
- (5) A horse, which has been eligible for the administration of Furosemide may be removed from the Bleeders List, only upon written authorisation of the Industry Veterinarian. Such certification must be applied for and issued before the horse takes entry for a particular race day.
 All applications for removal must be accompanied by a fee as determined by the Authority from time to time.
 Horses which have been removed from the Bleeders List and wish to re-enter that list for the administration of Furosemide, must qualify via the same method as when it was originally placed on list, pursuant to Rules 102(F)(1)

		<p>and (2), and will be subject to all subsequent Rules regarding horses on the Bleeders List.</p> <p>(6) A horse which has been placed on a Bleeder List in another jurisdiction may be placed on a Bleeder List in this jurisdiction provided that the other jurisdiction's criteria for the identification of bleeders are satisfactory in this jurisdiction.</p>
Physical examination of horses	103.	<p>A. <u>Assessment of Racing Condition</u></p> <ol style="list-style-type: none"> (1) Every horse entered to participate in an official race shall be subject to a veterinary inspection. (2) The inspection shall be conducted by the Industry Veterinarian or his/her designated representative. (3) The trainer of each horse or a representative of the trainer must present the horse for inspection as required by the examining veterinarian. (4) The assessment of a horse's racing condition shall include: <ol style="list-style-type: none"> (a) proper identification of each horse inspected; (b) observation of each horse in motion; (c) manual palpation when indicated; (d) close observation in the paddock and saddling area, during the parade to post and at the starting gate; and any other inspection deemed necessary by the Industry Veterinarian. <p>B. <u>Veterinarian's List</u></p> <ol style="list-style-type: none"> (1) The Industry Veterinarian shall maintain a list of all horses which are classified as unfit to compete in a race due to physical distress, unsoundness, infirmity or medical condition. (2) A horse may be removed from the Veterinarian's List when, in the opinion of the Industry Veterinarian, the horse has satisfactorily recovered the capability of competing in a race. (3) Refer to Rule 31 (12) re: Mandatory Seven Day Veterinarian's List. <p>B. <u>Post-mortem Examination</u></p> <ol style="list-style-type: none"> (1) The Authority may conduct a post-mortem examination of any horse that is injured in this jurisdiction while in training or in competition and subsequently expires or is destroyed. In proceeding with a post-mortem examination, the Authority or its designee shall coordinate with the trainer and/or owner to comply with any insurance requirements. (2) The Authority may conduct a post-mortem examination of any horse that expires while housed on racecourse premises or at recognized training facilities within this jurisdiction. Trainers and Owners shall be required to comply with such action as a condition of licensure. (3) The Authority may take possession of the horse upon death for post-mortem examination. The Authority may submit blood, urine, other bodily fluid specimens or other tissue specimens collected during a completion of the

		<p>post-mortem examination; the carcass may be returned to the owner or disposed of at the owner's option.</p> <p>(4) The presence of a prohibited substance in a horse, found by the official laboratory or its designee in a body fluid specimen collected during the post-mortem examination of a horse, which breaks down during a race constitutes a violation of these Rules.</p> <p>(5) The cost of Authority-ordered post-mortem examination, testing and disposal shall be borne by the Authority.</p>
Rule for prohibited practices	104.	<p>A. <u>Medication, drugs and other substances</u></p> <p>(1) It shall be the intent of these rules to protect the integrity of horse racing, to guard the health of the horse, and to safeguard the interests of the public and the racing participants through the prohibition or control of all drugs, medications and drug substances foreign to the horse. In this context:</p> <ul style="list-style-type: none"> (a) no horse participating in a race or workout required by the Industry Veterinarian shall carry in its body any drug, substance or its metabolites or analogues except as hereinafter expressly provided; (b) no drug substance shall be administered to a horse which is entered to compete in a race to be run in this country except for any drug approved for in accordance with these Rules; (c) no person other than a licensed veterinarian shall have in his/her possession any drug substance which can be administered to a horse, except such drug substance prescribed by a licensed veterinarian for a specific condition of a horse and which is properly labelled in accordance with these Rules; and (d) a finding by an official chemist that a test sample taken from a horse contains a prohibited substance or its metabolites or analogues which falls under the Classification for Prohibited Substances shall be <i>prima facie</i> evidence that the Trainer and his/her agents responsible for the care of the horse has/have been negligent in the care of the horse and is <i>prima facie</i> evidence that the drug substance has been administered to the horse. <p>B <u>Prohibited practices</u></p> <p>(1) The possession of a drug, substance or medication, specified below or as amended from time to time by the Authority, on the premises of a facility under the jurisdiction of the Authority for which a recognised analytical method has not been developed to detect and confirm the administration of such substance, or the use of which may endanger the health and welfare of the horse or endanger the safety of the rider, or use of which may adversely affect the integrity of racing are:</p> <ul style="list-style-type: none"> (a) Erythropoietin (b) Darbopoietin (c) Oxyglobin

	<p>(d) Hemopure.</p> <p>(2) The possession of a drug, substance or medication on the premises of a facility under the jurisdiction of the Authority that has not been approved.</p> <p>(3) The practice, administration, or application of a treatment, procedure therapy or method identified below, which is performed on the premises of a facility under the jurisdiction of the Authority and which may endanger the health and welfare of the horse or endanger the safety of the rider, or use of which may adversely affect the integrity of racing are-</p> <ul style="list-style-type: none"> (a) Intermittent Hypoxic Treatment by external device; (b) Extra Corporeal Shockwave Therapy; and (c) The practice referred to as “Milkshaking” (mixing bicarbonate of Soda and sugar). <p>(4) Any person found in violation of a prohibited practice will be considered to have engaged in a serious and grave breach of the Rules of Racing and shall be subject to such penalties as deemed appropriate by the Authority.</p>
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TRINIDAD AND TOBAGO RACING AUTHORITY

RULES OF RACING

INDEX

	RULE
A bandonment of meeting or race	8 (4) (e)
Absence of rider substitution allowed	36 (14)
Accident to rider substitute allowed	37 (4)
Accommodation for Authority	6 (7)
Accounts, Statement of to be lodged	8 (4) (i); 21 (2)
Added Money, definition of	2
Added Money, bonus payable to disqualified person as breeder or nominator to be withheld	15 (1) (2) (3)
Added money, lodging of	22 (9)
Added money, noncompliance of the payment of	8 (4) (g)
Added money, penalties	47 (2)
Ages of horses, calculation of	26
Ages of horses, minimum at which they can run (omitted conditions)	23 (3)
Age limit for licence – Jockey	36 (23)
Agreement with Jockey	36 (14) 39 (1) (2)
Agreement, no official notice will be taken of, not registered	39 (3) 39 (4)
Allowances, cumulative unless specified, calculation of	48 (1)
Allowances, cumulative unless specified, in case of failure to draw weight	48 (1)
Allowances, cumulative unless specified, omission to claim, not a source of disqualification	48 (2)
Allowances, cumulative unless specified, when to be claimed	48 (4) (5) (6) (7)

Allowances, of apprentice jockeys	49 (1) (5)
Appeals, allow of	58 (12) 58 (17) 61 (1)
Appeals, deposit	61 (2)
Appeals, a limit of time for	61 (2)
Appeals, lodging of	61 (1)
Apprentice Jockey Scheme	49
Arrears, definition of	2
Arrears, failing to pay	30 (1) (e) 66 (5) (7) (8)
Arrears, list	66 (9)
Arrears, report of	66 (6)
Arrears List, Definition of	2
Assessment of Racing condition, physical examination of horses	103 (A)
Assumed Names, abandonment of	33 (2)
Assumed Names, Colours	33 (6)
Assumed Names, Entries	33 (2) (4)
Assumed Names, Interest in horse	33 (3)
Assumed Names, Restrictions thereto	33 (3) (4)
Assumed Names, Registration of	33 (1)
Authorised Agent, cancellation of	34 (21) (22)
Authorised Agent, definition of	2
Authorised Agent, power of Stewards with regard to same	5 (2) (d) (h) 5 (2) (m) (ii)
Authorised Agent, disqualified person cannot act as	66 (10) (b)
Authorised Agent, registration of	8 (1) (4) 34 (3) (8) (10) (18d) (19) (20)
Authorised Agent, restrictions on trainer	41 (19) 53 (3)
Authorised Agent, Restrictions due to age	7 (4) (j)

Authority definition of	2
B adges, to be worn by Grooms	40 (7) (8)
Badges, display for access to restricted areas	6 (4) (d)
Badges, display for access to testing barn on race days	67 (5)
Bleeder List	102 (G)
Blinding Hoods, prohibited use	51 (16)
Blinkers, declaration of	51 (16-18)
Blinkers, definition of	2
Blinkers, equipment	32 (9)
Blinkers, not to be included in Jockey's weight	51 (14)
Bookmaker, definition	2 68 (1)
Bookmaker, not allowed to register assumed name	33 (3)
Bookmaker, wagering requirements	68
Bookmaker, use of Cellular Phones restricted	68 (3)
Breastplate, to be included in Jockey's weight	51 (12)
Breeder, of a horse, definition	2
Breeder, name to be published in race programme	6 (3) (g)
Breeders' Premiums	60
C alculation of time	3
Citation	1
Claiming Race, abandonment of	95
Claiming Race, definition of	2
Claiming Race, Claims to be signed, sealed in envelope	84 85
Claiming Race, Claiming price	32 (4) 83
Claiming Race, Determine validity	75
Claiming Race, Delivery of horse	88
Claiming Race, Eligibility to claim	75
Claiming Race, Engagement of Claimed Horses	93

Claiming Race, Form to be provided for lodging claim	88 91
Claiming Race, Horse not to race in another claiming race	82
Claiming Race, Irrevocable	89
Claiming Race, Limit of Entries	96
Claiming Race, Name of person to appear on claim slip	76
Claiming Race, No sale of horse within 30 days	80 81
Claiming Race, Objection by owner of claimed horse	97
Claiming Race, Other restrictions	46 77 78
Claiming Race, Penalty	92, 99
Claiming Race, Regulations for race meetings, programmes	22 (4) 94
Claiming Race, Stewards to open claim	86
Claiming Race, to be published in race programme	6 (3) (i)
Claiming Race, Trainer of horse if bid successful	79
Claiming Race, Void Claim	98
Claiming Race, When more than one valid claim filed	87
Clerk of the Paddock	16
Clerk of the Scales, appointment of	11 (1)
Clerk of the Scales, duties of	18 (1-6)
Clerk of the Scales, report to Stewards if number withdrawn	18 (2)
Clerk of the Scales, any objection to decision must be made at once	58 (3)
Clothing, to be included in rider's weight	51 (12)
Colours, alteration of to be exhibited with number	18 (4)
Colours, Disputes regarding	35 (3)
Colours, Not to be taken by any other person	35 (2)
Colours, Registration of	35 (1) (2) (4)

Colours, No more than one set of colours	35 (4)
Colours, to use other than those in own or an assumed name	33 (6)
Complaints against officials, how to be made	14
Condition race, definition of	2
Condition race, to be included in Race Programme	6 (3) (c)
Conditions, Omitted	23
Conditions, Omitted (how course to be fixed, if omitted from conditions)	23 (3)
Corrections of entry (Error in the Entry)	62
Corrupt Practices, exclusion from enclosures	63 (7)
Corrupt Practices, disqualification of horses for	30 (2)
Careless Riding, horse liable to disqualification	53 (6)
Cup, definition of	2
Cup, to be given though walked over	59 (2 - 3)
Cup, may be withheld if walked over for by arrangement	59 (4)
D ay, definition of	2
Dead Heat, Definition of	2
Dead Heat, shall not be run off	56 (1)
Dead Heat, division after, provision in case of dispute	56 (5)
Dead Heat, each horse that divides a prize for first place deemed a winner	56 (2)
Dead Heat, provision for division of prizes	56 (3)
Dead Heat, for match	56 (6)
Dead Heat, for second place, provision in case of objection to winner	56 (4)
Death of Nominator, does not cancel entry	32 (7)
Decision of Stewards, subject of appeal	61 (1)
Decision of Stewards, limit to time for appealing	61 (2)
Decision of Stewards, suspension of jockey deferred if appealed	61 (3)
Declaration of weights, time for may be extended	51 (7)
Declared equipment to be worn by horse	51 (17)

Defaulter definition of	2
Defaulters, exclusion of	6 (8) (b)
Deposit, definition of	2
Deputy Officials, powers of Stewards to appoint	11 (2)
Deputy Stewards, appointment of	4 (3)
Dismounting of winner before reaching place appointed	57 (3)
Disputes and Objections	58
Disputes and Objections, trainers re unpaid fees	41 (9 - 13)
Disqualification of Horses, Horse not disqualified for error in entry which might have been corrected on payment of fine	62
Disqualification of Horses, Horse not qualified in respect of bonus payable to breeder or nominator	30(5)
Horse not disqualified if jockey too ill to weigh in provided jockey weighed out at not less than his proper weight	57 (8)
Disqualification of Horses, Horse not registered	30 (1) (a)
Disqualification of Horses, Owners status in question	30 (1) (c - e) 31 (9) (g)
Disqualification of Horses, Vaccination status not current	31 (9) (f)
Disqualification of Horses, Horse sample is positive	30 (3)
Disqualification of Horses, Horse must have obtained a Starters Certificate	30 (1) (b)
Disqualified person, definition of	2
Disqualified Persons, warned off	66 (2)
Disqualified Persons, warned off, for contravention of Rules/Regulations	68
Disqualification of persons, imposed by other turf authorities	66 (3)
Distance, minimum to be run	25 (1)
Distance, what to be if not mentioned in conditions	23 (2)

Donor, definition of	2
Draw for places, and exhibition of numbers	18 (1)
Draw for places, the starter to obtain list of	19 (3)
Drugs, etc., Administration of	63 (1) (2) (5)
Duties (Powers) of Stewards of Meetings	5
E lectronic Transmissions	71
Entrance fees, when to be paid, and to whom	31 (2) (3)
Entries must be lodged, under supervision of Stewards	31 (1)
Entries must be lodged, qualification to run	31 (9)
Entries must be lodged, and retainer form signed	31 (8)
Entries must be lodged, with restrictions on racing if withdrawn on medical grounds	31 (11)
Entries must be lodged, with no addition allowed, after closing	32 (5)
Entries must be lodged, and not void by death of subscriber	32 (7)
Entries must be lodged, but Stewards have the power to refuse to accept	5 (2) (m) 31 (1)
Entry, correction of, on payment of a fine	32 (6)
Entry, form of	32 (1) (8)
Entry, to be in name of person with equal interest	32 (2)
Entry, to be in name of one person	32 (3)
Entry, in assumed name	32 (3)
Entry, withdrawal, or alteration of, before closing	31 (2)
Entry, horse not qualified to enter unless registered	32 (1)
Entry, claiming price to be stated	32 (4)
Entry, name of trainer necessary	32 (1)
Entry, equipment to be stated	32 (8)
Equipment, definition of	2
Exercise Lads,	45 (1) – (6)
Exercise Lad, definition of	2
Exhibiting numbers, Clerk of Scales	18 (1)
Exhibition of numbers, no alteration or addition without leave of the Stewards	51 (9)
Extra weight, to be exhibited with number	18 (4)
Eye Shield, definition of	2
F ilmed Recordings of Races	70

Fines, for correction of Entries	32 (6)
Fixtures, time within which to apply for	21 (3)
Foul riding, penalty for	53 (7)
Furosemide (Lasix)	102 (F)
G rooms, Definition of	2
Grooms, employment restricted	40 (4)
Grooms, employer/trainer must report dismissals to Registry	40 (6)
Grooms, expires annually	40 (2) (3)
Grooms, must be licenced	40 (1)
Grooms, must have letter from employer before licence granted	40 (5)
Grooms, must display badges at all times	40 (7)
Grooms, must produce licence to officials when demanded	40 (7) (8)
Guaranteed Sweepstakes definition of	2
H andicap definition of	2
Handicaps, no alteration of weight after publication permissible	17 (4)
Handicaps, minimum highest weight in	24
Handicaps, Two year-olds not to run in before 1st August	25 (3)
Handicapper, appointment of	12
Handicapper, Duties of	17
Handicapper, shall not train enter or run a horse in any race	13
Hood, definition of	2
Hood, not to be put in scale	51 (14)
Hood, use of blinding hoods prohibited	51 (16)
Horse, definition of	2
Horses, calculation of age of	26
Horses, change of names	29 (5) (6)
Horse, disqualification of	30, 36 (4), 53 (4-6), 57 (9-12)
Horse, foreign certificates necessary for registration	28
Horse, form of entry or nomination	32
Horse, mode of claiming names	29 (1) (3)

Horse, same name registered elsewhere	29 (2)
Horse, must be saddled in Saddling Stalls	16 (1)
Horse, must be saddled in Saddling Stalls if not Trainer reported	16 (3)
Horse, must be started by Official Starter or his substitute	19 (2)
Horse, not qualified to run unless duly entered	31 (9) (a)
Horses, not qualified to run unless trained by a licenced trainer	31 (9) (b)
Horses, not qualified to run if tubed on day of race	31 (9) (d)
Horses, power of Stewards to prevent from running	5 (2) (l-m)
Horses, partnerships in owning	34 (8)
Horses, each race to be run on horse's own merit	53 (1)
Horses, to start in order of draw	19 (3)
Horses, unable to start to be reported	18 (2)
Horses, unable to start- Stewards to be informed	19 (4)
Horses, under starters orders not to be withdrawn excepting	19 (4 – 9)
Horses, shall not run un-named	29 (4)
Horses, unraced needs Starters Certificate	30 (1) (b)
Horses, how to be dealt with horses unruly at start	19 (6)
Horses, shall not run more than one race in one day	25 (4)
Illegal meetings, disqualification of horses for taking part in	30 (1) (a)
Illness of rider, substitute allowed for	51 (8)
Incorrect entry, may be corrected on payment of fine	32 (6)
Interpretation, of Words and Phrases	2
Instructions, to be complied with	73
Industry Veterinarian, definition of	2
Bleeder list	102 (G)
Veterinary inspection – Assessment of Racing Condition	103 (A)
Veterinarian's List	103 (B)
Jockey definition of	2
Jockeys, accidents to, be reported to	7 (1) (g)
Jockeys, adjudicating on disputes of	39 (2)
Jockeys, agreements with, shall be registered	39 (1)
Jockeys, clearance needed when leaving a country	36 (11-12)
Jockeys, may be fined by Starter	19 (14)

Jockeys, licence how to be obtained/applied for	36 (2-3)
Jockeys, licence necessary to ride	36 (1)
Jockeys, medical certificate/eye test necessary	36 (5-6)
Jockeys, no licence shall be given under the age of thirteen	36 (23)
Jockeys, penalty for employing unlicensed	36 (4)
Jockeys, restrictions when suspended	5 (2) (f)
Jockeys, Racing Secretary to have list of suspended	7 (2)
Jockeys, substitution of, when permissible	51 (8)
Jockeys, to wear body protectors	51 (13)
Jockeys, where to dismount after race	57 (1)
Jockeys, who misconduct themselves at the post to be reported	19 (14)
Judge, appointment and remuneration	11 (1)
Judge, decision is final	20 (3)
Judge, mistake to be corrected	20 (4)
Judge, not in Judge's box, race may be void	20 (1)
Judge, shall not train, enter or run a horse in any race	13
Judge, shall send report of placings to Stewards	20 (8)
Judge, when to call for a photograph	20 (5-7)
L essee, included as "owner" definition	2
Licensed Trainer, horse not qualified to run unless trained by a licensed trainer	31 (9) (b)
Licensed Trainer, withdrawn on account of misconduct, is disqualified person	41 (15)
Lowest weight to be carried	24 (4)
M aiden horse, definition of	2
Martingale (breastplate or clothing), to be included in rider's weight	51 (12)
Match definition of	2
Match at catch weights, definition of	2
Match off, in case of dead heat	56 (6)
Medication and Prohibited Substances	102
Approved Therapeutic medication	102 B
Bleeder List	102 G
Furosemide (Lasix)	102 F

Medication, Restrictions	102 D
Medical Labelling	102 E
Penalty recommendations (in the absence of mitigating circumstances)	120 C
Uniform classification Guidelines of Foreign Substances	102 A
Meeting, definition of	2
Meetings, must be sanctioned by TTRA	21 (1)
Meetings, appointment of officials necessary, etc	11
Meetings, powers of Stewards at	5
Meetings, recognised definition of	2
Meetings, regulations for holding	22
Minimum weight to be carried	24 (4)
Month, definition of	2
N ames of horses, change of	29 (5)
No horse to run un-named	29 (4)
Procedure in case of simultaneous claim of a name	29 (3)
Process for claiming names	29 (1)
Re-assigning unprotected horse names after death	29 (16)
Suffix to be included, if name is same	29 (2)
When name is changed, old and new name to be inserted in Race Programme	29 (6)
Native-bred horse, definition of	2
New Rules, how passed and when operative	69
Nominator, definition of	2
Numbered Saddle cloth to be carried by every horse	52 (1)
Numbers	
alterations or additions, only allowed with consent of the Stewards	51 (10)
to be exhibited until horse is under Starter's Orders	18 (1)
to be furnished to Starter	18 (2)
withdrawal of, on report to the Starter	18 (2)
withdrawal of if unable to start	19 (4)

Nursery Handicap definition of	2
O bjections	58
cost of determining	58 (15)
decided by Stewards	5 (2) (j)
deposit on, and application thereof	58 (7)
effect pending determination of	58 (14) (16)
grounds which horse not qualified to be entered or run	58 (6)
how to make	58 (7)
if referred to TTRA	58 (11) (17)
limit of time for determination of	5 (2) (n)
may be made by Stewards or Officials	58 (8) (9)
onus on proof	58 (1)
process if before race	58 (1)
provision in case of dead heat for second place	56 (4)
subject to appeal	61 (1)
time for lodging	61 (2)
when cannot be entertained	57 (5)
withdrawal of	58 (10)
O fficials, appointment of	11
names and proposed remuneration of to be submitted to Registry Office	11 (1)
complaints against	14
disqualified person cannot act as	66 (10)
liable to become a disqualified persons if	66 (4)
submission of eye test for visual acuity	11 (3)
substitute, when may be appointed	11 (2)
when can make an objection without a deposit	58 (8)
O fficial Veterinarian, definition of	2
bleeder list	102 (G)
duties on samples collection	67
furosemide administration	102 (F)
postmortem exam	103 (C)
veterinary inspection	103 (A)

veterinarian list	103 (B)
Omitted conditions	
as to age and courses	23 (3)
as to weight	23 (1)
Owner definition of	2
penalty for failing to pay jockey	39 (3)
restrictions due to age	8 (4) (j)
Owner of Sire definition of	2
P addock, Clerk of	16
horses to be saddled in stalls	16 (1)
Parade Ring, horses must be brought into	16 (1)
reserved for horses about to run	16 (5)
Partnerships, Leases, Contingencies	8; 34 (23) (24)
entry to be in name of person	32 (1)
Penalties winning, race in dispute, subject of enquiry	47 (8)
Penalty, for accidental, careless riding	53 (4)
for administering drugs to horse	63 (1)
for carrying too little weight	57 (11)
for corrupt practice	63 (6)
for dismounting of winner before place appointed	57 (12)
for employing rider not qualified	36 (4)
for entering or running disqualified horse/electronic apparatus	63 (6)
for foul, reckless riding	53 (6)
for misconduct at post	19 (14)
for not weighing in	57 (12)
Persons disqualified by other Turf Authorities become disqualified under these rules	66 (3)
Persons warned off,	66 (2)
list of to be in the possession of the Racing Secretary	7 (2)
Persons, none shall aid or abet breach of Rules	72 (1)
none shall act improperly on premises	72 (2)

none shall act in a manner prejudicial to person; offer to make a bet to jockey	72 (3) (4)
Physical examination of horses	103
Photograph, definition of	2
where jockeys must dismount after photo called for by Judges	57 (3)
Photo Finish, when to be called for	20 (5)
Placed Horse, all riders of, to be weighed in	57 (2)
if disqualified, regarded as last, and not entitled to any prize	58 (14)
Positive definition of	2
Postmortem examination	103 (c)
Postponement of Race	5 (2) (b)
Powers of Stewards at Meeting	5
Powers of Trinidad and Tobago Racing Authority (TTRA)	8
Prize money, placed in special bank account	22 (9)
Prizes	59
be withheld until an objection is decided	58 (16)
each horse that ties in a dead heat deemed a winner and prize divided	56 (2 - 5)
none but from first to fourth placed horses	59 (5)
on which Commissions/fees to be paid to Jockeys, Trainers, Grooms	59 (7)
provision for division of prizes, when dead heat	59 (4)
provision for division of cup, if owners cannot agree	59 (3)
to be distributed	59 (6)
value of, once advertised cannot be reduced	59 (1)
when race is not run or void	59 (8)
Programme of Meeting, no alteration shall be made after being advertised as Official	22 (5)
Provisional Programme, any change must be submitted to TTRA	22 (6)

Prohibited Substance definition of	2
Promoter, definition of	2
duties and responsibilities	6
R ace, definition of	2
at wrong weights, or distance or before appointed time, to be void	54
may be void if specified number of entries not obtained	59 (1)
minimum distance of	25 (1)
to be started at starting gate	19 (2)
when Judge not in booth, to be void	54
Racing Colours	35
Racing Secretary definition of	2
Racing Shoes	51 (15)
Recognised Meetings, definition of	2
Recognised Turf Authority definition of	2
Registered and Registration, definition of	2
Registration of Horses, local	27
foreign	28
Registrations, agreements with jockey to be registered	39 (1) (a)
assumed names	33
of foreign horses	28
of horses	27
of racing colours	35
names of horses	29
owners, partnerships and contingencies	34
Registry Office, definition of	2
Regulations for Race Meetings, Programmes	22
Returns of Races to be sent to Registry Office	7(1)
Recognized Company definition of	2
Registered Partnership definition of	2
Registered Syndicate	2
Registered Owner, definition of	2
Restricted Area, definition of	2
Rider, definition of	2

Rules, how passed, rescinded, or altered and when to come into operation	69
Runners time for declaring	51 (1)
Running, every horse to be run on his merits	53 (1)
Running, interference caused	53 (3) (5)
S addle Cloth, numbered, to be carried by every horse	52 (1)
to be put in scale and included in rider's weight	52 (3)
Second Money disposal of where walk- over or no second horse placed	59 (4)
returns to be made by	7
Secretary, to have in his possession list of persons warned off, etc.	7 (2)
when to pay over Stakes	15 (1)
to report all persons in arrears	66 (5)
Skull Cap use of	36 (7)
Stable Lad, definition of	2
Stakes, definition of	2
Started, definition of	2
Starter, definition of	2
Starter, appointment of	11 (1)
Starter, duties of	19 (1)
power to withdraw unruly horse	19 (7)
power to place unruly horses outside or behind	19 (6)
power to fine jockey who misconducts himself	19 (14)
to notify Stewards if horse unable to start	19 (4)
Starter, to obtain list of runners and draw for places	19 (3)
shall not train, enter or run a horse in any race	13
Starter's Orders, under	19 (5)
jockeys to place themselves under	19 (5)
Starting,	

all races to be started by Starting Gates or dispensed with by permission behind post permissible	19 (2)
Starting, order to be determined	18 (2)
no horse to be withdrawn exception	19 (8)
Starting, Owner, trainer, etc., not allowed at start without permission of Stewards	19 (12)
Steward, definition of	2
Stewards of meetings - their duties and powers minimum number of to be appointed	4
Stewards of Meetings, allowed to refuse or cancel any entry	5 (2) (m)
appointment of	4 (1)
appointment of Stewards Secretary	6 (9)
authorization of signal of having weighed in	57 (4)
control of, over all Stands, Enclosures, etc., and free access power of, to exclude any person	5 (2) (c)
co-opt other persons, for inquiries	5 (4)
deputy	4 (3)
determination of questions arising at meeting	5 (2) (j)
Inability to serve/act if deemed a disqualified person	66 (4)
Stewards of Meetings, may deal with persons responsible for error in entry	62
Stewards of Meetings, not allowed to entertain disputes related to bets	5 (5)
power of, to abandon Meeting or abandon or postpone race	5 (2) (b)
power of, to decide on claiming	87, 88
power of, to dispense with Starting Gate/Stalls	4 (1) (a)
power of, to order examination of horse or rider	5 (2) (l) (o) (q)
power of, to prevent horse not qualified from running	5 92) (m)

power of, to require proof of qualification	5 (2) (k)
power over officials, authorized agents, trainers, riders, grooms etc.	5 (2) (d)
power to consent to withdrawal of horse after false start	19 (8)
power to decide on division of prize after dead-heat	56 (5)
power to declare race void	5 (2) (b)
power to disqualify horse for interference	53 (4) (5) (6)
power to disqualify horse ridden in contravention of Rules	36 (4)
power to extend time for declaring runners, weighing, etc.	51 (7)
power to give permission to substitute jockey/or withdraw horse	51 (8)
power to give permission, in case of emergency, for race to be started without Gate	19 (2)
power to make all arrangements	5 (2) (a)
power to order omitted weight to be added to handicap	17 (1)
power to punish, suspend	5 (2) (e) (f) (g) (h)
power to receive report from starter if starting gate dispensed with, or if any horse unable to start	19 (11)
prohibit rider re-use of whip	5 (2) (o)
quorum	4 (6)
refer matter to the Authority (TTRA)	5 (2) (i)
search any licenced person or gear equipment	5 (2) (n) (q)
shall not sit on an enquiry if holding vested interested	5 (3)
time for receiving objection	58 (1-6)
Stewards of Meetings, to be satisfied in respect of correction of wrong entry	32 (6)

to confirm correction of any mistake made by the Judge	20 (4)
to decide costs or compensation in connection with enquiry	58 (15)
to disqualify horse if short of weight	57 (11)
to disqualify horse on account of jockey not weighing or dismounting	57 (12)
to receive report if horse carries more than 1 kg. over proper or declared weight	57 (9)
to report reasons for abandonment postponement, or variation of programme	5 (2) (b)
waiver in emergency	4 (4)
when cannot entertain objection	57 (5)
Subscription, power of withdrawal not void by death of subscriber	32 (7)
Sweepstakes, definition of	2
T rinidad & Tobago Racing Authority (TTRA), all powers reserved where not specifically defined	8 (3)
TTRA disputes as to bets not entertained by	10
power to adjudicate on disputes related to jockeys	39 (2)
power to adjudicate on disputes on colours	35 (3)
power to determine all appeals	8 (4) (l)
power to make alterations to provisional programme	8 (4) (f)
power to withdraw approval of any provisional programme	8 (4) (g)
powers to approve handicappers	12
powers to attach conditions to sanctions given for meetings	8 (4) (c)
powers to change the decision of the Judge	8 (4) (k)
powers to decide objections	8 (4) (p)

powers to declare any person disqualified	8 (4) (q)
powers to enquire into any matters of racing	8 (4) (h)
powers to enter stands etc.	8 (4) (r)
powers to fix/cancel the date of race meetings	8 (4) (d); 21 (1)
licence powers to grant, withdraw or suspend	8 (4) (n)
powers to impose fines	8 (4) (t)
powers to modify or suspend rule	8 (4) (s)
powers to order abandonment of any race or race meeting	8 (4) (e)
powers to refuse registration of ownership	8 (4) (j)
powers to require of recognised clubs' financial statements etc.	8 (4) (i)
powers with regard to authorised agents	8 (4) (b)
shall have charge of	8 (1)
shall maintain proper records	8 (2)
their decision as to meeting and effect of these rules to be final	9
Testing (samples of Urine, Blood)	67
reporting to the Test Barn	67 (A)
sample collection/payment for sample analysis	67 (B)
storage and shipment of split analysis	67 (C)
Time, calculation of	3
for appealing	61 (2)
for declaring name of race, horse and jockey	31 (2); 51 (3)
for exhibiting numbers	51 (10)
for objecting	58
weighing may be extended	51 (7)
Time of entry, definition of	2
Tongue Strap, definition of	2
declaration of	32 (9); 51 (16-18)

Trainer, definition of	2
Trainers, liable to be made disqualified persons for running horses at unrecognised meetings	66 (4)
must certify grooms prior to licencing	40 (5)
must have a licence	41 (2)
must make monthly reports	41 (6)
not allowed in restricted area during suspension	41 (20)
not allowed to act as agent once suspended	41 (19)
responsible for ensuring that horse carries correct weight	51 (2)
to lodge Vaccination cards when releasing horse	41 (8)
to saddle horses in saddling stalls	16 (3)
Treatment given to horse, declared	101 (1)
Two year old horses, restrictions on running	25 (3)
U niform classification guidelines of foreign substances (Class I-V)	102 (A)
approved therapeutic medication	102 (B)
penalty recommendation	102 (C)
Un-named horses, not allowed to run	29 (4)
Un-recognised Meeting, disqualification of horses running at	30 (1) (A)
disqualification of persons for taking part in	66 (4)
Unsaddling, winning jockey to ride to place appointed for	57 (1)
V eterinarian List	103 (B)
Veterinary Practices, Medication and Prohibited Substances	101
Licencing of Veterinarians	101 (4) (A) (B)
Visor, definition of	2
Void, races	19 (8); 54
Void, races entrance money to be refunded	59 (8)
W alk Over and Dead Heats	

by arrangement, prize money to be withheld	59 (3)
conditions surrounding a walk over	55
definition of	2
given once advertised	59 (2)
provisions if no second or lower place	59 (4)
Warned off persons, disqualified person	66 (2)
exclusion from stands	66 (4)
list to be in possession of Racing Secretary	7 (2)
Weighing out before race	51
numbered saddle cloth to be put in scale and included in rider's weight	52 (2) (3)
overweight to be declared	51 (11)
skull cap, whip, bridle, rings, plates, etc. not to be put on scales	51 (14)
time for declaring name of horse, race, jockey	51 (3)
time for weighing and declaring runners weighing, etc. may be extended	51 (7)
Weighing in after race	
horse not disqualified if jockey too ill to weigh in	57 (8)
jockey to include in his weight everything horse has carried	57 (7)
penalty for being short of weight	57 (11)
penalty for not weighing in	57 (12)
penalty for overweight may not disqualify but jockey shall be reported	57 (9)
provision for hoisting of appropriate signal	57 (4)
winning jockey to ride to place appointed	57 (1)
Weighing room, exclusion of unauthorised persons from	50
Weight for Age, allowance of 0.5kg in case of failure to draw	51 (11)

allowance for mares when meeting colts and geldings	48 (1) (A)
alteration of, to be exhibited	18 (4)
minimum to be carried	24 (4)
overweight to be declared	51 (11)
penalty for carrying too little	57 (11)
scale, schedule	46 (1)
Weights in handicaps, lowest / highest carried	24
of apprentice jockeys	49
Whips not allowed in scales	51 (14)
no substitute to be carried for Yearlings, not to run	25 (2)