

**THE TRINIDAD AND TOBAGO RACING AUTHORITY ACT,
CHAPTER 21:50
RULES**

**MADE BY THE RACING AUTHORITY UNDER SECTION 17 OF THE TRINIDAD AND TOBAGO RACING AUTHORITY ACT
THE TRINIDAD AND TOBAGO RACING AUTHORITY RULES, 2000
(amended as at January 15th, 2001) - (June 14, 2005)**

CITATION

1. These rules may be cited as the Trinidad and Tobago Racing Authority Rules, 2000, as amended to date.

INTERPRETATION

2. In these rules :-

"Accredited Steward" shall mean a person who in the opinion of the Authority has successfully completed an approved Steward Accreditation program recognized by the said Authority and other Turf Authorities.

"Added Money" means money contributed towards the stakes by the Race Fund or from any other source as distinct from money contributed by the owners of horses engaged in the race;

"Arrears" means sums unpaid in respect of fines, compensation, entrance money, stakes, subscriptions, breeders and sires premiums and any sums due to any turf authority; recognised promoter, registered stud farms or their affiliated association.

"Arrears List" means a record of arrears issued from time to time by the Authority;

"Authorised Agent" means an agent or sub-agent appointed by the owner in writing, or in the case of a Recognised Company under seal, such document being lodged at the Registry Office, or with the Secretary of that Meeting to which the agency applies, who thereafter shall forward the document to the Registry Office;

"Authority" or "Racing Authority" means the Trinidad and Tobago Racing Authority;

"Breeder of a Horse" means the registered owner of his dam at the time of foaling;

"Blinkers" means a garment fitted over a horse's head with holes for the eyes and ears, one or both of the eye-holes being fitted with cowls cutting out all vision to the rear but permitting full forward vision;

"Claiming Race" is a race in which every horse entered may be claimed in accordance with the Rules governing claiming races.

"Condition Race" is any race which is not a handicap.

"Cup" means any prize not given in money;

"Day" means twenty-four hours;

"Defaulter" means a person in arrears;

"Deposit" means any sum stipulated in the regulations to be paid to the Authority by persons lodging an objection or appeal;

"Disqualified Person" means a person who has been warned-off by the Authority for a breach of these Rules;

"Donor" means the contributor of a cup.

"Exercise Lad" means a person who holds a licence from the Trinidad and Tobago Racing Authority to exercise horses;

"Eye Shield" means a garment similar to blinkers except that in place of eye cowls one eye only is completely covered by a shield;

"Groom and Stable Lad" means a person who holds a licence from the Trinidad and Tobago Racing Authority to care for horses;

"Guaranteed Sweepstakes" means a race for which a prize of definite value is guaranteed by the Promoter holding the meeting, the entrance fees, subscriptions, and other contributions going towards the prize money, and where the total of the entrance fees, subscriptions and other contributions exceed the guaranteed prize the excess shall increase the value of the prize;

"Handicap" means a race in which the weights to be carried by the horses are allotted by the handicapper or handicapping committee for the purpose of equalising their chances of winning;

"Hood" means a garment similar to blinkers incorporating ear covers but without eye cowls;

"Horse" includes mare, gelding: colt and filly;

"Jockey" means a person who holds a licence from the Trinidad and Tobago Racing Authority to ride for hire;

"Maiden" means a horse which has never won a race other than a match or private sweepstakes at any recognised meeting in any country at the time of the start;

"Match" means a race between horses, the property of different owners, on terms agreed by them;

"Match at catch weights" means a match for which the riders need not weigh before or after the race;

"Meeting" means a program of races with attaching conditions fixed to be run on a specified day or specified period of days as published provisionally by the Promoter and approved by the Authority.

"Month" means the calendar month;

"Native Bred" means a horse foaled in Trinidad and Tobago as a result of mating between a sire and a dam who were both present in Trinidad and Tobago at the time of such mating or a horse which being imported in utero was foaled in Trinidad and Tobago.

"Nominator" means a person in whose name a horse is entered for a race;

"Nursery Handicap" means a race confined to two-year old horses;

"Official Veterinarian" means a person appointed by the Authority;

"Optional Claiming Race" is a contest restricted to horses entered to be claimed for a stated claiming price, as well as to those which have been entered but are not to be claimed."

"Owner" means :-

- (i) in the case of a horse owned by a recognised company, the company;

- (ii) in the case of a partnership, the partners;
- (iii) in the case of a syndicate, the members of the syndicate;
- (iv) in the case where a horse is leased, the lessee;
- (v) in the case of a deceased person, the legal personal representative;

"Owner of Sire" means the owner of the sire as registered with the Registry Office at time of covering and if covered by more than one sire, then the owner of the sire last covering the mare;

"Photograph" means the photograph taken when the horses pass the winning-post by a camera approved by the Trinidad and Tobago Racing Authority;

"Positive" in relation to testing a race horse for a prohibited substance means containing a prohibited substance the concentration of which is above the threshold level of that substance or matter established from time to time by the Authority;

"Prohibited Substance" means a substance originating externally which falls in any of the categories contained in the Uniform Classification Guidelines of Foreign Substances reproduced in these rules whether or not it is endogenous to the horse and substance includes the metabolites of the substance;

"Promoter" means The Club, Company, Firm or Organisation responsible for the Management of a racecourse and/or for the conduct of racing at any race meeting thereat;

"Race" means a cup, sweepstakes, guaranteed sweepstakes, private sweepstakes or match, but not a steeple-chase, hurdle race or hunters' race on the flat;

"Racing Veterinarian" means a person appointed by the Promoter of racing.

"Registered Owner" is an owner whose name has been duly registered under these Rules.

"Recognised Company" means a company incorporated or registered in Trinidad and Tobago under any statutory enactment and whether with limited or unlimited liability which has been approved and registered as a "Recognised Company" by the Authority;

"Registered Partnership" means a minimum of two up to a maximum of five persons registered as the owner of a horse;

"Registered Syndicate" means six or more persons who may own a horse, the legal possession of the horse being vested in not more than five persons who will act on the behalf of the Syndicate;

"Recognised Meeting" means a meeting held under the sanction of the Trinidad and Tobago Racing Authority;

"Recognised Turf Authority" is an Authority recognised by the Trinidad and Tobago Racing Authority responsible for controlling horse racing in the country concerned;

"Registry Office" means the office of the Trinidad and Tobago Racing Authority;

"Restricted Area" means those areas designated as the stable area, the testing barn, the jockeys' room, the weighing room, the saddling stalls, the race course and/or those areas within the racecourse premises where admission thereto can be obtained only upon presentation thereof of a valid licence to enter or upon the presentation of such authorised credentials as the Stewards may from time to time require.

"Rider" means and include a Licensed Jockey and/or an Apprentice Jockey.

"Secretary" means a secretary duly appointed for any race meeting by the Promoter holding the race meeting;

"Stakes" means the minimum advertised prize for any race;

"Started" means a horse shall be deemed to have started which is under Starter's Orders when a valid start is effected. Starter's Orders is negated in the event of a false start being declared by the Starter. No horse which has been withdrawn for whatever reason before a valid start is effected will be considered as having started, and any horse so withdrawn is deemed to be withdrawn not under Starter's Orders."

"Starter" when used in reference to a horse and where the context so allows means a horse which has started as herein defined.

"Steward" means the Steward of the meeting or his duly appointed deputy;

"Sweepstakes" means a race in which the entrance fee, subscription or other contribution of three or more owners go to the winner or placed horses, and any such race is still a sweepstakes when money or other is added, and "Private

"Sweepstakes" means a race which has not been advertised prior to the closing;

"Time of Entry" means the time fixed for closing of entries;

"Tongue Strap" means a strap of any material fitted over the horse's tongue;

"Trainer" means a person who holds a licence from the Authority to train race-horses;

"Visor" means a garment similar to blinkers in which the cowls have holes cut in them permitting side or rear vision;

3. CALCULATION OF TIME

When the last day for doing any act under these Rules falls on a Sunday or a Public Holiday it may be done on the first following work day, unless a race to which such act relates is appointed for that day, in which case it must be done on the last preceding workday.

4. APPOINTMENT OF STEWARDS

- (1) There shall be at least three Stewards including a Chairman for each raceday of every meeting all of whom shall be appointed by the Promoter and approved by the Authority.
- (2) "The Chairman of the Stewards" for each raceday shall always be an Accredited Steward; unless otherwise authorized by the Authority"
- (3) A Steward may with the approval of the Authority appoint a deputy to act on his behalf.
- (4) The approval of the Authority in the appointment of a deputy shall be waived in an emergency.
- (5) The Authority may, at its discretion, by notice in writing to the relevant Promoter withdraw its approval of a Steward, whereupon he, and any deputy appointed by him shall cease to act as a Steward.

- (6) Where less than three Stewards or their deputies are present, the Secretary of the Promoter shall immediately fill any vacancy and shall publish such appointment.
- (7) The Stewards shall exercise such powers and perform such duties as may be prescribed by these Rules. The Chairman and any two other Stewards for the meeting or their deputies shall constitute a quorum, and shall sit and adjudicate upon all matters brought before them.

5

POWERS OF THE STEWARDS AT MEETINGS

The powers of the Stewards shall commence from 8.00 a.m. on the day fixed for the closing of entries/declarations, and end on the conclusion of the final race on that raceday, subject to the provisions of these rules.

- (1) The Stewards shall have power to:-
 - (a) Make or vary all arrangements for the conduct of the meeting as they think fit, and to dispense with the starting stalls;
 - (b) Under exceptional circumstances, abandon a day's racing or abandon any race or postpone any race until a day appointed by them but in no case later than the last advertised day of the meeting, or declare a race void if they shall determine that any occurrence before or during the running of such race calls for such action, and, in all cases, shall make a report of their actions and reasons for so doing to the Registry Office.
 - (c) Control access to and may at their discretion exclude or eject any person from all stands, rooms, enclosures and other places used for the purpose of the meeting;
 - (d) Enquire into, regulate, control, take cognisance of, and adjudicate upon the conduct of all officials, owners, nominators authorised agents, trainers, assistant trainers, jockeys and grooms, persons frequenting the stands or other places used for the purpose of the meeting;
 - (e) Punish at their discretion any person subject to their control, who in their opinion has committed any breach of these rules, with a fine not exceeding five hundred dollars.
 - (f) Suspend any person from acting or riding up to a maximum of ten (10) racing days and any jockey so suspended shall not ride in any race in Trinidad & Tobago or elsewhere during the continuance of the suspension unless, upon an appeal being lodged, the Authority so directs.
 - (g) Deprive any rider from the use of his whip in a number of races not exceeding his next ten successive rides.
 - (h) Punish the owner, authorised agent, trainer and rider or any of them or any other person where the Stewards have found after the holding of an enquiry, a breach of Sub-Rules (1), (2) or (3) of Rule 51 has been committed concerning the running or riding of a particular horse, and at their discretion to suspend the horse from running for a period of up to 30 days.
 - (i) Report any matter to the Authority if in their opinion any breach or suspected breach of these Rules has been committed and ought to be considered by the Authority, or if some punishment in excess of the maximum fine or suspensions provided for in these rules ought to be imposed.
 - (j) Determine all questions arising in reference to racing at the meeting, whether during or subsequent to the meeting, subject to appeal under Rule 60.
 - (k) Call for proof that a horse is neither itself disqualified in any respect, nor nominated by, nor the property wholly or in part of a disqualified person, and in default of such proof being given to their satisfaction they may declare the horse disqualified;
 - (l) Direct that a horse duly entered to run be withdrawn which cannot be shown to be qualified under these rules or under the conditions of the race, or where in their opinion danger may be caused to other horses or jockeys, and may in their discretion refund wholly or partially any entrance fee paid by the owner;
 - (m) Refuse to accept or cancel at anytime any entry subject to the following conditions:
 - (i) Reasons in writing shall be given to the Authority; and
 - (ii) A written notification of the refusal or cancellation shall be hand-delivered within twenty-four hours thereto, to either the authorised agent or the person holding the power of entry and to the trainer;
 - (iii) Failure to comply with the requirements in subparagraph (i) and (ii) shall result in the entry being deemed to be accepted, except where it is rejected on the ground of a breach of the Rules.
 - (n) Order an examination by such person or persons as they think fit, of any horse entered for a race, or which has run in a race, and in all cases they shall within 72 hours after the race-day, forward all such reports or decisions to the Registry Office;
 - (o) To prohibit a rider from using a particular whip even in circumstances where the whip complies with the specifications approved by the Racing Authority and shall, within seventy-two hours, submit a report to the Authority, their reasons for so doing;
 - (p) To order, the examination by a medical officer including the taking of body fluids of any jockey present in the room;
 - (q) To search any licenced person or any gear or equipment to be used by him and to take possession of any article or thing found as a result of such search which the Steward or Stewards making such search believe would afford evidence of a breach of the Rules of Racing.
- (2) The Stewards shall be required to exclude from all places under their control :-
 - (i) Every person who is warned off from the enclosure of a licensed Promoter by the Authority, or who is a disqualified person
 - (ii) Every person who has been reported as a defaulter, until it has been officially notified that his default is cleared.
 - (iii) Every person who has been declared by the turf authorities, or by the Stewards of any recognised meeting in this or any other country to have been guilty of any corrupt or fraudulent practice on the turf ;
 - (iv) All such persons as they may from time to time be required to exclude by the Authority.

- (3) No Steward shall sit or take part in any discussion or adjudicate upon any inquiry or other matter brought before the Stewards if he is in any way interested in the subject matter of the inquiry.
- (4) Should any Steward be unable to take part in any inquiry or proceedings because of any interest therein, the remaining Stewards shall have the right to co-opt persons in the place of those disqualified to adjudicate with them, and such persons shall be members of the Promoter holding the inquiry.
- (5) The Stewards shall not entertain any disputes relating to bets.
- (6) (a) The Stewards of a race meeting shall appoint a person to be the Secretary to the Stewards.
(b) The Secretary to the Stewards shall give to the Stewards such help and advice relating to the conduct of meetings and the Rules of Racing as they may require.

6. THE PROMOTER

The Promoter means the Club, Company, Firm or Organisation responsible for the management of a racecourse, and/or for the conduct of racing at any meeting thereat.

- (1) The Promoter shall arrange for the publication, under the sanction of the Authority, of a daily official race card of the races in respect of each of the days of racing promoted by the Promoter, provided however that when race days promoted by the same Promoter take place on two or more consecutive days (Public Holidays on which there is no race meeting promoted by the Promoter and Sunday being excluded for the purpose of such computation) the Promoter shall be at liberty to arrange for the publication of one edition of the daily official card covering all such days. The said card shall specify the race track at which and the date or dates on which the day or days of racing is being conducted, and shall signify that it is the official race programme with the sanction of the Authority. The daily official card shall contain all of the information as is set out in sub-paragraph (2) of this Rule.
- (2) The information referred to in sub-paragraph (1) of this Rule is as follows in respect of the days racing to which the daily official card relates, that is to say:-
 - (i) a list of the names of Members of the Authority, a list of the Management Committee of the Promoter, and a list of the Stewards and racing officials;
 - (ii) the name and the approximate time set for the start of each of the races, and the category of horses eligible to take part therein;
 - (iii) the total purse money allotted and distribution of same, the entrance fee, the distance and, in the case of a Condition Race, the conditions in respect of each such horse race;
 - (iv) the type of bets which are offered in respect of each such horse race;
 - (v) the track records for all distances of races run at the racecourse;
 - (vi) the horses declared to run whose declarations have been accepted for each race, the age, colour and sex of each such horse, the sire, dam and the sire of the dam of each such horse, the weight allotted to each such horse, the rider declared for each such horse, the starting post position of each such horse and the saddlecloth number to be worn by each such horse;
 - (vii) the name or assumed name of the owner, the name of the trainer, breeder and farm at which horse was foaled, of each horse declared to run, whose declaration has been accepted for each race and the racing colours registered with the Authority in respect of each horse's owner;
 - (viii) the equipment declared in respect of each horse declared to run whose declaration has been accepted for each race, a minimum of the seven most recent performances of each such horse or, in the case where a horse has not started in seven previous races, all of the said horse's previous performances. The information which shall be published in respect of the performances in the previous races shall comprise, for each such race, the date of the race, the category of horse eligible for the race the distance of the race, the type of equipment (if any) worn by the horse, the weight carried by the horse, the name of the person who rode the horse, the odds recorded by the totalisator in respect of the horse at the time of the start of the race, the position of the horse 800 metres from the winning post and the margin behind the leader, the position of the horse 400 metres from the winning post and the margin behind the leader, the position of the horse 200 metres from the winning post and the margin behind the leader, split times up to a maximum of four, and the time of the leader at the finish of the race,
 - (ix) in a claiming race, the entered claiming price for each horse declared to run whose declaration is accepted for such race;
 - (x) the amount of purse money earned by each runner during its career and, where available, the exercise work in respect of each runner during the fourteen days preceding the race;
 - (xi) the results of the last preceding day of racing including the order in which each horse finished in each race, the weight carried by each horse, the margin between each horse and the horse next succeeding it, the time of the winner of each race and the dividends declared at the race track in respect of successful bets, provided however that in the case where such last preceding day of racing is less than three days from the race day in respect of which the daily official card is published (Public Holidays on which there is no race meeting and Sundays being excluded for the purpose of such computation) the results of such last preceding day of racing may be published in the issue of the next daily official card, and after the day on which but for the proviso the said results would have been published;
 - (xii) where the Promoter maintains his own information on the performance of a horse in its previous races; the Authority shall upon any appeal being lodged with it under these rules, rely on its own records of performance of said horses to determine said appeal.
- (3) (a) The Promoter shall see that a saddling enclosure where the horses running in a race shall, subject as is hereinafter specified, be saddled and a parade ring are provided at the racecourse.

- (b) Horses running a race must be saddled in the enclosure (Saddling Ring) specified by the Stewards, however, the Stewards may grant permission for a horse to be saddled elsewhere.
 - (c) A saddled horse should be walked in the Parade Ring until mounted by its jockey. Whenever a horse's tongue needs to be tied this must be done in the presence of the Racing Veterinarian who shall examine the tongue strap as well as the manner of strapping.
 - (d) Admission of an individual to the Saddling Ring and Parade Ring is restricted to an individual who has the badge issued to him by the Promoter exhibited in a prominent position on his person and he is a member, official or authorised person of the Promoter or the Authority, a Steward or official for the day's racing, a member of the Constabulary or Security Force, owner (limited to four per person), trainer, a jockey or groom engaged in a particular race. However, if a jockey is dressed in colours he shall not be required to exhibit his badge.
- (4) The Promoter shall see that a clean number cloth and head stall number, and an arm band for the jockey, of a pattern approved by the Authority, are provided for every horse for which a rider presents himself to be weighed out.
 - (5) The Promoter shall provide adequate and suitable accommodation on racecourse premises for the Authority, acceptable to the Authority for the purpose of viewing races and their performance of any other duties.

7. POWERS OF THE TRINIDAD AND TOBAGO RACING AUTHORITY

- (1) The Authority shall have charge of the Registry Office and the registration and custody of owner's names, assumed names, colours, authorised agents, partnerships, leases, contingencies, contracts, agreements or any joint arrangements.
- (2) The Authority shall maintain in an adequate filing system records from day to day of all horses and their performances, and of all owners, trainers, and jockeys and such other persons or class of persons as it considers necessary.
- (3) All powers of the Authority not specifically defined in these Rules are reserved to the Authority.
- (4) The Authority has power to :-
 - (a) Refuse to allow any Steward or official to act at any meeting.
 - (b) Refuse to allow any person to act or continue as an authorised agent;
 - (c) Attach conditions to sanctions given for meetings;
 - (d) Fix the dates on which meetings shall be held, and to cancel such fixtures and alter the dates of any such meetings;
 - (e) Order the abandonment of any race or meeting in the case of an emergency or where expedient to do so;
 - (f) To recommend and/or bring to the attention of the promoter such alterations and changes to any provisional programme as are considered necessary.
 - (g) Withdraw approval of any provisional programme previously granted for the non compliance of the payment of Stakes and Added Money, or any other breach of the Rules of Racing by the Promoter, for which such action is deemed to be appropriate and expedient in the interest of racing.
 - (h) Make enquiry into, and deal with, any matter relating to racing; whether or not referred to them by the Stewards;
 - (i) Require from the Promoter all accounts and financial statements of meetings as may be deemed requisite.
 - (j) Refuse to accept registration of ownership of a horse from any person under the age of eighteen years nor accept their appointment as an authorised agent;
 - (k) Reconsider and correct any decision of a Judge made under these Rules and where in their opinion a Judge has made an obvious mistake in determining and announcing the winner or placed horses in a race, such decision of the Authority provided it is made within fourteen days of the race shall supersede that of a Judge;
 - (l) Entertain and determine appeals against decisions of the Stewards of the Meeting, as provided for by these Rules;
 - (m) Summon any Steward, Official or such other person it considers necessary to assist in its deliberations at any enquiry, appeal or objection;
 - (n) Grant or refuse to grant and to renew or refuse to renew licences to persons requiring a licence under these Rules, or on the grant thereof to attach such conditions as it may deem expedient in the circumstances.
 - (o) Withdraw or suspend the licence of any person for breach of the terms of his licence and/or for breach of any of these Rules and to make reinstatement of such licence at the expiry of any period of suspension subject to such requirements or conditions as it thinks fit.
 - (p) Entertain and decide objections lodged under these Rules;
 - (q) Warn any person off the premises of any Promoter, and to declare any such person disqualified;
 - (r) Enter all stands, rooms, enclosures and other places used for the purpose of the meeting;
 - (s) Modify or suspend any rule for such period as they think fit without giving previous notice.
 - (t) Impose fines not exceeding five hundred dollars for breach of these Rules.

8. DECISION OF AUTHORITY TO BE FINAL

The decision of the Authority as to the meaning and effect of these Rules, or of any of the conditions of any programme or race or in any other matter whatsoever relating to racing in this country shall be final.

9. DISPUTES RELATING TO BETS

The Authority takes no cognisance of any disputes or claims with respect to bets.

10. APPOINTMENT OF OFFICIALS

- (1) The appointment of Secretary, Clerk of Scales, Handicapper, Judge, Starter, Medical Officers, Veterinary Officers, and all minor racing officials shall be made by the Promoter concerned, and their names and proposed remuneration shall be submitted to the Registry Office, at least one month prior to the date of the meeting, for the approval or otherwise of the Authority.
- (2) In an emergency, the Stewards may during a meeting appoint a substitute to fill any of the above- named offices under sub-section (1) of this Rule for that meeting.
- (3) Each year, before commencing their duties, the following officials shall have their eyes tested for visual acuity, with or without eyes glasses, and for colour blindness: The Stewards, Stewards Secretary, the Starters, the Patrol Judges, the Placing Judges and the Timekeeper.

11. APPOINTMENT OF HANDICAPPERS

- (a) The Promoter shall appoint a panel of not less than two handicappers whose duty shall include the assigning of the weights for handicaps and all other races on a race day, in conformity with these Rules.
- (b) All appointments of Handicappers shall be approved by the Authority prior to their commence of duty.

12. RESTRICTIONS APPLYING TO OFFICIALS

No Judges, Starters, Clerk of Scales or Handicappers, shall train, enter, or run a horse in any race, or have any proprietary interest in any horse in the race at which they may be officiating.

13. COMPLAINTS

Every complaint against an official shall be made to the Stewards in writing signed by the Complainant.

14. THE SECRETARY OF THE MEETING

- (1) The Secretary or his authorized substitute, shall within seventy two hours after the race day make the following returns to the Registry Office:-
 - (a) The names of all horses that started in each race and the names of the riders, the weights carried and overweight, if any;
 - (b) The position of the horses placed 1st, 2nd, 3rd, and 4th by the Judge and the distance between each of them as declared;
 - (c) All cases in which the starting stalls was dispensed with and the reason therefor;
 - (d) The reports of the Starters as provided by these Rules;
 - (e) The reports of any appointed official;
 - (f) The reports of all enquiries by and decisions of the Stewards, and of all fines imposed, which must be remitted to the Registry Office;
 - (g) The reports of any incident happening between the time of a jockey weighed out and weighed in for a race, and the result of the enquiry into the incident, which must, in every case, be held by the Stewards.
 - (h) The report of any horse claimed, by whom and on whose behalf.
 - (i) Copies of the photographs used by the Judge to determine the result of a race.
- (2) The Secretary shall obtain from the Registry Office and have in his possession for the information of the Stewards, a list of suspended jockeys, trainers, grooms, and persons in default or who have been warned off any course or place under the control of the Trinidad and Tobago Racing Authority.
- (3) The Secretary shall not allow any horse which, or the owner or nominator or authorised agent of which, is under disqualification to start for any race, nor shall he allow any suspended jockey to ride in any race.

15. PAYMENT OF STAKES AND ADDED MONEY

- (1) The Secretary shall, upon receiving authorisation from the Stewards disburse all Stakes and Added Money to persons entitled thereto, in the following manner:-
 - (a) For all horses placed within seven days after the date of race, provided however that should a sample test be taken of any such horse, payment will be withheld until said test is reported negative.
 - (b) For the winner and any placed horse of which a sample test was taken, within seven days of receipt of communication from the Authority that said sample tests are negative.
- (2) Authorisation for payment of Stakes and Added Money shall not be given in respect of a horse that has tested positive.
- (3) Authorisation for payment of Stakes and Added Money shall not be given in respect of any race the result of which is subject to an appeal, until such appeal is heard and determined.
- (4) Before any payments are made the Secretary shall first deduct commissions due to jockeys, trainers and grooms as prescribed by these Rules.
- (5) In the event of any alteration to the results of a race following the disqualification of a horse, the Secretary shall, within seven days of communication from the Authority, make payment to persons entitled thereto of any differences due for stakes and commissions as a consequence of this change.

16. CLERK OF THE PADDOCK

- (1) The Clerk of the Paddock shall see that all horses running at the meeting are saddled in the saddling stalls, wherever available and brought into the parade ring at a reasonable time before the signal to mount is given, and the attendants are provided with badges bearing numbers issued by the Secretary.
- (2) The Clerk of the Paddock shall see that a clean number cloth, of a pattern approved by the Authority, is provided for every horse for which a rider presents himself to be weighed out.
- (3) The Clerk of the Paddock shall report a trainer to the Stewards in the case of any horse not being saddled in the saddling stalls, or not being brought into the parade ring, or of a badge not being exhibited.
- (4) The Clerk of the Paddock shall ensure that all horses running are paraded in numerical order according to the post position allotted by the Stewards.

17. THE PARADE RING

- (1) The Parade Ring shall be reserved strictly for horses about to run.
- (2) No person shall, without special leave of the Stewards, be allowed access into the parade ring except officials of the meeting, handicappers, owners, or authorised agents, trainers, attendants and riders of the horses about to run in the next race, and any person refusing to leave shall be reported to the Stewards.

18. THE HANDICAPPER

- (1) The Handicapper, in making a handicap, shall allot the weights to be carried in accordance with these Rules and no alteration shall be made after publication, except that, by express permission of the Stewards, a weight may be allotted to a horse duly entered whose name or weight has been omitted from the handicap; or as provided by sub-section (2) of this Rule.
- (2) Any allotted weight may be altered where the allotment of that weight was made by the handicappers based on erroneous information presented to them in respect only of sex, age, class and status of that horse.
- (3) Handicap lists shall be posted on a notice board situated in the Declaration Office in the Paddock, and such posting shall constitute notice of the facts therein contained to all persons concerned.
- (4) No alteration in the contents of such list shall be made save and except in accordance with the provisions of this Rule.

19. CLERK OF THE SCALES

- (1) The Clerk of the Scales (hereinafter referred to as "The Clerk") shall weigh the jockeys in accordance with these Rules and shall cause the numbers of each horse, as allotted on the official programme or drawn by lot as the case may be for which a jockey has been declared, to be exhibited together with the names of the jockeys, and the number shall not be taken down until the horses are under the starters orders.
- (2) The Clerk shall furnish the Starter with a list of runners and the draw for places as drawn by the Steward, and he shall at once withdraw the number of any horse which has been withdrawn by the order of the Stewards or of any horse reported to him under these Rules as not coming under Starter's orders.
- (3) The Clerk shall, after each race, send a return to the Stewards of the weight carried in such a race and the names of the jockeys, specifying overweights if any.
- (4) Where extra weight, or any variation from the weight or colours appearing on the card is declared at the scale for any horse, such weight or change of colours shall be exhibited on the number board or elsewhere, or announced by any form of public address system available.
- (5) Any alteration of colours shall also be exhibited at the scales.
- (6) The Clerk shall in all cases, except where provided otherwise in these Rules, weigh in the riders of the horses placed by the Judge, and report to the Stewards any jockey not presenting himself to be weighed in.
- (7) At the end of a race on receipt of authorisation from the Stewards the Clerk shall order that the appropriate flag be hoisted indicating the status of the race in the following manner:-
 - (a) Race declared official - Blue flag.
 - (b) Objection to winner - Red flag.
 - (c) Objection to any other placed horse - Red and White flag.
 - (d) Objection overruled - Objection Flag replaced by White flag;
 - (e) Stewards enquiry - Yellow flag;
 - (f) Objection to unplaced horse - Yellow and White flag;
 - (g) Objection sustained - Objection Flag replaced by Green flag;or by such other means as the Stewards may direct.
- (8) The Clerk shall put 1 kg. extra into the scale to prove that the horse has not carried too much weight.

20. STARTER AND STARTING

- (1) The Starter shall give all orders necessary at the start and shall enforce any instructions laid down by the Authority governing the start.
- (2) The horses shall be started by the Starter, or his authorised substitute, and all races shall be started from starting stalls approved by the Authority but with the special permission of the Authority or in case of emergency with permission of the Stewards of the meeting, or the Starter, a race may be started without Starting Stalls.
- (3) The Starter shall obtain from the Clerk, in the weighing room, a list of runners and the draw for places.
- (4) Should the Starter consider that through any cause a horse is unable to start, he shall at once notify the Stewards that the number must be withdrawn, and shall inform them whether the horse has come under his orders or not.
- (5) On arriving at the starting stalls, jockeys shall immediately report to and place themselves under the control of the Starter, and only those horses which are in the starting stalls when the red light above the starting stalls begins to

flash will be deemed to be under Starter's orders, and if the red light fails to function, the Starter shall wave a white flag to indicate that he is ready to start the horses and only those horses then in the starting stalls shall be deemed to be under Starter's orders.

- (6) The Starter shall remove an unruly horse from its allotted place, and place it in the outside stall so that it cannot cause danger to or prejudice the chances of the other horses and jockeys engaged in the race.
- (7) If any horse refuses to go into the stall allotted to it within a reasonable time, the horse shall be withdrawn by the Starter.
- (8) In the event that the doors of any stall fail to open, the Starter shall declare a false start.
- (9) In the event of any horse running the course through a false start or from a void start, or for any other reason, the owner or trainer may with the consent of the Stewards, withdraw his horse from the race.
- (10) Except in cases of emergency, every horse shall be started from an approved Starting Gate by the Starter. If the doors at the front of the Starting Gate stall should not open due to mechanical failure or a malfunction of the Starting Gate, when the Starter dispatches the field, or should a horse's chances be compromised as a result of the foregoing, or should a horse not be in the Starting Gate Stall when the field is dispatched, thereby causing such horse to be left, the starter shall immediately report such fact to the stewards, and the stewards may declare such left horse to be a non-starter. **(TTRA approved 12.08.14; gazetted 11.09.2014)**
- (11) After the race has started the jockeys shall keep a straight course and shall not use the whip during the first one hundred metres of the race, and any horse that is ridden in violation of this provision may be disqualified and the jockey punished by the Stewards.
- (12) In any case of emergency the Starter may start a race without using the approved stalls and if in so doing the race is run over a shorter distance, it shall not be void.
- (13) The Starter shall report to the Stewards, all cases when he has dispensed with the starting stalls or made any notification under this rule, his reasons for doing so, and the time which each race was started and by whom or by what cause any delay was occasioned, and the Stewards shall in turn make a report to the Registry Office.
- (14) No owner, trainer, employee or any other person shall be allowed upon the course at the start without the permission of the Stewards.
- (15) No trainer, attendant or starter's assistant shall be allowed to use the whip, substitute for a whip or any device on any horse, prior to the start while under Starter's orders.
- (16) The Starter shall impose a fine not exceeding twenty-five dollars on any jockey who misconducts himself at the post and the Starter shall report the fact at once to the Stewards.
- (17) Subject to this rule the Starter may use his own discretion for effecting an equitable start.
- (18) A horse shall be deemed to be starting when declared to start at the time fixed for the final declaration stage on race day.

21.

THE JUDGE

- (1) The Judge or his authorised substitute shall occupy the Judge's Box at the time the horses pass the winning post, failing which the race may be void.
- (2) The Judge shall announce his decision immediately or after consulting the photograph, and shall determine the winner according to that part of the horse's head excluding the ears, which is first past the winning post, the remaining places being determined in a similar manner.
- (3) The Judge's decision shall be final, unless an objection to the winner or any placed horse is made and sustained.
- (4) The Judge or his authorised substitute shall not be prevented from correcting any obvious mistake, subject to confirmation by the Stewards, and subject also to the powers of the Authority under these Rules.
- (5) Where the Judge estimates that the distance between the winner or the second, or the third and the remainder of the field is a neck or less, he must consult the photograph before announcing his decision on the place concerned.
- (6) Where the Judge calls for a photograph to determine the winner, no announcement other than the displaying of the word "Photograph" shall be made until the picture is consulted.
- (7) Where the Judge calls for a photograph to decide second or third place he shall announce the winner or similarly the second immediately, and exhibit the letter "P" showing that a photograph has been called for to decide on the lower place in question.
- (8) The Judge shall, after each race, sign and send a report of the placings to the Stewards.

22.

MEETINGS TO BE SANCTIONED

- (1) All meetings must be sanctioned by the Racing Authority.
- (2) Within fourteen days after the Annual General meeting of any Promoter, the Secretary shall forward to the Registry Office a statement of accounts for the preceding year, duly audited and certified by a chartered or incorporated accountant.
- (3) Applications for Fixtures and conditions governing Graded and other subscription races for the following year must be made to the Authority on or before the 1st October of each year.
- (4) The racing season shall commence on the first day of January and end not later than the thirty first day of December in a calendar year.
- (5) The detailed conditions governing the Graded and other subscription races as approved by the Authority, must be published and made available to all interested persons not less than one month prior to the closing date for taking of first nominations to said races.

23.

REGULATIONS FOR RACE MEETINGS, PROGRAMMES

- (1) These Rules shall supersede the conditions of any race or the regulations of any race meeting should they be in conflict.
- (2) (a) In every case where the period of the race days is four or less, a complete provisional programme for said days, containing the conditions of every race, the general conditions of every race, and the days of holding the same, shall be submitted to the Authority for its approval a minimum of four weeks prior to the proposed date for the taking of entries for the first of the days covered by the programme.
- (b) Where however, in the case where the period of the race days is more than four, the terms and conditions in this regard shall be subject to such altered terms and conditions as the Authority may determine.
- (c) The approved provisional programme must be published and made available to all interested persons not less than two weeks prior to the taking of first entries for the meeting.
- (3) Unless otherwise permitted by the Authority, programmes shall consist solely of the following categories of races:
 - Claiming
 - Optional Claiming
 - Condition
 - Handicap
 - Nursery Handicap

Claiming and Optional Claiming Races shall not exceed one half of the number of races framed on any race day.
- (4) Matches shall be run at such time as may be appointed by the Stewards, and five hundred dollars shall be paid to the Secretary for each horse so running which sum shall be credited to the Racing Benevolent Fund.
- (5) No changes to any provisional programme other than affixing the names of races which are sponsored will be entertained unless such are submitted to the Authority for approval not less than ten days prior to the date for closing of entries for the race day for which the changes apply.
- (6) Any alteration made in a provisional programme except as permitted under sub-rule (5) above, shall be approved by the Authority before that programme can be made "Official" by the Promoter, and no change shall be made in a programme once it has been advertised as "Official".
No Cup/Trophy can be assigned to a race unless the provisions of Rule 23(5) are met, Gifts of the sponsors will be permitted to the owner, trainer, jockey and groom in any race provided that:
 - ◆ Such must be published in the Official race programme.
 - ◆ The value of these gifts must not exceed five hundred (\$500.00) dollars; except for sponsor's products and other than those with monetary considerations.

This sub-rule shall not preclude the framing of a new race in the event of a race being cancelled due to insufficient entries, or the re-assignment of any cup to another race so designated by the Stewards, if the originally advertised cup race is cancelled and with the approval of the donor.
- (7) It shall be the duty of the Promoter holding the meeting to advertise the programme as "official" not less than five days prior to the date of the closing of entries for any race day, and such advertisement shall contain all amendments made to the programme, as originally approved by the Authority.
- (8) Notwithstanding the foregoing, the Authority may order that a correction be made to any official notice, and such corrections shall be made and published not less than two days prior to the closing date of entries for said race day.
- (9) It shall be the duty of the Promoter holding the meeting, to ensure that prize monies inclusive of all Added Money and subscriptions allocated for each day of said meeting for which entries will be taken, shall be placed in a special bank account a minimum of seven (7) days prior to the holding of said race day. No withdrawal shall be made from this account except for the purposes of payment of prizes for the specific race day.
The Promoter will authorise the bank in which the account is held, to disclose to the Authority the state of the account, whenever the Authority makes the request from the bank.
- (10) Within two months after each meeting, the Secretary shall forward to the Registry Office :-
 - (a) A list showing the actual remuneration paid to each official and employee;
 - (b) A statement of revenue and expenditure for the meeting.

24.

OMITTED CONDITIONS

- (1) When the weights are omitted from the advertised conditions of any race, the colts shall carry 54 kg. and fillies 52 kg subject to penalties and allowances.
- (2) If the horses are of different age, the weight shall be fixed by the sanctioned scale appended to these Rules.
- (3) When no distance is mentioned, the following shall apply:-
 - (a) Two year olds-1000 metres;
 - (b) Three year olds-1200 metres;
 - (c) Four year olds and over-1750 metres, and if the course does not provide for the exact distances stated, the nearest distance shall apply.
- (3) If the horses are of different age the course shall be fixed by the age of the youngest.

25.

HANDICAPS

- (1) In Handicaps the top weight which can be allotted shall not exceed 60.5 kg; provided however that,
 - (a) In handicaps restricted to two year old horses the maximum handicap shall not exceed 58kg.
 - (b) In handicaps in which two year old horses run against horses of different ages, the maximum handicap allotted to the two year old shall not exceed 56 kg.
- (2) (a) The top weight allotted in a handicap shall not be less than:
 - 56.5Kg for horses 3 y.o. & over.
 - 55Kg for horses 2 y.o. in races restricted to two year old horses and when racing against older horses.

Provided however that if the highest allotted starting weight is less than as stated in this rule, it shall be raised to that weight and the other acceptances raised equally.

- (b) For purposes of sub rule (a) above, a horse shall be deemed to be in a race if declared to run at the final declaration stage on race day.
- (c) No horse shall be allotted more than 56.5Kg unless a horse is handicapped at 44Kg or less.
- (3) In Handicaps the lowest starting weight shall not be less than 44 kg; provided however that the Handicapper may frame his handicap down to weights below the permitted bottom weight.
- (4) Extra weight shall not be incurred in respect of matches or private sweepstakes.

26. RESTRICTIONS ON HORSES RUNNING

- (1) A race shall not be less than 1000 metres for horses 3 years old and over, and 900 metres for horses 2 years old except with the permission of the Authority.
- (2) Yearlings shall not run in any race.
- (3) Two year olds shall not run :-
 - (a) before the 1st May;
 - (b) more than 1200 metres before 1st August nor in handicaps;
 - (c) more than one race in one day.
- (4) No horse shall, without the consent of the Stewards run more than two races in one day, but the second race on that day shall not be run within two hours of the first race.

27. CALCULATION OF HORSE'S AGE

The age of a horse shall be calculated from the 1st of January in the year in which he is foaled.

28. REGISTRATION OF HORSES

- (1) A register of horses shall be kept by the Authority at the Registry Office, and shall contain the age, sex, pedigree, and colour of the horses and any distinguishing marks.
- (2) No horse shall be registered in the name of or transferred to a person who is neither a registered owner, nor a recognised Company including a Company which has applied to be a recognised Company, nor a registered Syndicate or Syndicate which has applied to be registered.
- (3) Unless claimed as reported by the Secretary under Rule 14(h), no horse shall be transferred except by instrument in writing under the signature of the owner or his authorised agent and shall be counter-signed by the purchaser or his authorised agent, and shall set out in full all conditions attaching to the transfer and in particular if future nominations are transferred or not. The report by the Secretary under Rule 14(h) shall be accepted as a transfer of a claimed horse.

29. REGISTRATION OF FOREIGN HORSES

- (1) Horses foaled and registered in any country in which there is a Racing Authority recognised by the Authority shall only be accepted for registration by the Registry Office in accordance with the Rules for Registration of Horses.
- (2) Horses foaled in a country in which there is no racing authority recognised by the Authority shall not be eligible for registration in Trinidad and Tobago.
- (3) No gelding imported into the West Indies or Guyana shall be eligible for registration in Trinidad and Tobago. **(Rule presently suspended)**

30. NAMES OF HORSES

- (1) A name can only be claimed for a horse by application at the Registry Office and where there is no other horse of the same name, the name shall be registered, and the ruling of the Authority in this respect shall be final.
- (2) In the case of a horse which has been registered outside of Trinidad and Tobago, under the same name as one already registered in Trinidad and Tobago the name may be claimed for him if accompanied by a suffix denoting country of birth, and the name with the suffix shall then be registered.
- (3) If the same name is simultaneously claimed for two or more horses, the order of priority shall be determined by lot at the Registry Office.
- (4) No horse shall run un-named.
- (5) A horse's name shall not be changed :-
 - (a) After the horse has started;
 - (b) After the horse is three years old; or
 - (c) In the case of an imported horse whose name has been registered by another recognised turf authority except where written permission has been given by that authority.
- (6) Whenever the name under which a horse has run at any recognised meeting in any country is changed or abandoned, his old name as well as his new name or description must be given on the first entry form after the change of name has been registered, and the new name and the old name shall be included in the race programme for that meeting.
- (7) Notwithstanding the provision of these rules, no claim for the use of a name shall be accepted unless and until approved by the Authority.

31. DISQUALIFICATION OF HORSES

- (1) A horse is not qualified to be entered or run in any race where :-
 - (a) he has run at an unrecognised meeting but a horse shall not, unless the Authority otherwise decides, be disqualified on the ground that he has run at a bona fide gymkhana held to provide funds for charitable

- purposes providing that no such horse which has previously been classified shall thereafter be entitled to be classified;
- (b) he has not obtained a satisfactory certificate from the Starter authorised by the Authority or the Turf Authority of the country in which the horse is or has been trained.
 - (c) he is owned or part owned by a disqualified person;
 - (d) he is in the stable of, or under the care and management of, a disqualified person;
 - (e) he or his owner is on the Arrears List;
 - (f) he is by these Rules or by the conditions of the race not qualified ;
 - (g) he has been declared disqualified by the Authority;
 - (h) he has not been duly registered at the Registry Office
 - (i) he has not been implanted with a microchip (RFID) *(TTRA amendment 08.07.2008)*
- (2) Any horse which is the subject of fraudulent or corrupt practices may, at the discretion of the Authority, be disqualified for such time and for such races as the Authority shall determine.
 - (3) Where a horse has been the subject of an examination under these Rules and the result of an analysis of any sample of its tissue, body fluid or excreta is positive, the horse will be liable to disqualification and loss of purse in accordance with the Uniform Classification Guidelines of Foreign Substances and Penalties. The Authority further reserves the right in its discretion to debar said horse from taking entry to race for such time as they shall determine.
 - (4) A horse that runs in a race and is not the horse that he was represented to be at the time of entry shall on any objection under Rule 59(6)(a) be disqualified from such race,
 - (5) No horse shall be disqualified for a race in respect of any bonus payable under the conditions of the race to a disqualified person as breeder or nominator, and in the event of such horse winning or being placed, such bonus shall be forfeited.

32.

**ENTRIES, SUBSCRIPTIONS, DECLARATIONS TO RUN
AND ACCEPTANCE FOR RACES: GENERAL**

- (1) All entries and declarations are under the supervision of the Stewards, and they may, in their discretion, but subject to the provisions of these rules, refuse the entries of any person.
- (2) Every entry, subscription and declaration to run shall be lodged at such a place not later than such an hour on the day fixed for the closing of the same in the conditions of the race and in such manner as the Stewards of the meeting shall direct. Any such entry, subscription or declaration is subject to alteration or withdrawal up to, but not after, the time fixed for closing except as provided in these Rules.
- (3) All entrance money must be paid at the time of entry and in the case of entries made by telegram, the entrance money shall be forwarded on the same day as the telegram is dispatched.
- (4) No horse may be entered for any race if such horse is not registered for racing purposes with the Authority. Registered for racing shall include all such requirements as the Authority from time to time shall decide.
- (5) No horse may be entered for any race (other than a race for which subscriptions close when such horse is a foal or yearling) by or on behalf of any owner or any syndicate or any partnership or any company whose name is not duly registered in accordance with these Rules.
- (6) Any horse once entered/declared to start for any specified/particular day of racing shall race in the ownership of the person, syndicate or company in whose name it is entered/declared. *(TTRA approved 02.10.2012 Gazetted 25.10.2012)*
- (7) Entries for all races shall, not later than on the day following the date of closing, be displayed on the notice board at the office of the Promoter and published in such a manner as the Stewards or the Authority may direct.
- (8) In every race the entry shall state the name of the jockey and shall be accompanied by a retainer form which must be signed by the jockey or apprentice, and in the case of an apprentice who is contracted to a trainer, the form must be signed by the said trainer, and shall state the body weight at which the jockey contracts to ride.
- (9) A horse is not qualified to run in any race:
 - (a) unless he is duly entered for the same;
 - (b) unless he is trained by a licensed trainer.
 - (c) unless he has been examined by a Veterinary Officer in accordance with the published conditions of the meeting;
 - (d) if he has been tubed on the day of the races; or
 - (e) unless his jockey has been duly weighed out in accordance with these Rules.
 - (f) If his vaccination status is not current.
 - (g) If his owner's or any of his part owner's registration has lapsed.
 - (h) If he has not been implanted with a microchip (RFID) *(TTRA amendment 08.07.2008)*
- (10) In the case of 32(9) (f) and (g), any entry/declaration received in breach of these, must be regularised by the Trainer twenty-four (24) hours before post time for the race day in question. Failure by the Trainer to comply, will result in the withdrawal of the said horse from the race and a fine imposed on the trainer.
- (11) Any horse which has been withdrawn from racing on medical grounds, shall not be permitted to take entry or race until such time as the Racing Veterinarian or his designated representative, in his opinion determines that the horse is fit, sound and ready for racing, and in such a case, he shall issue a certificate of fitness and soundness in respect of such horse.

33. (Repealed)

34.

FORM OF ENTRY OR NOMINATION

- (1) Entries shall be made in writing on the prescribed form and must be signed by the trainer named on the entry form and by the following:-
 - (a) The registered owner or the authorised agent of that person;
 - (b) In the case of a partnership, the person with whom the power of entry rests or the authorised agent of that person; or
 - (c) In the case of a syndicate or registered company, the authorised agent of the syndicate or the registered company.
 - (d) in the event of the death of a sole registered owner the Stewards may, prior to the obtaining of a grant of representation to his estate, accept an entry signed by the person who in their opinion is entitled to a grant of representation with respect to the estate of the deceased registered owner or his duly appointed agent; and by the trainer named on the entry form.
 - (e) in the event of the death of a person who is registered as a part owner the Stewards may, prior to the obtaining of a grant of representation to his estate at their discretion, accept an entry signed by the surviving registered owner or the authorised agent of such sole surviving owner or if there is more than one surviving owner, the authorised agent of such surviving owners and the trainer named on the entry form.
- (2) A horse shall not be entered in the real or assumed name of any person as his owner, unless that person's interest or property in the horse is at least equal to that of each other person, and has been so registered.
- (3) The entry shall be made in the name or assumed name of one person, and shall state the name or assumed name of the owner, the name of the trainer, and all other information as may be required.
- (4) The claiming price of a horse entered in a claiming race must be stated on the entry.
- (5) No addition shall be made to any entry after the time fixed for closing.
- (6) A correction of an accidental error, affecting subsection (1) to (4) of this rule may be allowed by the Stewards with or without payment of a fine of not more than twenty-five dollars.
- (7) Entries shall not become void on the death of the person in whose name they have been made, and all rights, privileges and liabilities that could have attached to the deceased shall attach to his personal representative.
- (8) The form for entry in a race shall state:
 - (a) the name of the horse,
 - (b) the date of the meeting,
 - (c) the number of the race,
 - (d) the claiming price if any,
 - (e) the name of the jockey engaged to ride,
 - (f) the name of the groom,
 - (g) the equipment to be worn by the horse,
 - (h) the expiry date of the vaccination certificate.
 - (i) if the horse is to run on Furosemide,
 - (j) the last published workout of the horse.
- (9) For purposes of this rule equipment means hood, blinkers, visor, eye shield, or any combination thereof and tongue-strap.

35.

ASSUMED NAMES

- (1) An Assumed Name cannot be used unless registered with the Registry Office.
- (2) Any person who has registered an assumed name may at any time abandon it by giving written notice to the Registry Office, thereafter all entries that have been made in the assumed name shall be altered to the real name of the owner.
- (3) An assumed name shall not be registered for a licensed trainer, a licensed Assistant Trainer, a holder of a permit to train, a bookmaker, nor in respect of a partnership in which any of such above-mentioned persons is a member.
- (4) No person or group of persons shall register more than one assumed name and shall use the assumed name for a period of not less than one year when entering horses at any race meeting.
- (5) No person once having registered an assumed name, shall enter horses in his or her assumed name and real name.
- (6) Where any group of persons register an assumed name, colours shall also be registered for such partnership, and no horse entered under an assumed name shall race in any colours other than those registered as aforesaid except with the permission of the Stewards.

36.

OWNERS, PARTNERSHIPS, SYNDICATES, RECOGNISED COMPANIES, LEASES AND CONTINGENCES.

- (1) A register of the names of owners of horses shall be kept by the Authority at the Registry Office.
- (2) The name of an owner may only be registered by application to the Registry Office on the prescribed form signed by the owner in the presence of a witness.
- (3) In the case of an owner other than a recognised company a fee of fifty dollars must accompany each application.
- (4) On approval of the application by the Authority, registration as an owner becomes effective and the owner shall be bound by the Rules of Racing.
- (5) If an owner becomes a disqualified person or is in arrears or has a receiving order made against him his registration becomes void.

- (6) Where a horse is owned by a partnership, a document stating the name and address of every partner having an interest in the horse and the relevant proportions of such interest, and the name of the partner with whom the power of entry rests must be signed by all the partners or their authorised agents and lodged at the Registry Office, and a partnership shall consist of not more than five persons.
- (7) No partner shall assign his share or any part thereof in a horse without the consent in writing of his partners, and the Registry Office shall be notified immediately, in writing, of the severance or termination of a partnership.
- (8) Where a horse is owned by a syndicate, which is for the purposes of these Rules a group of more than five persons, a formal agreement signed by every member of the syndicate or his authorised agent shall be lodged at the Registry Office at the time the application for registering ownership is made together with such further information as the Authority may from time to time require.
- (9) The agreement shall include the name and address of each member of the syndicate and the share each member has in the horse and shall set out all financial arrangements agreed between the members and in particular details in respect of payment of any contribution due from members towards training and other expenses.
- (10) A fee of one hundred and fifty dollars shall be paid at the time such an agreement is lodged, which shall be refunded if the application for registration of ownership is refused.
- (11) In the event of any change in the terms of the agreement a new agreement shall be lodged at the Registry Office, and failure to comply with this sub rule shall result in the horse not being allowed to race.
- (12) On the Authority approving membership of the syndicate the agreement shall be registered and Registry Office shall forward certified copies of the agreement to those members of the syndicate who act on behalf of the syndicate.
- (13) A recognised company shall be eligible to apply to the Registry Office for its name to be included in the Register of Owners, and such company shall only enter and run a horse if it is the sole legal owner of that horse.
- (14) The Authority shall have complete discretion whether to approve and register any company as a recognised company or not, save that they shall refuse to approve and register a company as a recognised company unless -
 - (a) they have been provided with a list of the names of the directors and of the company secretary and are satisfied that none of them is a disqualified person;
 - (b) a copy of each of the following documents has been lodged at the Registry Office -
 - (i) Memorandum and Articles of Association and the Address of Registered Office;
 - (ii) Receipts for filing the List of Directors and the Registered Office;
 - (iii) Copy of Certificate of Incorporation;
 - (iv) the Sixth Schedule return for the previous year.
- (15) A deposit of five hundred dollars is payable by the company on application for registration.
- (16) The Authority shall cancel the registration of any recognised company under these Rules where -
 - (i) any director of the company is or becomes a disqualified person.
 - (ii) any officer of the company fails to furnish to the Authority or the Registry Office such information as they may request, within a reasonable time.
 - (iii) the company is being wound up or a receiver and manager has been appointed.
 - (iv) any authorised agent of the company is or becomes a disqualified person.
- (17) A recognised company shall only be entitled to exercise the power of an owner through its authorised agent and a fee of fifty dollars in respect of each agent shall be paid annually.
- (18) No horse owned by a recognised company may be entered or fulfill any engagement unless there is in the register at least one authorised agent of that company, and no more than two agents shall be authorised for any one company.
- (19) The Authority shall cancel the registration of any authorised agent if:
 - (i) the authorised agent is or becomes a disqualified person;
 - (ii) the authorised agent is or becomes bankrupt.
 - (iii) the recognised company ceases for any reason to be registered as such.
- (20) Registration of an authorised agent will be cancelled at the request of the recognised company if, and only if, the request is made in writing under the company seal and is accompanied by a copy of the minutes recording the company's resolution to cancel the said registration.
- (21) All registration of owners, partnerships, syndicates and recognised companies appearing in these Rules expire on 31st December, each year, and must be renewed annually with the Authority on the prescribed form. Registration and renewal of owners, partnerships, syndicates and recognised companies can also be completed for multiple terms up to three years with the relevant fee applicable to the time period, i.e. by two for two year terms and three for three year terms.
- (22) All leases and contingencies on sales of horses shall take effect from the date appearing on the relevant forms duly signed by the relevant persons for the period therein stated upon payment of the relevant fees.
- (23) The decision of the Authority in all matters to which this rule relates shall be final.

37.

RACING COLOURS

- (1) Racing Colours shall be registered at the Registry Office.
- (2) Colours so registered shall not be taken by any other person.
- (3) All disputes as to the rights to particular colours shall be settled by the Racing Authority.
- (4) No owner shall register more than one set of colours, however distinguishing caps shall be declared at the scale where an owner has more than one runner in the same race.

38.

JOCKEYS

- (1) No person shall ride in any race at any recognised meeting until he has obtained a licence from the Authority.

- (2) Licences expire on December 31 each year, and must be applied for annually at the Registry Office on the prescribed form, with full name and address.
- (3) Licences applied for after December 31 shall only be granted at the discretion of the Authority.
- (4) Any horse ridden in a race in contravention of this rule shall be liable to disqualification by the Stewards, and the jockey and the person responsible for his being employed, shall be reported to the Stewards.
- (5) Every jockey shall procure, at his own expense a medical certificate of fitness from a medical doctor and eye-test from an optometrist before he can obtain a licence or a renewal of licence.
- (6) The Authority may at any time require a jockey to undergo a medical test for drug or alcohol abuse, as a condition precedent for the grant, retention or renewal of his licence.
- (7) No person shall ride in any race at any recognised meeting or exercise any horse unless he is wearing a skull cap fitted with a chin strap of a pattern approved by the authority.
- (8) Jockeys leaving the country to ride at a meeting abroad must obtain a clearance from the Authority.
- (9) Before leaving the country in which he has been riding, the jockey must obtain a clearance from the turf authority of that country, before he is permitted to ride again in Trinidad and Tobago.
- (10) An apprentice leaving the country to ride abroad must, in addition to fulfilling the above requirements, obtain a certificate from the Authority indicating his total number of wins and rides at that date, and shall obtain a similar certificate of performance from the turf authority of the country in which he rode, before he is permitted to ride again in Trinidad and Tobago.
- (11) In the absence of any special agreement which is registered with the Authority, jockeys and apprentices shall be paid commissions on prize money in accordance with the provisions of these Rules.
- (12) An owner shall pay the following fees per mount:
 - (a) To jockeys, the sum of one hundred dollars and
 - (b) To apprentice jockeys, the sum of seventy five dollars.
- (13) The fees specified in sub-rule (12) shall be deposited with the Clerk of the Scales or his authorised substitute before a jockey or apprentice jockey is weighed-out.

39. AGREEMENTS

- (1) A copy of all agreements between a jockey and his employer shall be registered at the Registry Office.
- (2) The Authority may adjudicate between persons claiming the services of any jockey and, on disputes between jockeys and their employers, and may cancel any agreement between them.
- (3) Any owner who fails within twenty-one days after a meeting to pay any amount which is due by him for any riding at such meeting may be declared by the Authority to be in default and shall there-upon become a disqualified person.
- (4) No official notice will be taken of breaches of any agreements not registered at the Registry Office.

40. AGE-LIMIT FOR LICENCE

No licence shall be given to amateur or professional jockeys, who are under the age of thirteen.

41. GROOMS AND STABLE LADS

- (1) No groom or stable lad shall be employed unless he obtains a licence from the Authority.
- (2) Licences expire on December 31, each year, and must be applied for annually at the Registry Office on the prescribed form with full name and address.
- (3) Licences applied for after December 31 will only be granted at the discretion of the Authority.
- (4) No licensed groom or stable lad shall be employed on any racing premises except by a licensed trainer, and no groom or stable lad shall be employed by more than one licensed trainer at the same time.
- (5) A licence shall not be issued to a groom or stable lad unless he produces a letter from his trainer certifying that he is employed with him.
- (6) In the event of a groom or stable lad being dismissed on the grounds of alleged impropriety or unsatisfactory service the trainer must report to the Registry Office the reason for the dismissal.
- (7) Every groom or stable lad attending a meeting shall produce his licence at the request of an official of the meeting and where the conditions of the meeting call for the wearing of a special badge, he must carry such badge in a conspicuous place upon his person at all times during the meeting.
- (8) Upon failure to produce a licence or badge the groom or stable lad may be ejected from the promoter's enclosure.
- (9) Grooms shall be paid commissions on prize money in accordance with the provisions of these Rules.

42. TRAINERS

- (1) An applicant for a Private or Open Trainer's Licence or Assistant Trainer's Licence shall not be less than eighteen years old.
- (2) No trainer or assistant trainer of a horse running under these Rules shall operate without a licence obtained Racing Authority.
- (3) A licence shall be granted in the absolute discretion of the Authority and shall expire on December 31 in each year, and must be applied for annually at the Registry Office on the prescribed form.
- (4) A trainer or assistant trainer operating without a licence shall be liable to a fine, or may be declared a disqualified person at the discretion of the Authority.
- (5) A trainer or assistant trainer applying for a licence for the first time must satisfy the criteria laid down by the Racing Authority as published from time to time prior to being granted such licence.
- (6) Every licensed trainer shall make a report to the Authority on the prescribed form on or before the fifteenth day of each month indicating the name of all horses in his stable, as well as the names of the grooms of these horses and

failure to make such a report shall result in a fine of two hundred dollars unless a reasonable explanation is received that the Racing Authority considers satisfactory. *(TTRA amendment 10.01.2006; gazetted 02.03.2006)*

- (7) Every licensed trainer shall inform the Registry Office in writing on the appropriate form that:-
 - a) he has given up responsibility for a horse;
 - b) that he has accepted the responsibility for training a horse, within seventy-two hours of so doing.
- (8) Every licenced trainer who has given up the responsibility for training a horse, shall at time of lodging the appropriate form of release to the Authority, also submit the vaccination card of said horse. Without lodging the vaccination card, the form of release will not be accepted.
- (9) In the event of a dispute arising between the trainer and owner over moneys due, and the owner wishes to remove the horse from the trainer's stable, the trainer must upon the written request of the owner deliver the horse and sign the appropriate Form of Release of the horse, and at the same time lodge with the Registry Office a statement detailing his claim against the owner.
- (10) If the trainer fails to sign the release form and fails to give up possession of the horse, the Authority shall permit the appointment of a new trainer, and the former trainer shall face such sanctions as the Authority decides.
- (11) The Registry Office, upon receipt of a statement of claim from the trainer, shall notify the owner in writing of the said claim by registered mail or by signed hand delivery to the last known address of the owner, and the owner shall be required to answer the claim in writing within one week where-upon the Authority shall fix a day for hearing the matter.
- (12) Where the owner fails to respond to the claim of the trainer within seven days of notice from the Authority, the Authority may take such steps as necessary to declare the owner a defaulter upon which both he and the horse shall be declared disqualified and placed on the Arrears List.
- (13) Where the Authority finds in favour of the trainer, the owner shall be required to settle the claim within fourteen days, failing which the Authority may declare the owner a defaulter and both he and the horse shall be disqualified and placed on the Arrears List.
- (14) Where arrears remain unpaid both the owner and horse are disqualified.
- (15) A person whose licence to train has been withdrawn on the ground of misconduct is a disqualified person.
- (16) No licensed trainer shall hold a jockey's or groom's licence.
- (17) In the absence of any special agreement registered with the Authority, trainers shall be paid commission on prize money in accordance with the provision of these Rules.
- (18) Every trainer is responsible for the condition of the saddle which he uses, and for all equipment worn by the horse.
- (19) A trainer whose licence has been suspended or withdrawn shall not be allowed to act as an Authorised Agent under these rules; and if holding a registered proprietary interest in a horse, shall appoint an Authorised Agent to act on his behalf during the period of his suspension or withdrawal of his licence.
- (20) A trainer whose licence has been suspended or withdrawn shall not be allowed during the period of his suspension or his being debarred, to enter any restricted area on racecourse premises.

43. EXERCISE LADS

- (1) No person shall exercise any horse at any of the race-tracks in the country until he has obtained a licence as an exercise lad from the Authority having satisfied the authority as to his ability to ride.
- (2) Every exercise lad shall procure, at his own expense, a medical certificate of fitness from a doctor, as well as an eye test report from an optometrist and any other certificate as may be prescribed from time to time by the Authority.
- (3) No exercise lad shall ride any horse at work unless he is wearing an approved skull cap fitted with a chin strap as well as a body protector.
- (4) Licences expire on December 31 each year and must be applied for annually on the prescribed form, with full name and address at the Registry Office.
- (5) A trainer utilizing the services of a exercise lad shall ensure that these Rules are adhered to and any trainer whose exercise lad is found violating these Rules shall be subject to such disciplinary action as the Authority deems necessary.
- (6) The Authority may at any time require an Exercise Lad to undergo a medical test for drug or alcohol abuse, as a condition precedent for the grant, retention or renewal of his licence.

44. WEIGHTS

- (1) In every race, other than handicaps and claiming races, there shall be at least one horse that carries either the full weight for age, or the allotted weight as advertised in the conditions of such a race as published in the provisional programme once made official whichever is applicable, but a filly or mare shall always be entitled to a 3 kg allowance when meeting colts and geldings. *TTRA amendment 14.01.2014; gazetted 14.04.2014*
- (2) For the purposes of this rule a horse shall be deemed to be in a race if declared to run at the final declaration stage on race day.

45. PENALTIES

- (1) No horse shall carry less than 44 kg in any race, unless an apprentice allowance be claimed.
- (2) In calculating the amount a horse has won in any one or more races, account shall be taken of all monies derived from stakes, and all Added Money gained by him for his owner or for any other person but shall not include any bonus given for the breeder as such of any horse or for the owner of the sire or any prize given specifically in the conditions of the race to any trainer, rider or groom.

- (3) Winnings during the year shall include all prizes to the time appointed for the start and apply to all races, (except private sweepstakes or matches) in any country, and include walking over and in the case of a dead heat after the prizes have been divided in accordance with Rule 54.
- (4) Penalties for winning a fixed sum shall be understood to mean for winning it in one race, unless specified to the contrary.
- (5) Extra weight shall not be incurred in respect of matches or private sweepstakes.
- (6) Penalties are not cumulative unless so declared by the conditions of the race.
- (7) When any race is in dispute, both the winner and any horse claiming the race shall be liable to all penalties attaching to the winner of that race till the matter be determined.
- (8) When any race is the subject of an enquiry against the winner as a result of a breach of these Rules, both the horse that came in first and second in race shall be liable to all penalties attaching to the winner of that race until the matter is decided.

46. ALLOWANCES

- (1) Unless otherwise specified in the conditions of a race :-
 - (i) Mares and fillies shall be allowed 3 kg when meeting colts and geldings; (*TTRA amendment 14.01.2014; gazetted 14.04.2014*)
 - (ii) Maidens shall be allowed 3 kg when meeting winners.
 - (iii) No horse shall receive allowance of weight or be relieved from extra weight, for having been beaten in one or more races provided that this rule shall not prohibit allowances to horses that have not won within a specified time, or races of a specified value or races of a specified distance.
- (2) Allowances must be claimed at the time of entry where practicable but omission to claim is not a ground for disqualification.
- (3) A claim for an allowance to which a horse is not entitled is not a ground for disqualification unless carried out at scale.
- (4) No allowance of weight shall be made to any horse for being the produce of a stallion covering at or under a particular fee.
- (5) No allowance of weight shall be made to any horse.
 - a) for being the produce of a stallion or mare whose produce never won a race; or
 - b) for being the first produce of a mare; or
 - c) for being half bred
- (6) An allowance of weight may be made to any horse who is a native bred horse or one bred in the West Indies.
- (7) Allowances are cumulative unless otherwise specified.

47. APPRENTICE JOCKEY SCHEME

- (1) Apprentice jockeys shall be entitled to the following allowances :-
 - a) 3 kg until the apprentice has won twenty races; thereafter.
 - b) 2 kg until the apprentice has won forty races; thereafter.
 - c) 1 kg until the apprentice has won sixty races.
- (2) After sixty wins the jockey ceases to be an Apprentice and shall ride with an open licence.
- (3) Apprentice jockeys claiming 3 kg shall be entitled to ride in the following races;-
 - a) on an eight-race card and under the apprentice may only ride in five open races and in one race restricted to jockeys holding apprentice licences;
 - b) On a nine-race card and over the apprentice may only ride in six open races, and one race restricted to jockeys holding apprentice licences.
- (4) In the event that a race framed for apprentice jockeys only is divided by the Stewards at declaration time, an apprentice claiming 3 kg will be eligible to ride in both apprentice races and five open races on a nine-race card and over.
- (5) The allowances stated in this rule are subject to the following conditions:-
 - a) Apprentice licences will be issued to apprentice jockeys between the ages of thirteen and twenty-three years.
 - b) No apprentice jockey over the age of twenty-eight years will be entitled to apprentice allowances.
 - c) Allowances may be claimed in all races, with the exception of any race which is officially designated a Graded Race (Grade I, II or III) by the Authority, or any race in which advertised conditions precludes the claiming of any apprentice allowance.
 - d) In races confined to apprentices only, apprentices entitled to the lowest allowance in the scale, shall ride at the allotted weights and other apprentices will ride at the allowances to which they are entitled in relation to the lowest;
 - e) Apprentices who obtain their apprentice licences abroad will only be considered for the grant of an apprentice licence in Trinidad and Tobago after they have ridden in twenty-five races in the country in which they were granted such licence and subject to such other conditions as the Authority shall determine.
 - f) Calculation of rides and wins for the apprentice allowances shall be made on the riding record of the Apprentice wherever he rides under the Rules of Racing, provided that the Apprentice holds an apprentice licence in the country where he is based.

48. THE WEIGHING ROOM

No person shall, without special leave from the Stewards, be admitted to the weighing room, except the owner, authorised agent, trainer and jockey or other person having the care of a horse engaged in the race, and any person refusing to leave shall be reported to the Stewards.

49.

WEIGHING OUT

- (1) No horse shall be weighed out for any race unless he has been duly declared a runner.
- (2) If a horse so declared is unable to race, a further declaration must be made to the Stewards at least one hour before the race stating the reason for the horse's withdrawal and the Stewards shall have the right at their discretion of imposing a fine on the trainer of any horse withdrawn after closing of declarations.
- (3) The Trainer shall be responsible for the weight to be carried by his horse.
- (4) Where a jockey, who has been declared to ride, not present himself to be weighed another jockey may, with the permission of the Stewards, be substituted; provided always that he can be weighed within the time specified in sub-rule (8) of this Rule.
- (5) Where a jockey who has been declared to ride is replaced by the Owner/Trainer or Authorised Agent with another jockey following the permission of the Stewards, such jockey replaced provided that his services are not engaged in the race, shall be compensated by the owner in the like manner to the jockey actually riding the horse, by being paid the normal riding fee; as long as his services are not otherwise engaged in the race.
- (6) Any owner, trainer or registered authorised agent who replaces a jockey originally declared to ride without just cause or except as provided by Subrule (9) of this Rule, may be fined an amount of Two Hundred and Fifty Dollars(\$250.00) by the Stewards.
- (7) Should any jockey who has been declared to ride renege on his obligations without just cause or except as provided by Sub-rule (9) of this Rule, such jockey may be fined an amount of Two Hundred and Fifty Dollars (\$250.00) by the Stewards.
- (8) Every jockey must be weighed for a specified horse by the Clerk of the Scales, at the appointed place, not less than a quarter of an hour before the time fixed for the race, and in exceptional cases or where the delay of a previous race has rendered punctuality impossible, the Stewards may extend the time allowed for weighing and declaring weights.
- (9) If a jockey, after he has been weighed for a specified horse and before he has been under the Starter's orders is prevented by accident or illness from riding in the race, another jockey may be substituted provided there is no unreasonable delay.
- (10) When the numbers have been exhibited no alteration or addition can be made without the leave of the Stewards.
- (11) Should any horse whose number has been exhibited, not come under Starter's orders, the owner and any person responsible may be fined, or otherwise dealt with, at the discretion of the Stewards.
- (12) If a jockey intends to carry overweight he must declare the amount thereof at the time of weighing-out or, if in doubt as to his proper weight he may declare the weight he intends to carry but in no case should the overweight exceed 3 kg in the case of horses to carry 46kg and over and 4kg in the case of horses to carry 45kg and under except with the permission of the Stewards.
- (13) If a horse runs in a martingale, breastplate, or clothing these items must be put into the scale and included in the jockey's weight.
- (14) All Jockeys and Apprentices shall wear "Body Protectors as well as a Skull cap fitted with a chin strap of a pattern and type approved by the Trinidad & Tobago Racing Authority whilst riding in races. The rider is responsible for the serviceable condition of the protector. To compensate for this, the weight of all riders will be calculated at .5kg less than shown on the scales both in weighing out and weighing in.
- (15) No hood, blinkers, visor or eye-shield, muzzle, skullcap, whip or substitute for a whip, bridle, plates or anything worn on a horse's legs, shall be allowed in the scale, either in weighing-out or weighing-in.
- (16) No horse shall enter the parade ring or run in shoes which have protrusions on the ground surface other than calkins on the hind, limited to .64cm in height. The use of American type toe-grab plates or those with a sharp flange is forbidden. The trainer is responsible for ensuring that his horses are shod in accordance with the requirements of this rule; and any trainer found to be in breach hereof is liable to be fined or punished by the Stewards, in accordance with their powers under these Rules. **(Rule presently suspended)**
- (17) The use of blinding hoods (except when entering starting stalls) is prohibited, but if a horse is to run in a hood, blinkers, visor or eye-shield or with a tongue-strap, a declaration shall be made at the declaration time as specified in these Rules.
- (18) When a horse has been declared to run in a hood, blinkers, visor, eye-shield or with a tongue-strap, these items must be worn by the horse all the way to the start and during the race, and failure to comply with this rule shall result in the horse not being allowed to run.
- (19) When no declaration of hood, blinkers, visor eye-shield or tongue-strap is made such equipment shall not be worn by any horse on the way to start and during the race and upon failure to comply with this rule he shall not be allowed to run.
- (20) In the event that a horse has run the course in breach of this rule such horse shall on objection lodged under these Rules be disqualified from its original position in the race and placed last, and the Stewards shall impose a fine in the amount of five hundred dollars on the trainer of the horse and refer the matter to the Racing Authority for further action as may be considered necessary.

50.

SADDLECLOTH

- (1) Every horse running in a race shall carry a saddlecloth bearing a number corresponding with his number in the programme.
- (2) The cloth will be supplied to the rider at the time of weighing-out, and must be worn so that the number is clearly visible.

- (3) The rider shall put the cloth in the scale and include it in his weight, and immediately after weighing in he shall deliver it up to the official appointed to receive it.

51. RUNNING

- (1) Every horse which runs in a race shall be run on his merits, whether his owner runs another horse in the race or not.
- (2) A jockey shall take all reasonable and permissible measures throughout the race to ensure that his horse is given full opportunity to win or of obtaining the best possible placing.
- (3) It shall be the responsibility of a trainer to ensure that any instructions given to a jockey are adequate and no owner, authorised agent, trainer or any person entitled so to do shall give any instruction to the rider of any horse which if obeyed could or would prevent the horse from winning or of obtaining the best possible placing, neither shall they or its rider prevent or try to prevent any horse from winning a race or of obtaining the best possible placing.
- (4) When a horse or his jockey causes interference to any other horse or jockey by accident or by careless or irresponsible riding in any part of a race the horse shall on an objection under Rule 59(4) be placed behind the horse or horses with which it interfered or placed last, if the Stewards are satisfied that the interference affected the result of the race,
- (5) If the Stewards are not so satisfied or if judge has placed the horse behind the horses with which it has interfered they shall order the placings to remain unaltered.
- (6) Where a horse or his jockey causes interference to any other horse or jockey by reckless or foul riding in any part of a race the horse shall on an objection under Rule 59 (4) be disqualified.
- (7) The rider of any horse who has been found by the Stewards or the Authority to be guilty of reckless, foul, irresponsible, careless or improper riding shall be guilty of an offence and may be fined and/or suspended by the Stewards or the Authority under the powers given to them by these Rules.

52. VOID RACE

If a race has been run by all the horses at the wrong weights or over a wrong course, or distance or before the appointed time, or if the Judge is not in the booth at the time the first horse passes the winning post or if no horse finishes or should the Stewards, in their opinion determine that the occurrence or event arising before or during the running of the race was of such a nature that could not reasonably have been foreseen and/or avoided, the race shall be declared void.

53. WALKING OVER

- (1) In walking over for a race, in no case shall it be necessary for a horse to walkover the race-course.
- (2) Where only one horse is declared a runner under these Rules that horse shall be ridden past the Judge's box, and shall then be deemed the winner, and incur the usual winning penalties.

54. DEAD HEAT

- (1) When horses run a dead-heat, the deadheat shall not be run off.
- (2) Each horse running a dead-heat for first place shall be deemed a winner.
- (3) Where two horses run a dead-heat for first place, all prizes to which the first and second horses would have been entitled shall be divided equally between them, and this principle shall be observed in dividing the prizes whatever the number of dead-heaters and whatever the place for which the dead-heat is run.
- (4) When a dead-heat is run for second place, and an objection is made to the winner of the race and sustained, the horses which ran the dead-heat shall be deemed to have run a dead-heat for first place.
- (5) If the dividing owners cannot agree as to which of them is to have a cup or other prize which cannot be divided, the question shall be determined by lot by the Stewards, who shall decide what sum of money shall be paid by the owner who takes such cup or other indivisible prize to the other owner or owners.
- (6) On a dead-heat for a match, the match is off.

55. WEIGHING -IN

- (1) Immediately after pulling up, the first, second and third placed jockeys of each race must ride his horse to the place appointed for unsaddling the placed horses, the other jockeys dismounting within a reasonable distance of that place.
- (2) Every jockey must present himself to be weighed by the Clerk of the Scales as soon as possible after dismounting and where a jockey is prevented from riding back to weigh in by reasons of accident or illness, by which either he or his horse is disabled, he may either walk or shall be carried to the Scales.
- (3) In cases where the Judge, in consulting the photograph, has not announced his decision before the jockeys return to weigh in, the jockey may dismount within a reasonable distance of the place appointed for unsaddling placed horses and in such case the Clerk of the Scales shall weigh in all jockeys until such time as the Judge's decision is announced.
- (4) When the jockeys have weighed in to the satisfaction of the Clerk of the Scales at not less than the weight at which they weighed out, except under Sub-rule (8), the Stewards shall authorise the appropriate signal to be hoisted over the number board provided that :-
 - a) the five minutes allowed for objecting under Rule 59(4) has lapsed;
 - b) any objection which may have been lodged on grounds mentioned in Rule 59(4) has been decided.
- (5) No objection on any grounds other than those mentioned in Rule 59(4) shall be entertained prior to the hoisting of the signal.

- (6) After the signal has been hoisted no alteration shall be made to the numbers of the winner or placed horses on the board.
- (7) In weighing in, a jockey shall include in his weight everything that the horse has carried in the race, except as provided in Rule 49(14).
- (8) If a jockey is too ill to weigh in, his horse shall not be disqualified provided that the jockey weighed out at not less than his proper weight.
- (9) If a horse carries more than 1 kg over his proper weight or over the weight at which his jockey weighed out, the matter shall be reported to the Stewards who may disqualify the horse, and deal with the jockey, owner or trainer as they may decide.
- (10) If a jockey cannot draw the weight at which he weighed out, the clerk of the scales shall allow him 0.5 kg and if he cannot then draw the weight, his horse shall be disqualified.
- (11) If a horse carries less than the weight he should carry in accordance with the conditions of the races and these Rules, he shall on an objection under Rule 59(6)(d) be disqualified.
- (12) If a jockey does not present himself to weigh in or touches any person or thing other than his own equipment before weighing in, or in the case of the winner, dismounts before reaching the place appointed for that purpose, his horse may be disqualified unless he can satisfy the Stewards that he was justified by extraordinary circumstances.

56.

PRIZES

- (1) A race may be declared void where the number of actual entries is not the same in number as those advertised, but the value of a prize once advertised may not be reduced, except with the approval of the Authority and as published in the Provisional Programme for the meeting.
- (2) When a cup or plate or any added money is advertised to be run for, it shall be given in the event of a walk over.
- (3) When a walk over is the result of an arrangement by the owners of the horses engaged, neither the cup, nor any portion of the advertised money need be given.
- (4) If the winner has walked over, or no horse has been placed second, or in any lower place, the money provided by the conditions for the horse placed second, or in any lower place, shall not be given at all.
- (5) No prize shall be given to any but the first four horses, unless otherwise directed by the Authority.
- (6) Prize money provided by the conditions of races shall be paid to the owners of horses pursuant to these Rules as follows :-
 - (1) In Graded/Subscription races:
 - (a) 60 per cent to the owner of the winner
 - (b) 20 per cent to the owner of the second
 - (c) 10 per cent to the owner of the third
 - (d) 5 per cent to the owner of the fourth
 - (e) 3 per cent to the owner of the fifth
 - (f) 2 per cent to the owner of the sixth
 - (2) Other races of value \$25,000.00 and Over:
 - (a) 50 per cent to the owner of the winner
 - (b) 24 per cent to the owner of the second
 - (c) 14 per cent to the owner of the third
 - (d) 7 per cent to the owner of the fourth
 - (e) 3 per cent to the owner of the fifth
 - (f) 2 per cent to the owner of the sixth
 - (3) Races of value less than \$25,000.00:
 - (a) 50 per cent to the owner of the winner
 - (b) 26.5 per cent to the owner of the second
 - (c) 16 per cent to the owner of the third
 - (d) 7.5 per cent to the owner of the fourth
- (7) Earned commissions shall be deducted by the Secretary from the prize money and paid to the jockey, trainer and groom in accordance with the following procedure:-
 - (a) Graded Races :-
 - i) Jockeys - 10 percent on all places – exclusive of basic riding fees;
 - ii) Apprentices-5 per cent on all places- exclusive of basic riding fees;
 - (b) Non-graded Races :-
 - i) In any race in which the prize money exceeds \$25,000.00, Jockeys will receive 10%, and Apprentices 5%, of their placing in said race exclusive of basic riding fees.
 - ii) In any race in which the prize money is less than or equals to \$25,000.00, jockeys will receive 10% and Apprentices 5% of First place and also the following:

	JOCKEYS	APPRENTICES
2 nd place	5%	2½% (inclusive of Betting Levy Board's Subsidy)
3 rd place	5%	2½% (inclusive of Betting Levy Board's Subsidy)

4th place 5% 2½% (inclusive of Betting Levy Board's Subsidy)

- (c) Trainers - 10 per cent on all places.
- (d) Grooms - 2½ per cent on all places. *(TTRA amendment 28.11.2011; gazetted 26.01.2012)*

57. ENTRANCE MONEY RETURNED

Where a race is not run or is void, subscriptions and entrance money shall be refunded.

58. BREEDERS /SIRE'S PREMIUMS

- (1) In the absence of any condition to the contrary approved by the Authority a breeder's or sire's premium shall be paid only to the breeder or owner of the sire entitled thereto or in the event of his death to his legal personal representative.
- (2) No breeder's and sire's premiums shall be paid to any government department or Promoter, and no sale or assignment of a breeder's or sire's premium will be recognised as valid by the Authority.
- (3) In any race designated in the official race programme of a race meeting as being eligible for the payment of breeder's and sire's premium a breeder's premium shall be paid to the breeder of any horse foaled in Trinidad and Tobago and a sire's premium to the owner of the sire of any horse being the produce of any mare which was covered in Trinidad and Tobago, which has placed first, second or third in any race held in Trinidad and Tobago.
- (4) If the winner and any placed horse was not sired or foaled in Trinidad and Tobago, no premium shall be payable on these places, unless otherwise authorised by the Authority.
- (5) Breeders and Sires Premiums for any race so designated shall not be paid out by the Secretary until authorised by the Stewards, and authorisation shall be given and payment made within fourteen days of receipt of communication from the Authority, of the names of the persons entitled thereto.

59. DISPUTES AND OBJECTIONS

- (1) If an objection to a horse engaged in race is made not later than half past ten in the morning of the day of the race, the Stewards may require his qualification to be proved before the race and, in default of such proof being given to their satisfaction, they may declare him disqualified.
- (2) An objection to the distance of a course officially designated shall be made before a race.
- (3) An objection to any decision of the Clerk of the Scales must be made at once.
- (4) An objection to a horse on the grounds of:-
 - (a) a cross, jostle or any act on the part of his jockey, or his horse not having run the proper course;
 - (b) the race being run on a wrong course, or of any other matter occurring in the race, or before weighing in;
 - (c) the jockey not presenting himself to weigh in or that he could not draw the weight at which he weighed out; or
 - (d) The horse not wearing equipment as declared or wearing equipment not declared, shall be made to the Stewards within five minutes of the winner being weighed in, and where called for, unless under special circumstances, the Stewards are satisfied that it could not have been made within that time.
- (5) No objection on any other grounds other than those contained in subrule (4) shall be heard within the time specified therein.
- (6) An objection on any of the undermentioned grounds may be received within seventy two hours after the day of the race in question, or within such time as the Racing Authority may fix :-
 - (a) that the horse which ran was not the horse that he was represented to be at the time of entry, or that he or his jockey was not qualified under the conditions of the race.
 - (b) that the horse has run in contravention of the rules of partnership.
 - (c) that the horse has run in contravention of the rule relating to the disqualification of horses;
 - (d) that the weight carried by the horse was incorrect;
 - (e) that a drug or stimulant was administered to the horse internally or by hypodermic or other methods which may have affected the speed of the horse; or;
 - (f) that the horse ran in contravention of the rule relating to the wearing of declared equipment.
- (7) Every objection shall be in writing and must be signed by the owner of some horse engaged in the race, his authorised agent, trainer or his assistant, or by the rider of the horse. It must be made:
 - (i) to the Stewards in cases under Rule 59 (4);
 - (ii) to the Authority, and a deposit lodged at the Registry Office in cases under Rule 59(6).
- (8) An objection may be made without deposit by a Steward or official of a meeting in his official capacity.
- (9) A Stewards enquiry into any matter contained in Rule 59(4) shall have the force and consequences of an objection and shall be conducted as if any objection had been lodged; and their consideration of an objection under that rule shall constitute such an enquiry.
- (10) An objection cannot be withdrawn without leave of the Stewards, or the Authority.
- (11)
 - (a) An objection on any ground contained in Rule 59(6) shall be decided by the Authority.
 - (b) Every other objection shall be decided by at least three Stewards of the meeting but their decision shall be subject to appeal to the Authority.
 - (c) In the event of an objection being decided to be frivolous or vexatious the Stewards may impose on the objector a fine not exceeding one hundred dollars.
- (12) Where the Stewards or the Authority have disqualified a horse which has won or been placed in a race the horse shall be regarded as having been last in the race and shall not be entitled to any prize irrespective of the number of runners in the race, the other horses taking positions accordingly. Where, in lieu of disqualification, the placing of a horse has been changed by the Stewards or the Authority under the provisions of Rule 51(4) including being placed last the horse concerned will only be entitled to the prize for the position in which it has been placed.

- (13) All costs and expenses in relation to determining an objection or conducting an enquiry, and any reasonable compensation for outlay incurred, shall be paid by such person or persons lodging the objection and in such proportions as the Stewards or the Authority shall direct.
- (14) Pending the determination of an objection, any prize which the horse objected to may have won or may win in the race, shall be withheld until the objection is determined.
- (15) All disputes, objections and appeals referred to or brought before the Authority for their decision shall be heard and decided by at least five members of the Board at any meeting of the Authority.

60. APPEALS

- (1) Any owner, trainer or jockey of a horse in a race the subject of an objection under these Rules who is aggrieved by the Stewards' decision, or any person upon whom any form of penalty has been imposed by the Stewards shall be entitled to appeal to the Racing Authority.
- (2) The owner, trainer or jockey shall lodge a notice in writing to the Registry Office stating his grounds of appeal within seventy-two hours of the decision taken by the Stewards exclusive of Sundays and Public Holidays and on all occasions when an appeal is lodged a deposit shall be lodged with the Authority at the same time, which sum may be forfeited unless the Authority decides there were good and reasonable grounds for the appeal.
- (3) The enforcement of any suspension on a jockey shall be deferred upon an appeal against same being lodged, pending the determination of the said appeal by the Authority.
- (4) A person aggrieved by the decision of the Stewards to refuse or cancel their entry except in cases where entries are rejected for breach of these Rules, or where in the opinion of the Stewards a horse is considered a danger to other horses or jockeys, shall have the right to appeal to the Authority by notice in writing upon payment of a deposit within twenty-four hours of being informed of the Stewards' decision and the deposit shall be forfeited unless the Authority decides there were good and reasonable grounds for the appeal.
- (5) Pending the appeal the entry shall be considered as having been provisionally accepted and if the cancellation of an entry is ratified, the entrance fee shall be repaid to the owner.

61. ERROR IN THE ENTRY

No horse shall be disqualified on account of any error in the entry which might have been corrected on payment of a fine, but the Stewards may inflict fines upon, or otherwise deal with, any person responsible for such errors.

62. CORRUPT AND FRAUDULENT PRACTICES

- (1) No drug shall be administered, no body wash, liniment, freezing agent or irritant shall be applied, and no electrical, mechanical, or other appliances other than an approved whip or spurs shall be used for the purpose of affecting the speed, stamina, courage or conduct of a horse in a race.
- (2) No person shall administer, or cause to be administered for the purpose of affecting the speed, stamina, courage or conduct of a horse, drugs, stimulants or depressants internally by hypodermic or other method.
- (3) No person shall corruptly give or offer, or promise directly or indirectly, any bribe to any official in relation to a race or racehorse, or to any trainer, jockey, or agent or to any person having charge of, or access to, any racehorse.
- (4) No person having official duties in relation to a race, or if any trainer, jockey, agent or other person having charge of or access to any racehorse, shall accept or offer to accept any bribe in any form.
- (5) No person shall use, or have in his possession, any electric or electronic apparatus or any improper contrivance capable of affecting the performance of a horse in a race.
- (6) No person shall willfully enter or cause to be entered or to start for any race a horse which he knows or believes to be disqualified.
- (7) If any person conspires with any other person for the commission of any corrupt or fraudulent practice in relation to racing or breeding in this or any other country, such person shall be excluded from the premises by the Stewards, and reported forthwith to the Racing Authority, who may either with or without further enquiry warn him off all places where these Rules are in force.
- (8) When any horse has been declared to run under these Rules and has been the subject of an examination, and the result of an analysis of any sample of its tissue, body fluid or excreta is positive, the Authority may impose a fine upon the trainer of the horse in question and may, at their discretion, suspend or withdraw his licence. In this regard the Authority will be guided by the Uniform Classification Guidelines of Foreign Substances and penalties listed in these Rules.

63. DISQUALIFIED PERSONS

- (1) Any person who administers or attempts to administer or allows to be administered or connives at the administration of a prohibited substance; or uses or shall have in his possession any electric or electronic apparatus or any improper contrivance which may be or is capable of affecting the racing performance of that horse in a race shall be deemed to be in breach of these Rules, and may be declared a disqualified person or otherwise penalised by the Authority under these Rules.
- (2) When a person is warned off by the Authority he is, so long as his exclusion continues, a disqualified person.
- (3) Any person on whom disqualifications have been imposed by any turf authority, recognised by the Authority, is a disqualified person under these Rules, so long as the disqualification continues.
- (4) Any person who acts in any official capacity, enters, owns, trains, or rides a horse entered or running at any recognised meeting, is liable to become a disqualified person by the Authority for such time as they think fit, and if any person assists a disqualified person to enter any stand, stable, paddock, or enclosure, such person may be declared a disqualified person by the Authority.

- (5) No later than at the end of each quarter, the Secretary shall report to the Authority all persons in arrears to the Promoter and the amounts due.
- (6) The Registry Office shall thereupon notify each person so reported of the amounts due by him by letter sent by registered post or signed hand delivery to the last known place of abode or business of such person.
- (7) If any person so notified fails to pay the arrears within twenty-eight days of the date of posting or delivery of such letter, he shall forthwith become a disqualified person.
- (8) As long as the name of the person is in the arrears list or in the official forfeit list, published by the recognised turf authority of any country, he is a disqualified person.
- (9) A disqualified person, so long as his disqualification lasts, shall not :-
 - (a) Act as a Steward or Official at any recognised meeting;
 - (b) Act as an authorised agent under these Rules;
 - (c) Subscribe for, enter, run, train, or ride a horse in any race at any recognised meeting, or ride in trials.
 - (d) Enter any racecourse premises, stand or enclosure.
 - (e) Except with the permission of the Racing Authority be employed in any racing stable.

64.

TESTING (SAMPLES OF URINE, BLOOD)

A. Reporting to the Test Barn

- (1) The Official winning horse, and any other horse ordered by the Authority and/or the Stewards shall be taken to the Test barn to have a urine and/or blood sample taken at the direction of the Official Veterinarian.
- (2) The Authority and or the Stewards may require any horse entered to race to submit to any blood or other pre-race test and no horse is eligible to start in a race until the Owner or Trainer complies with the required testing procedure. *(TTRA approved 12.11.2007; gazetted 29.11.2007)*
- (3) Every horse within the Enclosure (Stable Area) or entered in any race is subject to testing and no Owner, Trainer or other persons having the care of a horse shall refuse to submit it for testing when directed by the Stewards or the Official Veterinarian. *(TTRA approved 12.11.2007; gazetted 29.11.2007)*
- (4) Unless otherwise directed by the Stewards or the Official Veterinarian, a horse that is selected for testing must be taken directly to the Test Barn.
- (5) From the commencement of racing on any day, a track security guard shall monitor access to the Test Barn, until the last horse is tested, during and immediately following racing performance. All persons who wish to enter the Test Barn area must be a minimum of 18 years old, be currently licensed by the Authority, display their Authority identification badge and have a legitimate reason for being in the Test barn area.

B. Sample Collection/Payment for Sample Analysis

- (1) Sample collection shall be done in accordance with the guidelines established by the Authority and instructions provided by the Official Veterinarian.
- (2) An official shall be appointed by the Authority to supervise the taking of the samples, and the safeguarding of them until they are delivered to the Government Chemist or such other analyst as approved by the Authority.
- (3) The groom and either the owner, trainer, or authorised agent, (hereinafter referred to as "the other person") shall be present immediately after the race, at the place appointed for the taking of the test, while the sample is taken, and shall sign the official form certifying that they have witnessed the taking of the sample and the official sealing of the receptacle containing the sample.
- (4) Where the groom or other person is not present at the taking of the sample or refuses to sign the official form, the matter shall be referred to the Stewards for such action as they deem fit, but failure on the part of the groom or the other person to be present or to sign the form shall not invalidate the results of the test.
- (5) Any delay on the part of the groom or the other person to arrive at the place appointed for the taking of the sample shall be reported to the Stewards.
- (6) The Official Veterinarian shall determine a minimum sample requirement for the primary testing laboratory, which laboratory must be approved by the Authority.
 - (a) If the specimen obtained from a horse is less than the minimum samples requirement, the entire specimen shall be sent to the primary testing laboratory
 - (b) If a specimen obtained is greater than the minimum sample requirement but less than twice that amount, that portion of the sample that is greater than the minimum sample requirement shall be secured as the split sample.
 - (c) If a specimen obtained is greater than twice the minimum sample requirement a portion of the sample approximately equal to that provided for the primary testing laboratory shall be secured as the split sample.
- (7) All expenses incurred for the analysis of samples shall be met by the Promoter holding the meeting.

(C) Storage and Shipment of Split Samples.

- (1) Split samples obtained in accordance with Subsection B, Numbers 2b and 2c above shall be secured and made available for further testing in accordance with the following procedures.
 - (a) A split sample shall be secured in the test barn in the same manner as the portion of the specimen acquired for shipment to a primary laboratory until such time as specimens are packed and secured for shipment to the primary location approved by the Authority.
 - (b) The Authority shall provide a freezer for storage of the split samples, which freezer shall be equipped with two locks. The keys to one lock shall be held by a representative of the group representing a majority of the racehorse owners and recognised by the Authority. The keys to the other lock shall be held by the Secretary

of the Authority or his duly authorised representative. The locks shall be closed and locked so as to prevent access to the freezer at all times, except as specially provided by these Rules.

- (c) A freezer for storage of split samples shall be opened only for depositing or removing split samples, for inventory, or for checking the condition of samples.
 - (d) When a freezer used for storage of split samples is opened, it shall be attended by both a representative of the Authority, a representative of the group representing a majority of racehorse owners as recognised by the Authority and the owner, trainer or their designee. A log shall be maintained that shall be used each time a split sample freezer is opened to specify each person in attendance, the purpose for opening the freezer, identification of split samples deposited or removed, the date and time the freezer was opened, and the time the freezer was closed and to verify that both locks were secured prior to and after opening of the freezer.
 - (e) Any evidence of a malfunction of a split sample freezer or/of samples that are not in a frozen condition during storage shall be documented in the log and immediately reported to the Secretary of the Authority.
- (2) A trainer or owner of a horse having been notified that a written report from a primary laboratory states that a prohibited substance has been found in a specimen obtained pursuant to these rules may request that a split sample corresponding to the portion of the specimen tested by the primary laboratory be sent to another laboratory approved by the Authority. The request must be made in writing and delivered to the Authority not later than 48 hours after the trainer of the horse receives written notice of the findings of the primary laboratory. Any split sample so requested must be shipped within an additional 48 hours.
 - (3) The owner or trainer requesting testing of a split sample shall be responsible for the cost of shipping and testing. Failure of the owner, trainer or their designee to appear at the time and place designated by the Official Veterinarian for dispatch of the sample shall constitute a waiver of all rights to split sample testing. Prior to shipment, the Authority shall ensure the laboratory's willingness to provide the testing required, its willingness to send results to both the person requesting the testing and the Authority, and that satisfactory arrangements are made for payment of the laboratory's costs.
 - (4) Prior to opening the split sample freezer, the Authority shall provide a split sample chain of custody verification form in duplicate, that shall provide a place for recording the following information and such other information as the Official Veterinarian may require. The form shall be fully completed during the retrieval, packing, and shipment of the split sample.

Split sample chain of custody form requirements:

- (a) the date and time the sample is removed from the split sample freezer
 - (b) the sample number;
 - (c) the name of the carrier and the address where the sample is to be taken for shipment;
 - (d) verification of retrieval of the split sample from the freezer
 - (e) verification of each specific step of the split sample packaging in accordance with the recommended procedure.
 - (f) verification of the address of the split sample laboratory on the split sample package;
 - (g) verification of the condition of the split sample package immediately prior to transfer of custody to the carrier
- (5) The owner, trainer or designee shall pack the split sample for shipment in the presence of the representative of the Authority, in accordance with the packing procedures recommended by the Authority. A form shall be signed by both the Owners representative refer subsection (c) (1) (b) of this rule, and the Authority representative to confirm the packaging of the split sample. The exterior of the package shall be secured and identified with initialed tape, or other means to prevent tampering with the package.
 - (6) The package containing the split sample shall be transported to the location where custody is transferred to the delivery carrier charged with delivery of the package to the Authority- approved laboratory selected by the owner or trainer.
 - (7) The owner, trainer or designee and the Authority's representative shall inspect the package containing the split sample immediately prior to transfer to the delivery carrier to verify that the package is intact and has not been tampered with.
 - (8) The split sample chain of custody verification form shall be completed and signed by the representatives of the Authority and the owner or trainer. The Authority's representative shall keep the original and provide a copy for the owner or trainer.

65. BOOKMAKERS

- (1) Any person who takes or attempts to take bets or conduct the business of a bookmaker in the enclosure of a licensed racecourse may be excluded from the premises by the Stewards and shall be reported to the Racing Authority and any such person may be warned off all racecourses or may be declared a disqualified person, as the Racing Authority thinks fits.
- (2) Any person found in any enclosure of a licenced racecourse placing a bet with any person other than the person or organisation holding the betting licence in respect of the licensed racecourse shall be excluded forthwith from the premises by the Stewards and shall be reported to the Racing Authority and such person may be warned off all racecourses or may be declared a disqualified person, as he Racing Authority thinks fit.
- (3) The use of public, cellular or any other kind of telephone at the race courses is prohibited unless the consent of the Stewards is obtained.
- (4) Any person who, is found using a public, cellular or any other kind of telephone, or communicating device without the consent of the Stewards or in connection with illegal wagering or the transmission of any kind of information

regarding odds, race results or other gambling information, shall be reported to the Authority and that person may be warned off all race courses or may be declared a disqualified person by the Authority.

66. NEW RULES

A new rule shall be introduced at any Board Meeting of the Racing Authority and shall come into operation upon publication in the Gazette.

67. FILMED RECORDINGS OF RACES.

- (1) At every recognised meeting the Stewards shall cause a filmed record to be taken of the whole of every race contested, in so far as is technically and reasonably possible and every such film shall be used by the Stewards as an aid in determining any infringement of the rules relating to racing.
- (2) The Stewards shall cause every film to be carefully preserved for a period of not less than six months.
- (3) A copy of all films shall be made available by the Stewards to the Authority for the purpose of viewing such films within seventy-two hours of completion of the day's racing.
- (4) The Stewards shall appoint a Committee of which at least two (2) members of the previous raceday panel shall review the video recordings of the day's racing and within their powers act on any infringements of these Rules.
- (5) The Stewards or the Authority shall permit the films to be viewed by the owners, trainers, jockeys and such other persons as approved by them at such time and place as they may determine provided however that in the event that an owner, trainer or jockey becomes aggrieved by a decision of the Stewards at any race meeting, then in such case the person so aggrieved shall be entitled as of right to view the film of the race in question at a time to be fixed by the Stewards or the Authority which time must be within forty-eight hours of the decision of the Stewards.

68. ELECTRONICALLY TRANSMITTED FACSIMILES

- (1) Electronically transmitted facsimile of prescribed forms of application and registration, together with documents required for the registration of leases, partnerships, sales with contingencies, horses bred outside of Trinidad and Tobago, stud farms and syndicates will be deemed to satisfy the provision for such documents to be in writing provided that the original document in each case is received at the Registry Office as appropriate within seven working days excluding Saturdays, Sundays and Public Holidays of the transmission of such facsimile.
- (2) If the original document in each case is not received within this time the Racing Authority may, in their absolute discretion, cancel any registration made or withdraw any licence issued following the receipt of a document by facsimile transaction.

69. MISCELLANEOUS

- (1) No person shall aid or abet the commission of a breach of these Rules.
- (2) No person shall act improperly on any land or premises owned, used, licensed or controlled by the Stewards of any meeting, or by the Authority.
- (3) No person shall act in a manner prejudicial to the integrity, proper conduct or good reputation of horseracing in Trinidad and Tobago whether or not such conduct shall constitute a breach of any of the foregoing Rules of Racing.
- (4) No person shall make or offer to make a bet on horses racing on behalf of any officials referred to under Rule 12 of the Rules of Racing or on behalf of a jockey riding under the provisions of these Rules nor shall he offer a jockey or any such official the proceeds of any bet on horse racing.
This rule applies to any bet regardless where the bet is placed or horse runs.
- (5) Any person acting in breach of Rule 12 or of any of the Subsections under this rule shall be guilty of an offence, and is liable to be fined and/or suspended by the Stewards, or may be dealt with by the Authority in accordance with their powers under these Rules.

70. INSTRUCTIONS.

Every person shall comply with every instruction of the Authority published in the Racing Calendar or elsewhere by the Authority from time to time.

71. CLAIMING

- (a) The following Rules relating to claiming shall apply to all claiming races as well as optional claiming races but in optional claiming races they apply only to those horses entered to be claimed and any reference to claiming races shall be so construed. *[approved TTRA 15.12.2004; gazetted 02.05.2005]*
- (b) In a claiming race or optional claiming race, any horse is subject to claim for its entered price by a Trainer on behalf of a person who is registered as an Owner or is the holder of a certificate of eligibility to claim, the Trainer being regarded as the agent of the Owner or the holder of a certificate of eligibility for the purpose of making claims. Subject to Rule 92, any horse entered for a claiming race or optional claiming race for a stated claiming price, other than a horse balloted out of the race or the entry for which is conditional, can be claimed. *[approved TTRA 14.12.2004; gazetted 02.05.2005](TTRA amendment 14.01.2014; gazetted 14.04.2014)*

72. The procedure for obtaining a certificate of eligibility to claim shall be as follows:-

- (a) The applicant shall, prior to causing a claim to be made on his behalf, submit an application for a certificate of eligibility to claim to be accompanied by all the information required to be submitted on an original application for registration as an owner together with the name of the licenced trainer who will assume care and responsibility for the horse claimed and who is authorised to make a claim on behalf of the applicant. The application shall be accompanied by a payment to the Authority of fifty dollars which shall include the requisite fee for registration as an owner of which ninety per cent of the requisite fee for registration as an owner will be refunded if the applicant is

- denied or if the applicant does not acquire a horse through claim before expiry of the certificate. *[approved TTRA 14.12.2004; gazetted 02.05.2005]*
- (b) If the Authority shall find that the financial responsibility, experience, character and general fitness of the applicant are such that the participation of such person will be consistent with the public interest, convenience or necessity and with the best interests of racing generally in conformity with the purposes of the law, it shall thereupon issue to the applicant a certificate of eligibility to claim. If the Authority shall find that the applicant fails to meet any of the conditions it shall not issue to the applicant a certificate of eligibility to claim and it shall notify the applicant of the denial. *[approved TTRA 14.12.2004; gazetted 02.05.2005]*
- (c) The Authority may refuse to issue to the applicant a certificate of eligibility to claim or may suspend or revoke an applicant's certificate of eligibility to claim if it shall find that the applicant has been convicted of a crime other than a breach of the Road Traffic Act in any jurisdiction or is financially irresponsible or has been guilty of or attempted any fraud or misrepresentation in connection with racing, breeding or otherwise or has violated or attempted to violate any law with respect to racing in any jurisdiction or any rule, regulation or order of the Authority or shall have violated any of the Rules of Racing or if any application made under the rule contains any matter which is false in any material particular to the knowledge of any person signing it or has been guilty of or engaged in similar, related or like practices. *[approved TTRA 14.12.2004; gazetted 02.05.2005]*
73. The name of the person for whom the claim is being made (i.e. the Claimant) must appear on the claim form. *[approved TTRA 14.12.2004; gazetted 02.05.2005]*
74. No Trainer shall submit a claim for a horse on behalf of its owner or part owner or the authorized agent of the owner or part-owner or the parents, spouses, siblings or children of any of them, nor shall any of the aforementioned claim or cause to be claimed any horse directly or indirectly for his/her own account or on behalf of a principal. *[approved TTRA 14.12.2004; gazetted 02.05.2005; revised 14.06.2005 gazetted 07.07.2005]*
75. No Trainer shall submit a claim for a horse on behalf of an owner from another trainer's stable in which the said owner has a horse. *[approved TTRA 14.12.2004; gazetted 02.05.2005]*
76. Any Trainer whose claim for a horse is successful shall, upon title to the said horse becoming vested in the successful claimant, become the trainer of the horse. *approved TTRA 14.12.2004; gazetted 02.05.2005]*
77. No horse claimed out of a claiming race or optional claiming race shall be sold or transferred to any person for racing purposes wholly or in part, except in another claiming race, for a period of thirty days exclusive of the day such horse was claimed, nor shall it unless re-claimed, remain in the same stable or under the control or management of the Owner or Trainer from whom it was claimed for a like period. *[approved TTRA 14.12.2004; gazetted 02.05.2005] (TTRA amendment 14.01.2014; gazetted 14.04.2014)*
78. No person shall claim more than one horse from any one race. A Trainer, although acting on behalf of more than one Owner, shall neither submit more than one claim in any one race nor shall the Trainer submit claims for more than one horse in any race. The Trainer may however submit a claim for the same horse on behalf of more than one person or group of persons on a single claim form and if the claim is successful the claimant shall then be determined amongst such persons or group of persons by lot, under the supervision of one or more of the Stewards or their designated representative. *[approved TTRA 14.12.2004; gazetted 02.05.2005]*
79. (a) A horse which has won a claiming race and is claimed for a stated price shall not race in another claiming race for a period of forty five days exclusive of the day such horse was claimed unless for a claiming price of not less than twenty per cent more than the price for which the horse was claimed. A claimed horse which has not won shall not race in any claiming race for a period of thirty days exclusive of the day such horse was claimed for a claiming price less than the price for which the said horse was claimed. *[approved TTRA 14.12.2004; gazetted 02.05.2005] (TTRA amendment 14.01.2014; gazetted 14.04.2014)*
- (b) A horse which has won an optional claiming race and is claimed for a stated price, and which is not promoted out of the rating band in which it raced and was claimed, shall not race for a claiming price within that racing band for a period of thirty (30) days exclusive of the day such horse was claimed. A claimed horse which has not won shall not race in an optional claiming race for a period of fifteen (15) days exclusive of the day such horse was claimed, for a claimed price for which the said horse was claimed. *(TTRA approved 12.01.2014; gazetted 12.04.2014)*
80. The claiming price of any horse in a claiming race or optional claiming race shall be the entered claiming price, plus tax, if any. A fee of fifty dollars for administration in respect of each claim submitted per person shall be payable to the promoter. *(TTRA amendment 14.01.2014; gazetted 14.04.2014)*
The successful claimant shall, in addition, pay a fee which shall be payable to the Authority in respect of the registration of the successful claimant as the owner of the horse. The entered claiming price shall be printed on the day's official card of the races. *[approved TTRA 14.12.2004; gazetted 02.05.2005]*
81. Each claim shall be made in writing on a form and in an envelope supplied by the Promoter and approved by the Authority. Both form and envelope must be filled out completely and must be letter perfect failing which the claim will be void. The horse's name must be identical to the way it is printed in the day's official card, otherwise the claim will be void. *[approved TTRA 14.12.2004; gazetted 02.05.2005]*
82. Claims must be signed, sealed and the envelope time-stamped and deposited in a locked box provided for that purpose at a designated place at least fifteen minutes before the advertised post time of the race from which the claim is being made. No money or its equivalent shall be put in the claim box. For a claim to be valid, the claimant must, at the time of filing of the claim, have in a deposit account with the Promoter, maintained by him for the purpose of making claims not less than the amount of the claiming price plus any tax and fee payable in Rule 80. The details of each deposit account which shall be managed by the Promoter shall be provided to the Stewards before the post time of the first race and during the course of each day on which a claiming race or optional claiming race is scheduled. *[approved TTRA 14.12.2004; gazetted 02.05.2005] (TTRA amendment 14.01.2014; gazetted 14.04.2014)*
83. The Stewards or their designated representative shall open the claim envelope for each claiming race ten minutes before post time of the race for which the claim is being made or as soon as the horses leave the parade ring en route to the starting post whichever shall last occur. The Stewards shall thereafter check the details of the claimant's deposit

- account to ascertain whether the proper credit balance is in existence with the Promoter. The Owner, Trainer and Jockey of any horse claimed shall not be informed that a claim has been made until after the race has been run. **[approved TTRA 14.12.2004; gazetted 02.05.2005]**
84. If more than one valid claim is filed for the same horse, title to the horse shall be determined by lot under the supervision of the Stewards or their designated representative. **[approved TTRA 14.12.2004; gazetted 02.05.2005]**
 85. Any horse that has been claimed shall, after the race has been run, be delivered to the Trainer by whom the successful claim was made. Such Trainer must present written authorisation from the Stewards. Horses, which are sent to the area where post race samples for analysis are taken shall be delivered at that point; others are to be delivered in the paddock. No person shall refuse to deliver to the person legally entitled thereto a horse claimed. Any horse claimed and not delivered shall be disqualified from further racing until delivery is made and the person refusing to deliver a claimed horse shall be referred to the Authority. **[approved TTRA 14.12.2004; gazetted 02.05.2005]**
 86. Claims are irrevocable and are at the risk of the claimant. Determination of the true sex of a claimed horse shall be the sole responsibility of the claimant and mistakes in that regard printed in the Official Program or elsewhere shall not be considered a basis for invalidating the claim. Title to a claimed horse shall be vested in the successful claimant from the time the said claim is deemed valid and the claimant shall then become the owner of the horse whether it be alive or dead, sound or unsound, or injured before, during or after the race. A claimed horse shall, where applicable, run in the interest of and for the account of the owner from whom claimed. Any subsequent disqualification of the horse by order of the Stewards or the Authority shall have no effect upon the claim. **[approved TTRA 14.12.2004; gazetted 02.05.2005]**
 87. (a) No person shall offer or enter into an agreement to claim or not to claim or attempt to prevent another person from claiming any horse in a claiming race or optional claiming race. No person shall attempt by any improper means to prevent any one from running a horse in any claiming race or optional claiming race. No owner or authorised agent or trainer or assistant trainer shall make an agreement with another owner or authorised agent or assistant trainer for the protection of each other's horses in a claiming race. No person shall make a loan agreement for the purpose of obtaining funds for the claim of any horse when a condition of such agreement is a lien on the horse to be claimed. Any person acting in breach of his rule may be fined by the Stewards and/or referred to the Authority. **[approved TTRA 14.12.2004; gazetted 02.05.2005] (TTRA amendment 14.01.2014; gazetted 14.04.2014)**
 (b) If the Stewards are of the opinion that any person is claiming a horse for the benefit of another they may require such person to give an affidavit substantiating that such a claim is made only on his/her own behalf. **[approved TTRA 14.12.2004; gazetted 02.05.2005]**
 88. A claim shall in all cases represent a bona fide by the claimant to buy and on the owner to sell the horse in question at the claiming price. The Stewards or the Authority shall be at liberty to fully enquire into any and all circumstances surrounding a claim and may at any time in their discretion require any person to make a declaration on oath in writing in regard to any claim and the circumstances in which any claim is made. The Stewards shall be the judges of the validity of a claim. **[approved TTRA 14.12.2004; gazetted 02.05.2005]**
 89. Any person who shall enter or allow to be entered in a claiming race or optional claiming race, a horse which is the subject of a lease or one against which any third party interest is held, either by way of mortgage, bill of sale, or lien of any kind may be fined and/or warned off all courses and places where these Rules are in force unless when or before entering the horse the written consent of the lessor or holder of the third party interest shall be filed with the Promoter of the meeting at which the horse is entered. **[approved TTRA 14.12.2004; gazetted 02.05.2005] (TTRA amendment 14.01.2014; gazetted 14.04.2014)**
 90. The engagements of a claimed horse pass automatically to the successful claimant at the time when he becomes the owner of the horse. **[approved TTRA 14.12.2004; gazetted 02.05.2005]**
 91. Notwithstanding any information appearing on the day's official race card or in any racing publication, the claimant of a horse shall be solely responsible for determining all pertinent information with regard to the horse claimed. **[approved TTRA 14.12.2004; gazetted 02.05.2005] (TTRA amendment 14.01.2014; gazetted 14.04.2014)**
 92. In the event that a claiming race or optional claiming race is abandoned or postponed or declared void for any reason, any claim lodged for any horse in the said races shall be null and void. **[approved TTRA 14.12.2004; gazetted 02.05.2005] (TTRA amendment 14.01.2014; gazetted 14.04.2014)**
 93. In all claiming races not more than three horses under the charge, custody or care of the same trainer can be entered and declared in one race. In claiming races, not more than two horses owned by the same person whether as sole owner, part owner, licensee or lessee can be entered and declared to start in one race. **[approved TTRA 14.12.2004; gazetted 02.05.2005]**
 94. An objection by the owner of a claimed horse against the claim of the said horse shall be filed with the Stewards within forty eight (48) hours of the day of the race on which the horse was claimed a Sunday and public holiday being excluded. **[approved TTRA 14.12.2004; gazetted 02.05.2005]**
 95. Any claim which is not made in conformity with these Rules shall be void. **[approved TTRA 14.12.2004; gazetted 02.05.2005]**
 96. Should a horse once entered/declared to start in a race, be withdrawn without the permission of the Racing Veterinarian; the owner or his duly appointed Authorised Agent or the Trainer of that said horse, shall be fined by the Stewards and matter may be referred to the Authority. **[approved TTRA 14.12.2004; gazetted 02.05.2005] (TTRA amendment 14.01.2014; gazetted 14.04.2014)**
 97. Any person acting in breach of any of these claiming Rules, shall be guilty of an offence, and shall be fined and or suspended by the Stewards or may be dealt with by the Authority in accordance with their powers under these Rules. **[approved TTRA 14.12.2004; gazetted 02.05.2005]**

99. **VETERINARY PRACTICES, MEDICATION AND PROHIBITED SUBSTANCES**

Veterinarians Reports

- (1) Every veterinarian who treats a race horse during the forty eight (48) hour period before post time of the race for which the horse is entered at any location under the jurisdiction of the Authority shall, in writing on a form approved

by the Authority, report to the Stewards the name of the horse treated, any medication, drug or substance administered and/or prescribed, the name of the trainer of the horse, the date and time of treatment and any other information requested by the Stewards.

- (2) The report shall be signed by the practicing veterinarian.
- (3) The report shall be filed by the practicing veterinarian not later than 24 hours prior to the post time for the said race in which the horse is entered to race on the next race day. Any such report is confidential and its content shall not be disclosed except in the course of an investigation of a possible violation of these rules or in proceedings before the Stewards or the Authority, or to the trainer or to the registered owner at the time of treatment.

100. MEDICATION AND PROHIBITED SUBSTANCES

Upon a finding of a violation of these medication and prohibited substances rules, the Authority shall consider the classification level of the violation as listed at the time of the violation by the Uniform Classification Guidelines of Foreign Substances as published from time to time, and impose penalties and disciplinary measures consistent with the recommendations contained therein. The Authority shall also consult with the Screening Committee to determine the nature and seriousness of the laboratory finding or the medication violation, only in respect of a finding Upon under Class 5 of this Rule. Provided, however, that in the event a majority of the Authority determine that mitigating circumstances require imposition of a lesser penalty they may impose the lesser penalty. In the event of the Authority wishing to impose a greater penalty or a penalty in excess of the authority granted them in the Schedule, then, and in such event, they may impose the maximum penalty authorized under the Rules.

A. Uniform classification Guidelines of Foreign Substances

The following outline describes the types of substances as placed in each category. This list shall be prominently posted in the offices of the Official Veterinarian, the Authority, and on racecourse premises.

(1) Class 1

Opiates, opium derivatives, synthetic opioids, psychoactive drugs, amphetamines. Also included in this class are drugs which are potent stimulants of the nervous system. Drugs in this class have no generally accepted medical use in the racehorse and their pharmacological potential for altering the performance of a horse in race is high.

(2) Class 2

Drugs in this category have a high potential for affecting the outcome of a race. Most are not generally accepted as therapeutic agents in the race horse. Many are products intended to alter consciousness or the psychic state of humans, and have no approved or indicated use in the horse. Some products, such as injectable local anesthetics have legitimate use in equine medicine, but should not be found in the horse. The following groups of drugs are in this class:-

- (a) Opiate partial agonists, or agonists-antagonists
- (b) Non-opiate psychotropic drugs, which may have stimulant, depressant, analgesic or neuroleptic effects:
- (c) Miscellaneous drugs which might have a stimulant effect on the central nervous system (CNS):
- (d) Drugs with prominent CNS depressant action
- (e) Antidepressant and antipsychotic drugs, with or without prominent CNS stimulatory or depressant effects.
- (f) Muscle blocking drugs which have direct neuromuscular blocking action:
- (g) Local anesthetics which have a reasonable potential for use as nerve blocking agents (except procaine); and
- (h) Snake venom and other biologic substances which may be used as nerve blocking agents.

(3) Class 3

Drugs in this class may or may not have an accepted therapeutic use in the horse. Many are drugs that affect the cardiovascular, pulmonary and autonomic nervous systems. They all have the potential of affecting the performance of a racehorse. The following groups of drugs are in this class:-

- (a) Drugs affecting the autonomic nervous systems which do not have prominent CNS effects, but which do have prominent cardiovascular or respiratory system effects (Bronchodilators are included in this class);
- (b) A local anesthetic which has nerve blocking potential but also has a high potential for producing urine residue levels from a method of use not related to the anesthetic effect of the drug (procaine);
- (c) Miscellaneous drugs with mild sedative action, such as the sleep inducing antihistamines;
- (d) Primary vasodilating hypotensive agents; and
- (e) Potent diuretics affecting renal function and body fluid composition.

(4) Class 4

This category is comprised primarily of therapeutic medications routinely used in race horses. These may influence performance, but generally have a more limited ability to do so. Groups of drugs assigned to this category include the following:-

- (a) Non-opiate drugs which have a mild central analgesic effect;
- (b) Drugs affecting the autonomic nervous system which do not have prominent CNS, cardiovascular or respiratory effects.
 - (1) Drugs used solely as topical vasoconstrictors or decongestants
 - (2) Drugs used as gastrointestinal antispasmodics
 - (3) Drugs used to void the urinary bladder
 - (4) Drugs with a major effect on CNS vasculature or smooth muscle of visceral organs.
- (c) Antihistamines which do not have a significant CNS depressant effect (This does not include HI blocking agents, which are listed in Class 5);
- (d) Mineralocorticoid drugs;

- (e) Skeletal muscle relaxants;
- (f) Anti-inflammatory drugs - those that may reduce pain as a consequence of their anti-inflammatory actions, which include:
 - (1) Non-Steroidal Anti-inflammatory Drugs (NSAIDs) - aspirin-like drugs
 - (2) Corticosteroids (glucocorticoids); and
 - (3) Miscellaneous anti-inflammatory agents.
- (g) Anabolic and or androgenic steroids and other drugs.
- (h) Less potent diuretics
- (i) Cardiac glycosides and antiarrhythmics including;
 - (1) Cardiac glycosides;
 - (2) Antiarrhythmic agents (exclusive of lidocaine, bretylium and propranolol);
 - (3) Miscellaneous cardiotoxic drugs
- (j) Topical Anesthetics - agents not available in injectable formulations
- (k) Antidiarrheal agents; and
- (l) Miscellaneous drugs including;
 - (1) Expectorants with little or no other pharmacological action
 - (2) Stomachics; and
 - (3) mucolytic agents

(5) Class 5

Drugs in this category are therapeutic medications for which concentration limits have been established as well as certain miscellaneous agents. Included specifically are agents which have very localized action only; such as anti-ulcer drugs and certain anti-allergic drugs. The anticoagulant drugs are also included.

(6) Approved Therapeutic medication

The following list of substances have been identified as having accepted therapeutic use in the horse and as such the Official Primary Laboratory for the purpose of identifying a sample as positive will be guided by the screening limits as listed next to the name of the therapeutic substance.

Substance	Screening Limit	Class
Acepromazine ¹	10 ng/mL of urine	3
Albuterol ²	1 ng/mL of urine	3
Betamethasone	10 pg/mL of plasma or serum	4
Butorphanol ²	300 ng/mL of urine	3
Dantrolene ³	0.1 ng/mL of plasma or serum	4
Detomidine	1 ng/mL of urine or LOD of detomidine in plasma or serum	3
Dexamethasone	5 pg/mL of plasma or serum	4
Diclofenac	5 ng/mL of serum or plasma	4
DMSO	10 mcg/mL of plasma or serum	4
Firocoxib	20 ng/mL of plasma or serum	4
Flunixin	20 ng/mL of plasma or serum	4
Furosemide	100 ng/mL in blood and urine	N/A
Glycopyrrolate	3 pg/mL of serum or plasma	3
Isoflupredone	100 pg/mL of serum or plasma	4
Ketoprofen	2 ng/mL of serum or plasma	4
Lidocaine ⁴	20 pg/mL plasma or serum	2
Mepivacaine ⁵	10 ng/mL in urine or mepivacaine at LOD in plasma or serum	2
Methocarbamol	1 ng/mL of serum or plasma	4
Methylprednisolone	100 pg/mL in plasma or serum	4
Omeprazole ⁶	1 ng/mL in urine	5
Phenylbutazone	2 mcg/mL of serum or plasma	4
Prednisolone	1 ng/mL of serum or plasma	4
Triamcinolone acetonide	100 pg/mL of plasma or serum	4
Xylazine	0.01 ng/mL of plasma or serum	3

1. The screening limit is based on the detection of 2-(1 hydroxyethyl)promazine sulphoxide
2. The concentration is the total concentration of the specified substance after hydrolysis of conjugates
3. The screening limit is based on the detection of 5 -hydroxydantrolene
4. The screening limit is based on the total concentration (i.e. free plus conjugated) of 3 - hydroxylidocaine
5. The screening limit is based on the total concentration (i.e. free plus conjugated) of 3-hydroxymepivacaine
6. The screening limit is based on the detection of omeprazole sulphoxide in urine
7. The screening limit is based on the detection of procaine in serum or plasma of a horse

ENDOGENOUS, DIETARY OR ENVIRONMENTAL SUBSTANCES	
Substance	Threshold
Arsenic	0.3 micrograms/mL total arsenic urine
Boldenone	0.015 micrograms free and conjugated per mL in urine from male horses (other than geldings)
Caffeine	100 ng/mL of serum or plasma
Carbon Dioxide	37 millimoles available carbon dioxide per litre in plasma
Estranediol	0.045 micrograms/mL free + conjugated 5a-estrane 3B, 17a-diol, in the urine of male horses other than geldings
Hydrocortisone	1 microgram/mL of urine
Methoxytyramine	4 micrograms/mL, free + conjugated in urine
Salicylate Salicylic Acid	750 micrograms/mL of urine or 6.5 micrograms/mL of serum or plasma
Testosterone	0.02 microgram free and conjugated testosterone per mL in urine for geldings or 0.055 microgram free and conjugated testosterone per mL in urine from fillies and mares (unless in foal)
Theobromine	2 micrograms/mL of urine or 0.3 micrograms/mL serum or plasma

(TTRA approved 12.02.2015; gazetted 02.04.2015)

B. Penalty recommendations (in the absence of mitigating circumstances)

- (1) Class 1 - One to five year suspension and a fine not exceeding \$500.00 and loss of purse.
 - (2) Class 2 - Three months to one year suspension and a fine not exceeding \$500.00 and loss of purse.
 - (3) Class 3 - One month to two years suspension and a fine not exceeding \$500.00 and loss of purse.
 - (4) Class 4 – Zero to one year suspension and a fine not exceeding \$500.00 and loss of purse.
 - (5) Class 5 - Zero to 6 months suspension with a possible loss of purse and/or fine not exceeding \$500.00
- (TTRA amendments 12.02.2015; gazetted 02.04.2015)*

C. Medication Restrictions

- (1) A finding by the official chemist of a prohibited drug, chemical or other substance in a test specimen of a horse is prima facie evidence that the prohibited drug, chemical or other substance was administered to the horse and, in the case of a post-race test, was present in the horse's body while it was participating in a race. Such incident will be referred to the Screening Committee appointed by the Authority only with respect to findings under class 5. Prohibited substances include;
 - (a) Drugs or medications for which no acceptance levels have been established;
 - (b) therapeutic medications in excess of established acceptable levels.
 - (c) substances present in the horse in excess of levels at which such substances could occur naturally; and
 - (d) substances foreign to a horse at levels that cause interference with testing procedures;
- (2) Drugs or medications in horses are permissible, provided:-
 - (a) the drug or medication is listed by the International's Drug Testing and Quality Assurance Program; and
 - (b) the maximum permissible urine or blood concentration of the drug or medication does not exceed the published limit.
- (3) Except as otherwise provided by this section, a person may not administer or cause to be administered by any means to a horse a prohibited drug, medication, chemical or other substance, including any restricted medication pursuant to this section during the forty eight (48) hour period before post time for the race in which the horse is entered.

D. Medical Labelling

- (1) Unless otherwise authorised by the Authority, no person on racecourse premises where horses are lodged or kept, excluding licensed veterinarians, shall have in or upon said premises which that person occupies or has the right to occupy; or in that person's personal property or effects or vehicle in that person's care, custody or control, a drug, medication, chemical, foreign substance or other substance that is prohibited in a horse on a race day unless the product is labelled in accordance with this subsection.
- (2) Any drug or medication which is used or kept on racecourse premises and which, by law, requires a prescription must have been validly prescribed by a duly licensed veterinarian, and in compliance with the applicable laws. All such allowable medications must have a prescription label which is securely attached and clearly ascribed to show the following:-
 - (a) the name of the product;
 - (b) the name, address and telephone number of the veterinarian prescribing or dispensing the product.
 - (c) the name of each horse for which the product is intended to be prescribed;
 - (d) the dose, dosage, duration of treatment and expiration date of the prescribed dispensed product; and
 - (e) the name of the person (trainer) to whom the product was dispensed.

E. Furosemide (Lasix)

- (1) Furosemide may be administered intravenously to a horse which is on the Bleeder List and which is entered to compete in a race, or to facilitate the collection of a postrace urine sample. It is to be noted that furosemide shall be permitted only after the Official Veterinarian has placed the horse on the Bleeder List, and after the expiration of thirteen days from the date of his placing the horse on the said Bleeder List.
- (2) The use of furosemide shall be permitted under the following circumstances on racecourse premises where a detention barn is available.
 - (a) Furosemide shall be administered at the direction of the Official Veterinarian or his/her designee no less than four hours prior to post time for the race for which the horse is entered.
 - (b) A horse qualified for furosemide administration must be brought to the detention barn within time to comply with the four-hour administration requirement specified above.
 - (c) The failure of the trainer to have the horse brought to the detention barn at the appointed time, shall render the horse ineligible to run in the specific race. The Stewards reserve the right to impose a fine on the Trainer of the horse for breach of this rule, and any such horse shall not be allowed to take entry in a claiming race for sixty (60) calendar days, from the date of the race from which it was withdrawn.
 - (d) After treatment of furosemide the horse shall be required by the Authority to remain in the detention barn in the care, custody and control of its trainer or the trainer's designated representative and under the racetrack and/or authority security supervision until called to the saddling enclosures.
- (3) The use of furosemide shall be administered under the following circumstances on racecourse premises where a detention barn is not available.
 - (a) The furosemide dosage administered shall not exceed 250mg. Nor be less than 150mg.
 - (b) The Trainer of the treated horse shall cause to be delivered to the Official Veterinarian or his/her designee no later than one hour prior to post time for the race for which the horse is entered the following information under oath on a form provided by the Authority.
 - (1) the racetrack name, the date and time the furosemide was administered to the entered horse
 - (2) the dosage amount of furosemide administered to the entered horse; and
 - (3) the printed name and signature of the attending licensed veterinarian who administered the Furosemide.

F. Bleeder List

- (1) The Official Veterinarian shall maintain a Bleeder List of all horses which have demonstrated external evidence of exercise induced pulmonary hemorrhage or the existence of hemorrhage in the trachea post exercise upon endoscopic examination. Such examination must have been performed by or in the presence of the Official Veterinarian or the Racing Veterinarian, or by a Private Veterinarian who is registered with the Authority, in the presence of the Official Veterinarian or the Racing Veterinarian.
- (2) The confirmation of a bleeder horse must be certified in writing by the Official Veterinarian or the Racing Veterinarian and entered on the Bleeder List. Copies of the certification shall be issued to the owner of the horse or the owner's designee upon request. A copy of the bleeder certificate shall be placed on the horse's file with the Authority.
- (3) Every confirmed bleeder, regardless of age, shall be placed on the Bleeder List, and shall remain on said List for a period of not less than six months and once having been administered furosemide for racing, shall continue to race on said medication for the duration of the six month period while on the Bleeder List.
- (4) A horse may be removed from the Bleeder List only upon the direction of the Official Veterinarian, who shall certify in writing to the Authority the recommendation for removal.
- (5) A horse, which has been eligible for the administration of Furosemide may be removed from the Bleeders List, only upon written authorization of the Official Veterinarian.
Such certification must be applied for and issued before the horse takes entry for a particular race day. Horses for which applications have been received for removal from the Bleeders List shall be required to participate in an official extended workout to the satisfaction of the Official Veterinarian. After such workout, the Official Veterinarian shall conduct an Endoscopic examination to confirm its removal. All applications for removal must be accompanied by a fee of one hundred and twenty five dollars (\$125.00) plus VAT.
Horses which have been removed from the Bleeders List and wish to re-enter that list for the administration of Furosemide, must qualify via the same method as when it was originally placed on list, pursuant to Rules 100(F)(1) and (2), and will be subject to all subsequent Rules regarding horses on the Bleeders List.
- (6) A horse which has been placed on a Bleeder List in another jurisdiction may be placed on a Bleeder List in this jurisdiction provided that the other jurisdiction's criteria for the identification of bleeders are satisfactory in this jurisdiction.

101. PHYSICAL INSPECTION OF HORSES

A. Assessment of Racing Condition

- (1) Every horse entered to participate in an official race shall be subject to a veterinary inspection.
- (2) The inspection shall be conducted by the Racing Veterinarian in the presence of the Official Veterinarian or their designated representative.
- (3) The trainer of each horse or a representative of the trainer must present the horse for inspection as required by the examining veterinarian.
- (4) The assessment of a horse's racing condition shall include:
 - (a) proper identification of each horse inspected;
 - (b) observation of each horse in motion;

- (c) manual palpation when indicated;
- (d) close observation in the paddock and saddling area, during the parade to post and at the starting gate; and
- (e) any other inspection deemed necessary by the Racing Veterinarian in the presence of the Official Veterinarian or their designated representative.

B. Veterinarian's List

- (1) The Official Veterinarian shall maintain a list of all horses which are classified as unfit to compete in a race due to physical distress, unsoundness, infirmity or medical condition.
- (2) A horse may be removed from the Veterinarian's List when, in the opinion of the Official Veterinarian, in consultation with the Racing Veterinarian the horse has satisfactorily recovered the capability of competing in a race.

C. Postmortem Examination

- (1) The Authority may conduct a postmortem examination of any horse that is injured in this jurisdiction while in training or in competition and subsequently expires or is destroyed. In proceeding with a postmortem examination the Authority or its designee shall coordinate with the trainer and/or owner to comply with any insurance requirements.
- (2) The Authority may conduct a postmortem examination of any horse that expires while housed on racecourse premises or at recognized training facilities within this jurisdiction. Trainers and Owners shall be required to comply with such action as a condition of licensure.
- (3) The Authority may take possession of the horse upon death for postmortem examination. The Authority may submit blood, urine, other bodily fluid specimens or other tissue specimens collected during a completion of the postmortem examination; the carcass may be returned to the owner or disposed of at the owner's option.
- (4) The presence of a prohibited substance in a horse, found by the official laboratory or its designee in a body fluid specimen collected during the postmortem examination of a horse, which breaks down during a race constitutes a violation of these rules
- (5) The cost of Authority-ordered postmortem examination, testing and disposal shall be borne by the Authority.

102. A MEDICATION DRUGS AND OTHER SUBSTANCES

- (1) It shall be the intent of these rules to protect the integrity of horse racing, to guard the health of the horse, and to safeguard the interests of the public and the racing participants through the prohibition or control of all drugs, medications and drug substances foreign to the horse. In this context-
 - (a) no horse participating in a race or workout required by the Official Veterinarian shall carry in its body any drug, substance or its metabolites or analogues except as hereinafter expressly provided;
 - (b) no drug substance shall be administered to a horse which is entered to compete in a race to be run in this country except for approved and authorized drug substances as provided for in these rules;
 - (c) no person other than a licensed veterinarian shall have in his/her possession any drug substance which can be administered to a horse, except such drug substance prescribed by a licensed veterinarian for a specific existing condition of a horse and which is properly labelled in accordance with these rules; and
 - (d) a finding by an official chemist that a test sample taken from a horse contains a prohibited substance or its metabolites or analogues which falls under the Uniform Classification Guidelines for Foreign Substances (U.C.G.F.S.) or a finding of a prohibited substance in excess of the limits established by the Board for its use shall be *prima facie* evidence that the Trainer and his/her agents responsible for the care of the horse has/have been negligent in the care of the horse and is *prima facie* evidence that the drug substance has been administered to the horse.

B *Prohibited practices*

- (1) The possession and or use of a drug, substance or medication, specified below, or as amended from time to time by the Authority, on the premises of a facility under the jurisdiction of the Trinidad and Tobago Racing Authority for which a recognised analytical method has not been developed to detect and confirm the administration of such substance, or the use of which may endanger the health and welfare of the horse or endanger the safety of the rider, or use of which may adversely affect the integrity of racing are-
 - (a) Erythropoietin
 - (b) Darbopoietin
 - (c) Oxyglobin
 - (d) Hemopure.
- (2) The possession of a drug, substance or medication on the premises of a facility under the jurisdiction of the Authority that has not been approved.
- (3) The practice, administration, or application of a treatment, procedure therapy or method identified below, which is performed on the premises of a facility under the jurisdiction of the Authority and which may endanger the health

and welfare of the horse or endanger the safety of the rider, or use of which may adversely affect the integrity of racing are-

- (a) Intermittent Hypoxic Treatment by external device;
 - (b) Extra Corporeal Shockwave Therapy; and
 - (c) the practice referred to as "Milkshaking" (mixing bicarbonate of Soda and sugar).
- (4) Any person found in violation of a prohibited practice will be considered to have engaged in a serious and grave breach of the Rules of Racing and shall be subject to such penalties as deemed appropriate by the Authority.

(TTRA approved 09-01-2007; gazatted 02-02-2007)

SCALE OF WEIGHT FOR AGE													
Distance	Age	Jan	Feb	Mar	Apr	May	June	July	Aug	Sep	Oct	Nov	Dec
900 up to 1150	2					43.5	44	44	46	46.5	47.5	48	49
	3	49	49.5	50	50.5	51.5	52.5	53.5	54	54.5	55	55	55.5
	4	55	55	55	55.5	55.5	56	56	56	56	56	56	56
	5 & O	55.5	55.5	55.5	55.5	55.5	56	56	56	56	56	56	56
1200 up to 1550	2					42.5	43	43	45	45.5	46.5	47	48
	3	48	48.5	49	49.5	50.5	51.5	52.5	53	53.5	54	54	54.5
	4	55	55	55.5	55.5	55.5	56	56	56	56	56	56	56
	5 & O	55.5	55.5	55.5	55.5	55.5	56	56	56	56	56	56	56
1600 up to 1950	2								42	42	43	44.5	45
	3	45.5	46	47	47.5	48.5	49.5	50.5	51	51.5	52	52	53
	4	54.5	54.5	55	55.5	55.5	56	56	56	56	56	56	56
	5 & O	55.5	55.5	55.5	55.5	55.5	56	56	56	56	56	56	56
2000 & O	2								42	42	43	44.5	45
	3	45	45.5	46	46.5	48	48.5	49.5	50.5	51.5	52	52	53
	4	54	54	54.5	54.5	56	56	56	56	56	56	56	56
	5 & O	55.5	55.5	55.5	55.5	56	56	56	56	56	56	56	56

(Distances stated in metres)

TRINIDAD AND TOBAGO
RACING AUTHORITY
RULES OF RACING - INDEX

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